Lateral Casimir–Polder force with corrugated surfaces

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Abstract

We derive the lateral Casimir–Polder force on a ground-state atom on top of a corrugated surface, up to first order in the corrugation amplitude. Our calculation is based on the scattering approach, which takes into account nonspecular reflections and polarization mixing for electromagnetic quantum fluctuations impinging on real materials. We compare our first order exact result with two commonly used approximation methods. We show that the proximity force approximation (large corrugation wavelengths) overestimates the lateral force, while the pairwise summation approach underestimates it due to the non-additivity of dispersion forces. We argue that a frequency shift measurement for the dipolar lateral oscillations of cold atoms could provide a striking demonstration of non-trivial geometrical effects on the quantum vacuum.

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1. Introduction

The quantum vacuum electromagnetic field plays an essential role in the interaction between ground-state atoms [1]. These van der Waals/Casimir–Polder interactions also take place for an atom near a bulk surface or between two bulk surfaces [2] (Casimir effect) [3]. Several methods have been employed to measure the atom–surface Casimir–Polder interaction [4–12].

On the theoretical front, surface geometries are usually analyzed with the help of the proximity force approximation (PFA, also known as the Derjaguin approximation) [19]. In this approach, the interaction energy between curved surfaces is obtained from the plane–plane case by a simple average over local distances (see, for instance, [20]). PFA-based theories have been employed to compare with experimental results for the normal Casimir force between a
plane and a sphere [13, 14, 17], between crossed cylinders [15], between a plane and a cylinder [21], as well as for the lateral Casimir force between corrugated plates [22].

However, PFA only holds when the separation distance is much smaller than the length scales characterizing the surface geometries (radius of curvature for spherical and cylindrical plates, and corrugation wavelength for corrugated surfaces). Thus, it is very important to check the accuracy of PFA predictions by comparing them with more rigorous formalisms. Beyond-PFA theories for the normal Casimir force for cylindrical [23, 24] and spherical [25] plates, and for the lateral Casimir force [26, 27] have been recently reported. Most of these theories, though, cannot be directly compared to existing experimental data, either because of the assumption of perfect reflectivity [23, 24, 26] (a very poor approximation for the typical separation distances of a few hundred nanometers employed in the experiments), or because assumptions about the geometry itself (small corrugation amplitudes) [27] are not satisfied by the actual experimental conditions [22].

In this paper, we propose that the lateral Casimir–Polder force on a ground-state atom on top of a corrugated surface provides a striking evidence of a non-trivial (i.e. beyond PFA) geometry effect. In fact, we show that a Bose–Einstein condensate (BEC) trapped close to a corrugated surface may be used as a local field sensor of the geometry-induced reshaping of quantum vacuum fluctuations. The control of the atom–surface interaction may be relevant for several applications involving cold atoms near surfaces [28]. Deviations from the PFA regime are considerably larger than in the case of the lateral force for bulk surfaces, where the geometry effect is averaged over the surfaces.

We employ the scattering approach [29] and write the interaction energy in terms of a nonspecular reflection operator that takes into account diffraction and polarization mixing. A similar formalism was developed previously to analyze the roughness correction to the normal Casimir force [30] and the lateral Casimir force between corrugated bulk plates [27]. The corrugation is taken into account as a perturbation of the plane geometry, and the interaction potential is computed up to first order in the corrugation amplitude $a$ (see figure 1). Hence our results only hold when $a$ is the smallest length scale in the problem: $a \ll z_A, \lambda_c$, but arbitrary relative values for the atom–surface distance $z_A$ (measured with respect to some arbitrary reference plane) and the corrugation wavelength $\lambda_c$ are allowed. Our results are valid for any real material, not necessarily a perfect conductor, and exact to first order in perturbation theory in powers of the corrugation amplitude.

We recover the PFA results when taking $z_A \ll \lambda_c$. In this limit, the effect is dominated by specular reflections, since the surface is nearly plane in the scale of the separation distance.
The corrugated surface is described by the profile function \( h(r) \) (with \( r = (x,y) \)) giving the local height with respect to the reference plane \( z = 0 \). The potential energy of a ground-state atom located at some position \( R_A = (x_A, y_A, z_A) \) near the surface is written as

\[
U(R_A) = U^{(0)}(z_A) + U^{(1)}(R_A). 
\]

The zeroth-order potential \( U^{(0)}(z_A) \), which contains only specular reflection amplitudes, is the potential energy for an atom above a plane plate at \( z = 0 \). We make a perturbative expansion in powers of \( h \) and use the scattering approach to find the first-order correction \( U^{(1)} \). The first-order scattering by the corrugated surface is described by the matrix elements of the first-order reflection operator: \( \langle k, p|R^{(1)}|k', p'\rangle = R_{pp'}(k,k')H(k-k') \), where \( H(k) \) is the Fourier transform of the profile function \( h(r) \). We find

\[
U^{(1)}(R_A) = \int \frac{d^2k}{(2\pi)^2} e^{ik \cdot r} g(k, z_A) H(k). 
\]

To first order in the corrugation amplitude this expression is exact, and depends on the response function \( g(k, z_A) \) which can be explicitly written in terms of the first-order nonspecular reflection coefficients \( R_{pp'}(k,k') \) as

\[
g(k,z_A) = \frac{\hbar}{c^2 \epsilon_0} \int_0^\infty \frac{d\xi}{2\pi} \xi^2 \alpha(\xi) \int \frac{d^2\xi'}{(2\pi)^2} a_{kk'}(z_A, \xi),
\]

\[
a_{kk'}(z_A, \xi) = \frac{1}{2k'} \sum_{p,p'} \hat{e}^+_{pp'} e^{-ik'\cdot z_A} R_{pp'}(k, k'),
\]

where \( \alpha(\xi) \) is the dynamic atomic polarizability of the atom (whose ground state is assumed to be spherically symmetric), and \( \epsilon_0 \) is the vacuum permittivity. Here \( k \) and \( k' \) are the lateral wave vectors of the input and output fields, and \( p \) and \( p' \) are their polarizations (TE for transverse electric and TM for transverse magnetic). The unit polarization vectors for outgoing fields are \( \hat{e}_+^p(k) = \hat{z} \times \hat{K} \) and \( \hat{e}_-^p(k) = \hat{e}_+^p(\bar{k}) = \hat{e}_+^p(k) \times \hat{K} \), with \( \bar{k} = k + k_z \hat{z} \), and \( k_z = \text{sgn}(\omega) \sqrt{\omega^2/c^2 - k^2} \). Similar expressions hold for the polarization vectors \( \hat{e}_p(\bar{k}) \) for incoming fields, with the
replacement $k_z \rightarrow -k_z$. The roundtrip propagation of the field between the surface and the atom is contained in the factor $\exp[-(\kappa + \kappa')z_A]$, with $\kappa = \sqrt{\xi^2/c^2 + k^2}$.

Using that the atomic polarizability along the imaginary frequency axis is real and positive, one can prove that the response function $g(k, z_A)$ is explicitly real. Moreover, as expected from symmetry, the response function does not depend on the direction of the corrugation profile: $g(k, z_A) = g(k, z_A)$.

3. Elementary approaches

3.1. Proximity force approximation

The response for very smooth surfaces is given by the limit $k \rightarrow 0$ in equation (3). According to (4), $g(0, z_A)$ is given entirely in terms of the specular limit of the first-order nonspecular coefficients, which quite generally satisfy [30]

$$R_{pp}(k, k) = 2\kappa r_p(k)\delta_{p,p'},$$

with $r_p(k) = r_p(k)$ representing the standard Fresnel coefficients for a plane surface. This result has a simple interpretation: in the specular limit, the first-order correction is just the additional roundtrip phase $2ikzh$ associated with the surface displacement $h$.

After replacing (5) into (4), we are able to connect the resulting expression for $g(0, z_A)$ with the (zeroth-order) potential for a plane surface

$$g(k \rightarrow 0, z_A) = -\frac{dU^{(0)}(z_A)}{dz_A}.$$  

It follows from the ‘proximity force theorem’ (6) that the potential for a very smooth surface can be obtained from the planar case by merely taking the local atom–surface distance

$$U(R_A) \approx U^{(0)}(z_A - h(r_A)) \approx U^{(0)}(z_A) - h(r_A)\frac{dU^{(0)}(z_A)}{dz_A}.$$  

This ‘proximity force approximation’ holds when $g(k, z_A)$ may be replaced by $g(0, z_A)$ for all Fourier components of the surface profile function $H(k)$ contributing appreciably in equation (2). Deviations of the PFA from the exact result (for a given Fourier component) are measured by the ratio

$$\rho_{PFA} = \frac{g(k, z_A)}{g(0, z_A)}.$$  

3.2. Pairwise summation approximation

The pairwise summation (PWS) technique is an approximation different from PFA that computes the Casimir force between bulk surfaces or the Casimir–Polder force between an atom and a bulk surface by addition of the atom–atom interaction potential, which is $U(r_{12}) = -(23\hbar c/64\pi^3 e_0)\alpha A_1(0)\alpha A_2(0)r_{12}^{-7}$ for two ground-state atoms separated by a distance $r_{12}$ much larger than the typical atomic wavelengths (retarded regime). The PWS method has been discussed in [31] for the normal and lateral Casimir forces between corrugated, perfectly reflecting bulk plates, and has been used to calculate lateral CP [32] and vdW forces [33] between an atom and a rough or corrugated bulk plate.

The pairwise Casimir–Polder energy for an atom above a perfectly reflecting surface is given by

$$U_{PWS}(R_A) = -C \int d^2 r \int_{-\infty}^{h(r)} dz \frac{1}{[(r - r_A)^2 + (z - z_A)^2]^{3/2}}.$$  

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where \( C = 15\hbar c\alpha(0)/16\pi^3\varepsilon_0 \) is a ‘calibration’ factor (sometimes improperly called ‘normalization’ factor) chosen such that the PWS result coincides with the exact known result for a planar surface \( h(\mathbf{r}) \equiv 0 \). To first order in the corrugation amplitude, the lateral PWS potential can be written in a form similar to our exact result \(2\):

\[
U^{(1)}_{\text{PWS}}(R_A) = \int \frac{d^2k}{(2\pi)^2} e^{ik\cdot r_A} g_{\text{PWS}}(k, z_A) H(k),
\]

where the PWS response function is

\[
g_{\text{PWS}}(k, z_A) = -C \int d^2r e^{-ik\cdot r} \left[ r^2 + z_A^2 \right]^{7/2} \frac{3\hbar c\alpha(0)}{8\pi^2\varepsilon_0 z_A^5} F_{\text{PWS}}(k z_A),
\]

Again, due to symmetry, the PWS response function depends only on the modulus of \( k \). Deviations of the PWS from the exact result (for a given Fourier component) can be measured by the ratio

\[
\rho_{\text{PWS}} = \frac{g(k, z_A)}{g_{\text{PWS}}(k, z_A)}.
\]

Clearly, in the limit of long corrugation wavelengths \( k z_A \ll 1 \), both the PFA and PWS coincide with the exact result. However, as the corrugation wavelength decreases, both approximations depart from the exact result given in equation \(2\).

The use of a ‘calibration’ factor \( C \) is a major uncontrolled approximation of the PWS technique, as it is chosen such that the PWS energy coincides with the exact one for a particular distance (in the above, for the retarded Casimir–Polder regime) and for a particular model for the surfaces (above, plane perfect conductors). Clearly, such a uniquely fixed calibration factor cannot account for the different geometry and materials dependency of dispersion forces in various distances regimes. In view of the non-additivity of Casimir forces, the pairwise summation technique is therefore an uncontrolled approximation only valid for very dilute media or for restricted length scales and geometries.

4. Scattering approach

4.1. Sinusoidal corrugation

We first concentrate on sinusoidal corrugated surfaces, and later on we consider a grooved surface where non-trivial (beyond-PFA) effects of geometry are even more impressive.

For a uni-axial sinusoidal corrugation \( h(x) = a \cos(k_c x) \) with \( k_c = 2\pi/\lambda_c \) (see figure 1) we find from \(2\)

\[
U^{(1)}(x_A, z_A) = ag(k_c, z_A) \cos(k_c x_A).
\]

This first-order potential provides the leading contribution to the lateral force effect when \( a \ll \lambda_c, z_A \), as the zeroth-order term \( U^{(0)} \) does not contribute. Since \( g \) is negative (see below), this potential brings the atom to one of the surface crests. For simplicity, we only consider the case of perfect reflectors (see [34, 35] for a more general discussion of real materials). In this case, the first-order reflection coefficients have a very simple form [30]

\[
\begin{align*}
R_{\text{TE,TE}}(k, k') &= -2k'/C; \\
R_{\text{TE,TM}}(k, k') &= -2k C; \\
R_{\text{TM,TE}}(k, k') &= -2\frac{k'}{C} S; \\
R_{\text{TM,TM}}(k, k') &= \frac{2kk'}{k} + \frac{2C^2}{C^2}.
\end{align*}
\]
with $C = \cos(\phi - \phi')$ and $S = \sin(\phi - \phi')$ representing respectively the cosine and the sine of the angle between $k$ and $k'$. These expressions allow us to derive some simple analytical results for the exact response function $g(k, z_A)$. In the non-retarded van der Waals regime ($z_A \ll \lambda_A$)

$$g(k_c, z_A) = -\frac{\hbar G(k_c z_A)}{64\pi^2 \epsilon_0 z_A^4} \int_0^\infty d\xi \alpha(i\xi),$$

$$G(Z) = Z^2[2K_2(Z) + ZK_3(Z)],$$

(15)

where, as usual, the vdW energy depends on the whole frequency spectrum of dynamic atomic polarizability along the imaginary frequency axis. $K_2$ and $K_3$ are the modified Bessel functions of second and third order, respectively [36]. In the retarded Casimir–Polder regime ($z_A \gg \lambda_A$)

$$g(k_c, z_A) = -\frac{3\hbar c \alpha(0)}{8\pi^2 \epsilon_0 z_A} F(k_c z_A),$$

$$F(Z) = e^{-2} \left(1 + Z + 16Z^2/45 + Z^3/45\right).$$

(16)

As usual, the CP expression depends only on the static atomic polarizability. Both analytical results are consistent with the proximity force theorem (6) and deviations from the PFA are given by $\rho_{PFA} = G(k_c z_A)/12$ for the vdW regime, and $\rho_{PFA} = F(k_c z_A)$ for the CP regime. Equation (16) has a greater practical interest because the assumption of perfect reflectivity is better suited at longer separation distances. In this Casimir–Polder regime, deviations from PWS are given by $\rho_{PWS} = F(k_c z_A)/F_{PWS}(k_c z_A)$.

In figure 2, we plot the deviations of the proximity force approximation and of the pairwise summation approximation from the exact result. For PFA we plot the analytical curves for the vdW and CP regime, while for PWS we only plot the curve for the CP regime. Since the calibration constant $C$ for the PWS has been calibrated for the Casimir–Polder regime, the pairwise summation prediction for the van der Waals regime makes no sense, and for this reason we do not plot $\rho_{PWS}$ in the vdW regime. From the figure we conclude that, for the sinusoidal corrugation, PFA always overestimates the lateral force, while PWS always underestimates it. To give a number on the deviation from the PFA and PWS, let us consider a
separation $z_A = 2 \mu m$, well within the CP regime, and a corrugation wavelength $\lambda_c = 3.5 \mu m$ ($k_c z_A \approx 3.55$). In this case, $\rho_{\text{PFA}} \approx 30\%$ and $\rho_{\text{PWS}} \approx 115\%$, which means that the PFA largely overestimates the magnitude of the lateral effect, while the PWS underestimates it by as much as 15\% for this not too large value of $k_c z_A$.

4.2. Grooved corrugation

Larger deviations from PFA can be obtained for a surface with periodical grooves (figure 3). In this case, the potential (13) has to be replaced by a sum over Fourier components $a_n$ of the corrugation profile (assumed to be even for simplicity) $h(x) = \sum a_n \cos(n k_c x)$,

$$U^{(1)}(x_A, z_A) = \sum_{n=0}^{\infty} a_n \cos(n k_c x_A) g(n k_c, z_A), \quad (17)$$

where the Fourier coefficients $a_n$ are

$$a_n = \begin{cases} \frac{a (1 - s/2 \lambda_c)}{2 \lambda_c} & \text{for } n = 0 \\ (-1)^{n+1} \frac{2a \lambda_c}{\pi^2 s} \frac{1 - \cos(n \pi s / \lambda_c)}{n^2} & \text{for } n \geq 1. \end{cases} \quad (18)$$

In figure 4, we compare the exact, PFA and PWS lateral Casimir–Polder potentials for various values of $k_c z_A$. The PFA potential has the same profile as the corrugation itself for all values of $k_c z_A$, while the exact and PWS potentials coincide with the PFA version only for $k_c z_A \ll 1$. The PFA potential is recovered when the response function $g(n k_c, z_A)$ may be replaced by the limiting expression $g(0, z_A)$ for all values of $n$ significantly contributing to the profile. Otherwise, as $z_A$ increases, multiplication by $g(n k_c, z_A)$ renders the contribution of higher orders comparatively smaller. When $k_c z_A \gg 1$, the exponential decrease of $g$ implies that the first-order $n = 1$ term dominates the sum (17) (apart from the irrelevant $n = 0$ term, that is $x$ independent and does not contribute to the lateral force), and both the exact and PWS potentials are approximately sinusoidal. In this limit, the amplitude of the effective sinusoidal exact potential is

$$V_0 = \frac{2a \lambda_c}{\pi^2 s} (1 - \cos(k_c s / 2)) g(k_c, z_A); \quad [k_c z_A \gg 1] \quad (19)$$

and for the PWS potential a similar equation holds, with $g$ replaced by $g_{\text{PWS}}$. For given values of $z_A, a$ and $\lambda_c$, the amplitude is maximized for $s \approx 0.74 \lambda_c$, which corresponds to a rather
narrow plateau. It seems more realistic to take, say, \(s = \frac{\lambda_c}{2}\), as we do in all our numerical calculations in this paper.

When the atom is located above one plateau, the PFA predicts that the lateral Casimir–Polder force should vanish, since the energy is thus unchanged in a small lateral displacement. A non-vanishing force appearing when the atom is moved above the plateau thus clearly signals a deviation from the PFA. On the other hand, deviations from the PWS are not as impressive as for the PFA, but should nevertheless be observable for not too large values of \(k_c z_A\). For example, for \(k_c z_A = 3.55\), the PWS underestimates the amplitude of the exact potential by 15%, similarly as for the sinusoidal corrugation case.

5. BEC as a sensor of lateral Casimir–Polder forces

Dipolar oscillations of the center-of-mass (CM) of a Bose–Einstein condensate trapped close to a surface have been proposed as a highly sensitive probe of quantum vacuum fluctuations [37]. This idea has been successfully implemented to measure the normal component of the Casimir–Polder force between rubidium atoms above a dielectric (fused silica) surface in the 5–10 µm separation range [11], and to measure non-equilibrium temperature corrections to the Casimir–Polder force [12]. In these experiments, collective effects were negligible, the interaction between the BEC and the surface being simply the sum of the individual atom–surface potentials. The use of a BEC was important from an experimental point-of-view, allowing for a highly sensitive measurement of the oscillation frequency. The reported relative frequency shift sensitivity for the CM oscillations in these experiments was \(\gamma = 10^{-4}\) to \(10^{-5}\).

For the lateral Casimir–Polder force we propose a similar setup, with the long axis of an elongated BEC parallel to the corrugations. The lateral (along the \(x\)-direction) dipolar oscillation of the center-of-mass would be monitored as a function of time (see figure 3). When a BEC trapped in an external asymmetric harmonic potential of frequencies \(\omega_x = \omega_z \gg \omega_y\) is set to oscillate perpendicular to the grooves, the lateral Casimir–Polder force renormalizes the CM oscillation frequency in the \(x\)-direction,

\[
\omega_{CM,x}^2 = \omega_x^2 + \frac{1}{m} \int d^2 r \, n_0(r) \frac{\partial^2 U^{(1)}(x, z)}{\partial x^2},
\]  

(20)
Relative frequency shift due to the lateral Casimir–Polder force on a rubidium BEC \((m = 1.45 \times 10^{-25} \text{kg}, \alpha(0)/\epsilon_0 = 47.3 \times 10^{-30} \text{m}^4)\), oscillating at a distance \(z_{CM} = 2 \mu m\) above a perfectly conducting, periodically grooved surface. The unperturbed frequency \(\omega/2\pi = 229 \text{ Hz}\), the groove period is \(\lambda_c = 4 \mu m\), the groove width \(s = \lambda_c/2\), and the depth \(a = 250 \text{ nm}\).

where \(m\) is the atomic mass, and \(n_0(r) = \frac{15}{8\pi R} (R^2 - (\lambda_c^2 + z_c^2)^{3/2})\) is the two-dimensional Thomas–Fermi density for a BEC of Thomas–Fermi radius \(R\). The relative frequency shift \(\gamma = (\omega_{CM,x} - \omega_x)/\omega_x\) is given by

\[
\gamma = -\frac{15k_c^2}{12m\alpha_c^2} \sum_{n=1}^{\infty} n^2 a_n \int_0^1 d\rho \int_0^{2\pi} d\theta \rho^2 g(nk_c, z_{CM} + \rho R \sin \theta) \cos(nk_c \rho R \cos \theta),
\]

where \(z_{CM}\) is the distance from the CM of the BEC to the plate. The relative frequency shift \(\gamma_0\) for a single atom oscillating above the corrugated surface is obtained in the limit \(R \ll z_{CM}, \lambda_c\), namely

\[
\gamma_0 = -\frac{k_c^2}{2\alpha_c^2 m} \sum_{n=1}^{\infty} n^2 a_n g(nk_c, z_A).
\]

In figure 5 we plot the relative frequency shift \(\gamma\) due to the lateral Casimir–Polder force, and compare the exact result with the PFA and the PWS. Note that the case \(R = 0\) corresponds to the single atom frequency shift \(\gamma_0\). As noted before, the PFA predicts no frequency shift since the potential is locally flat above one plateau. Indeed, \(\gamma\) is very small for \(k_c z_{CM} < 1\). However, as \(k_c z_{CM}\) increases, \(\gamma\) develops a peak and then decays exponentially for \(k_c z_{CM} \gg 1\).

PWS underestimates the lateral force, resulting in a frequency shift smaller than the exact one. For the separation chosen in the figure (\(z_{CM} = 2 \mu m\)), the frequency shift is well above the reported experimental sensitivity \(10^{-5}\) to \(10^{-4}\) [11, 12]. However, the results reported in figure 5 correspond to the ideal case of a perfectly reflecting plate. As could be expected, the frequency shift is much lower for real bulk plates. However, the resulting shifts in those cases are still strong enough to allow for a measurement of the lateral Casimir–Polder force with a BEC trapped at distances below \(z_{CM} = 3 \mu m\) [34, 35]. Also, as shown in the figure, the effect of finite size of the BEC is to increase the frequency shift as the Thomas–Fermi radius \(R\) grows.
6. Conclusion

The lateral Casimir–Polder force offers the possibility of testing non-trivial (i.e. beyond PFA and PWS) geometrical effects of QED. We have calculated the first order, exact lateral force on a ground-state atom above a corrugated surface and compared it to the widely used proximity force and pairwise summation approximations. Our scattering approach allows one to consider real materials and arbitrary atom–surface separations, as long as the corrugation amplitude remains the smallest length scale in the problem. On the other hand, the PWS approach needs to be ‘calibrated’ for a specific separation distance range since the interaction is not additive. Even when comparing in a specific distance range (the Casimir–Polder long distance limit), we have found large deviations from PWS, and even larger deviations from PFA. Such deviations could be measured using a Bose–Einstein condensate as a surface field sensor.

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