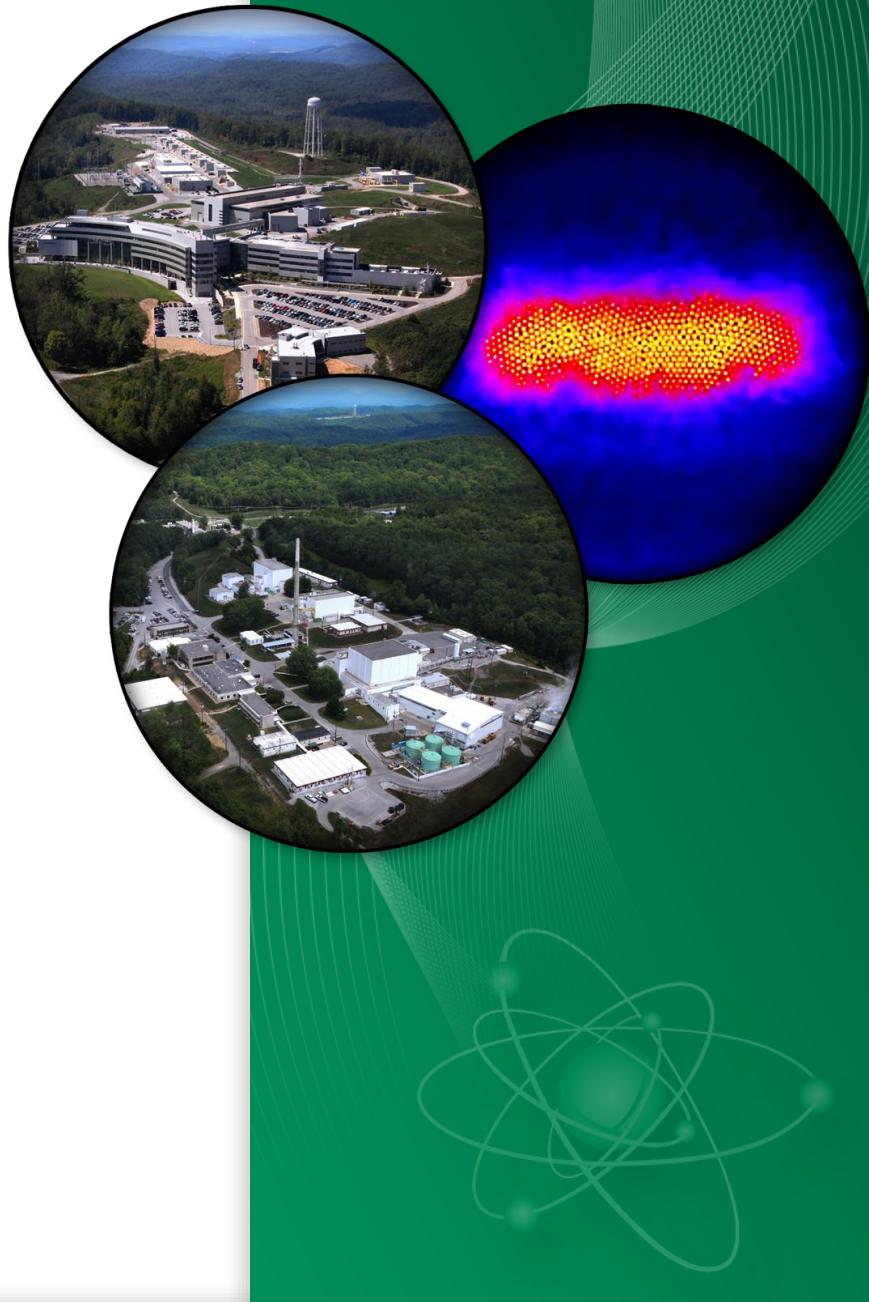


Possible Kitaev spin liquid physics and topological transitions in $\alpha\text{-RuCl}_3$



Outline

I. Kitaev's model

- Quick reminder of possible relevance to materials
- Basics of α -RuCl₃

II. Some inelastic neutron scattering

- Magnons and fractional excitations in INS
- Inelastic neutron scattering in α -RuCl₃

III. Recent results

- Higher fields and 3rd dimension
- Magnetocaloric effect and T- B phase diagram

Neutron Scattering Collaborators:

A. Banerjee, A. Aczel, C. Balz, C. Batista, S. Bhattacharjee, C. Bridges, H. Cao, B. Chakoumakos, G. Ehlers, O. Garlea, G. Granroth, Y. Kamiya, J. Knolle, D. Kovrizhin, P. Lampen-Kelley, L. Li, Y. Liu, Z. Lu, M. Lumsden, D. Mandrus, R. Moessner, M. Stone, D. Pajerowski, A. Samarakoon, D. A. Tennant, B. Winn, J.-Q. Yan, Y. Yiu, S. Zhang.



Christian Balz

arXiv:1903.00056

Additional collaborators:
X. Hu, S. M. Yadav, Y. Takano

Outline

I. Kitaev's model

- Quick reminder of possible relevance to materials
- Basics of $\alpha\text{-RuCl}_3$

Kitaev's model on honeycomb lattice – a special QSL



Available online at www.sciencedirect.com



Annals of Physics 321 (2006) 2–111

**ANNALS
of
PHYSICS**

www.elsevier.com/locate/aop

Anyons in an exactly solved model and beyond

Alexei Kitaev *

California Institute of Technology, Pasadena, CA 91125, USA

Received 21 October 2005; accepted 25 October 2005

Kitaev's model on honeycomb lattice – a special QSL

$$H_{\text{Kitaev}} = - \sum_{\gamma-\text{bonds}} K_\gamma S_i^\gamma S_j^\gamma$$

- Kitaev interaction: Bond-directional dependent Ising coupling
- Exactly solvable Hamiltonian
- ***quantum spin liquid*** ground state

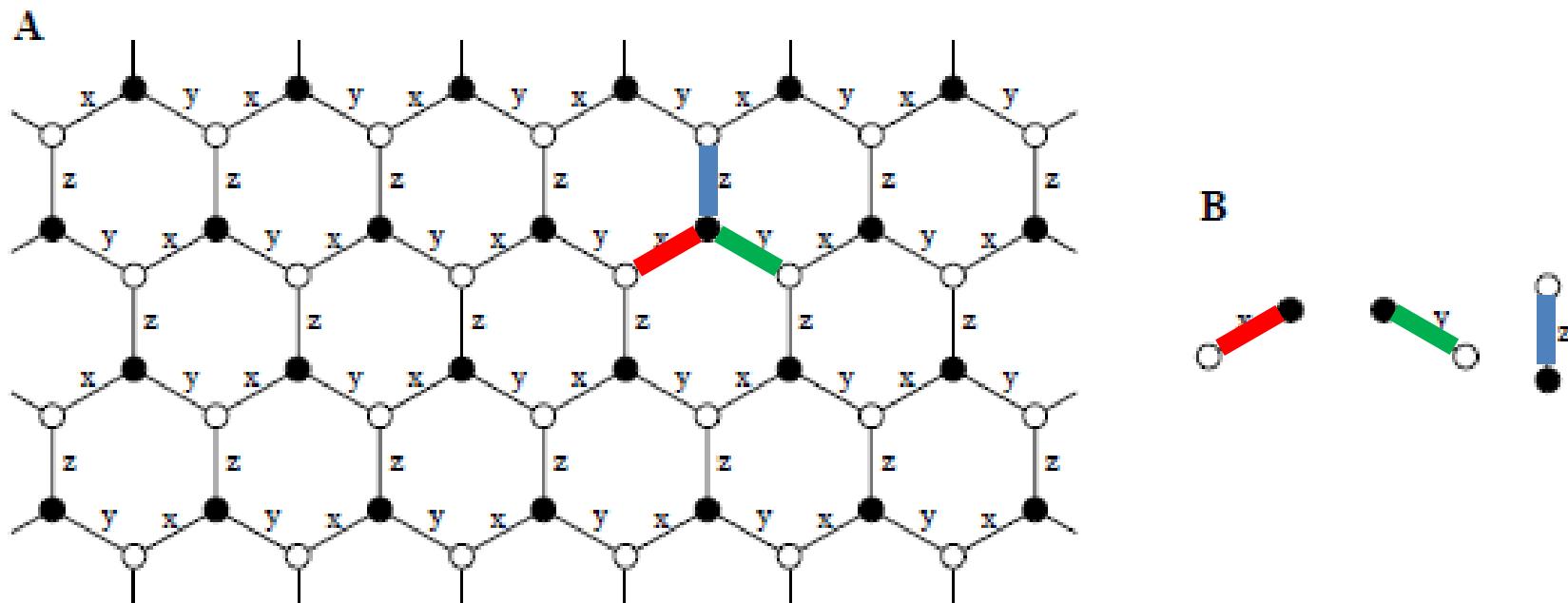


Fig. 3. Three types of links in the honeycomb lattice.

Dynamics of Kitaev QSL

PRL 112, 207203 (2014)

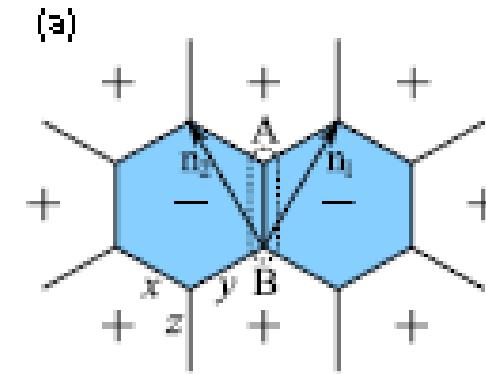
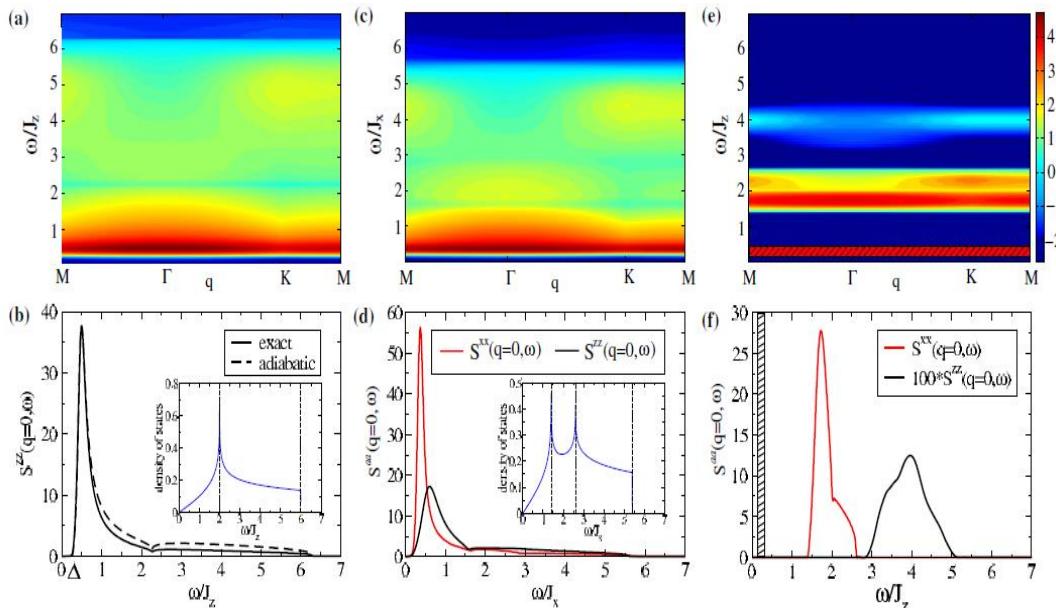
 Selected for a Viewpoint in Physics
PHYSICAL REVIEW LETTERS

week ending
23 MAY 2014



Dynamics of a Two-Dimensional Quantum Spin Liquid: Signatures of Emergent Majorana Fermions and Fluxes

J. Knolle,^{1,*} D. L. Kovrizhin,^{1,2} J. T. Chalker,³ and R. Moessner¹



$$\text{Majorana: } a = a^\dagger \sim (f + f^\dagger)$$

Kitaev interactions in materials

PRL 102, 017205 (2009)

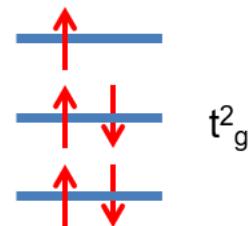
PHYSICAL REVIEW LETTERS

week ending
9 JANUARY 2009

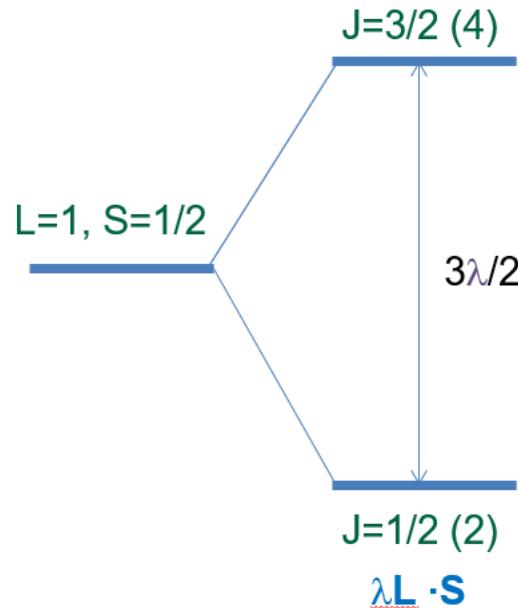
Mott Insulators in the Strong Spin-Orbit Coupling Limit: From Heisenberg to a Quantum Compass and Kitaev Models

G. Jackeli^{1,*} and G. Khaliullin¹

d⁵ in low spin
octahedral
configuration



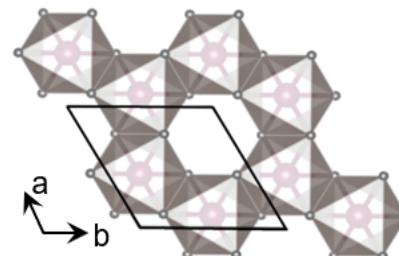
strong field limit
 $S=1/2$, $L_{\text{eff}}=1$
e.g. (5d⁵) Ir⁴⁺
(4d⁵) Ru³⁺



See also:

H. Takagi *et al.*,
Nature Reviews Physics 1, (2019)

edge-sharing
octahedra



Heisenberg – Kitaev Phase Diagram

PRL 110, 097204 (2013)

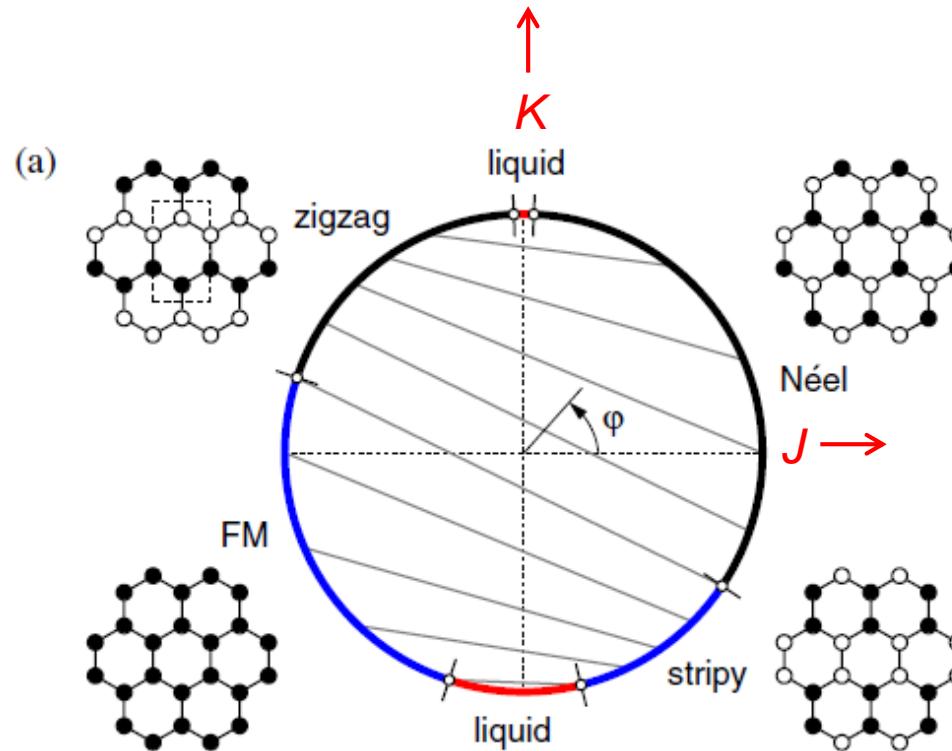
PHYSICAL REVIEW LETTERS

week ending
1 MARCH 2013

Zigzag Magnetic Order in the Iridium Oxide Na_2IrO_3

Jiří Chaloupka,^{1,2} George Jackeli,^{1,*} and Giniyat Khaliullin¹

$$\mathcal{H}_{ij}^{(\gamma)} = 2KS_i^\gamma S_j^\gamma + JS_i \cdot S_j.$$



Effect of additional interactions

PRL 112, 077204 (2014)

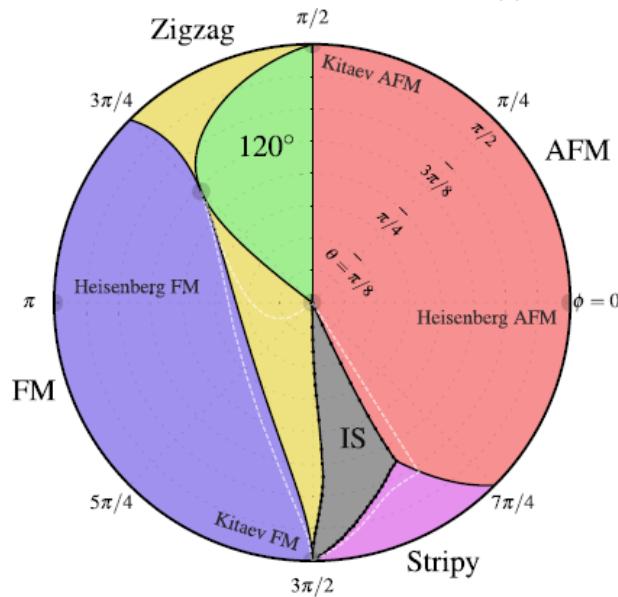
PHYSICAL REVIEW LETTERS

week ending
21 FEBRUARY 2014

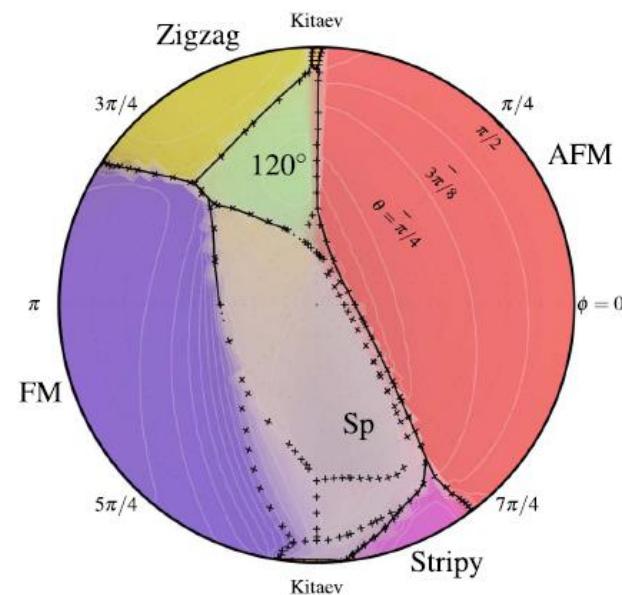
Generic Spin Model for the Honeycomb Iridates beyond the Kitaev Limit

Jeffrey G. Ran,¹ Eric Kin-Ho Lee,¹ and Hae-Young Kee^{1,2,*}

$$H = \sum_{\langle ij \rangle \in \alpha \beta \setminus \gamma} [J \vec{S}_i \cdot \vec{S}_j + K S_i^\gamma S_j^\gamma + \Gamma (S_i^\alpha S_j^\beta + S_i^\beta S_j^\alpha)],$$



(a) Classical phase diagram with $\Gamma > 0$



(a) Phase diagram for $\Gamma > 0$

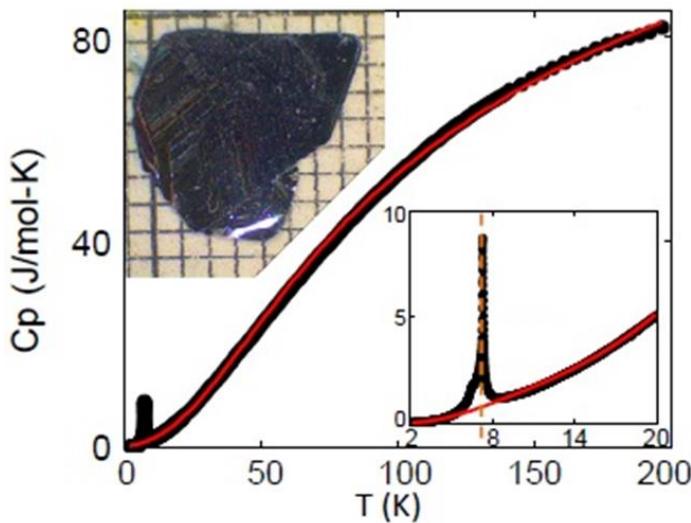
PHYSICAL REVIEW B 90, 155126 (2014)

Importance of anisotropic exchange interactions in honeycomb iridates: Minimal model for zigzag antiferromagnetic order in Na_2IrO_3

Yuriy Sizuk,^{1,2} Craig Price,³ Peter Wölfle,^{1,4} and Natalia B. Perkins^{1,2}

α -RuCl₃ : quasi - 2D honeycomb material

- Honeycomb lattice
- Ru³⁺ in octahedral low spin
- $J_{1/2} \rightarrow J_{3/2}$ transition ≈ 200 meV

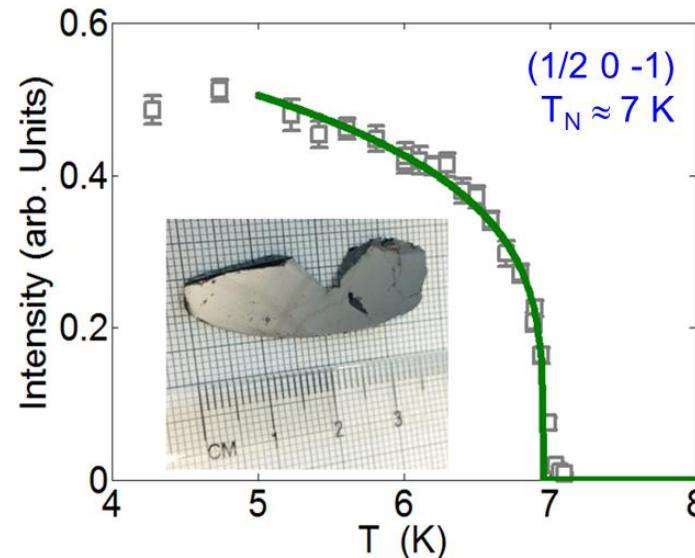


No. 4898 September 14, 1963

CHEMISTRY
Anhydrous Ruthenium Chlorides

NATURE

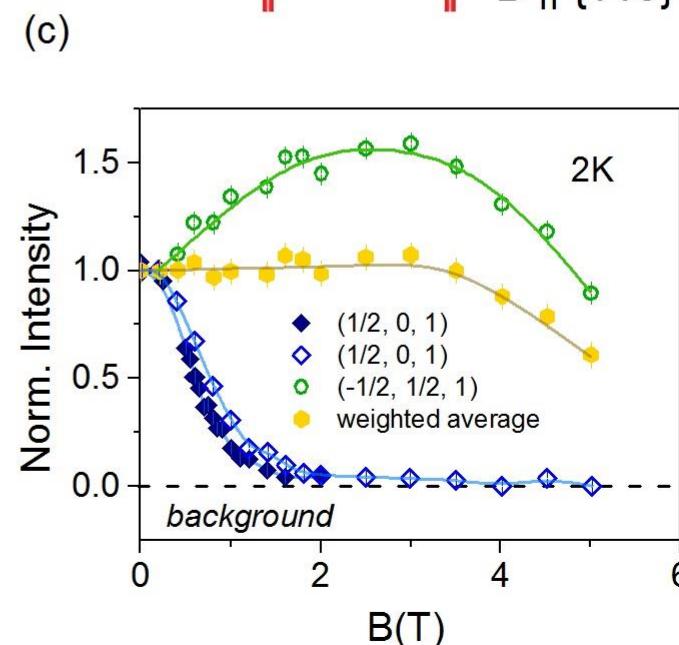
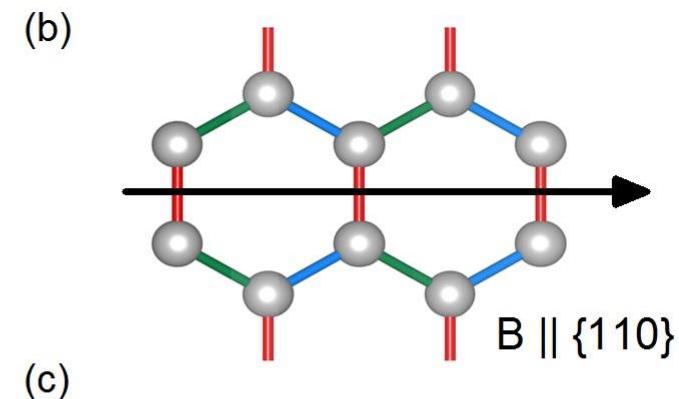
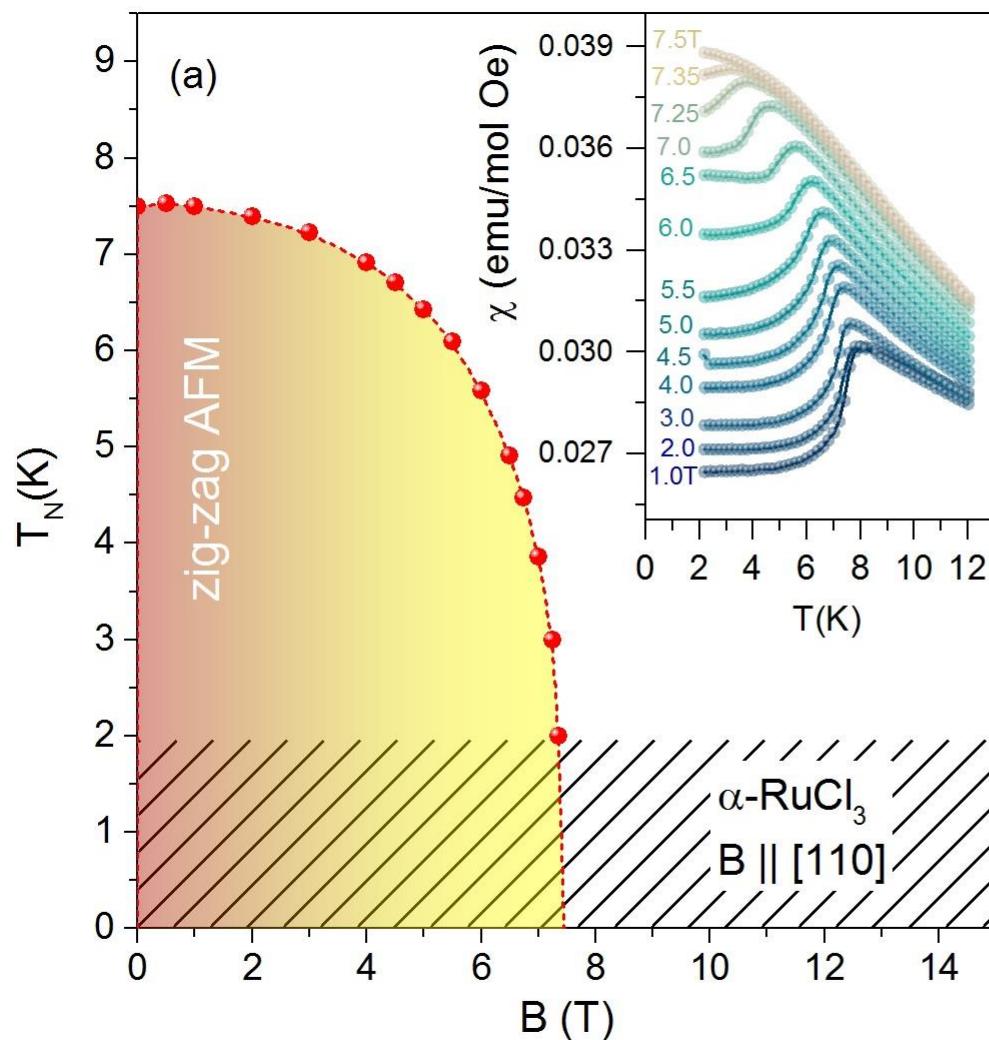
J. M. FLETCHER
W. E. GARDNER
E. W. HOOVER
K. R. HYDE
F. H. MOORE
J. L. WOODHEAD



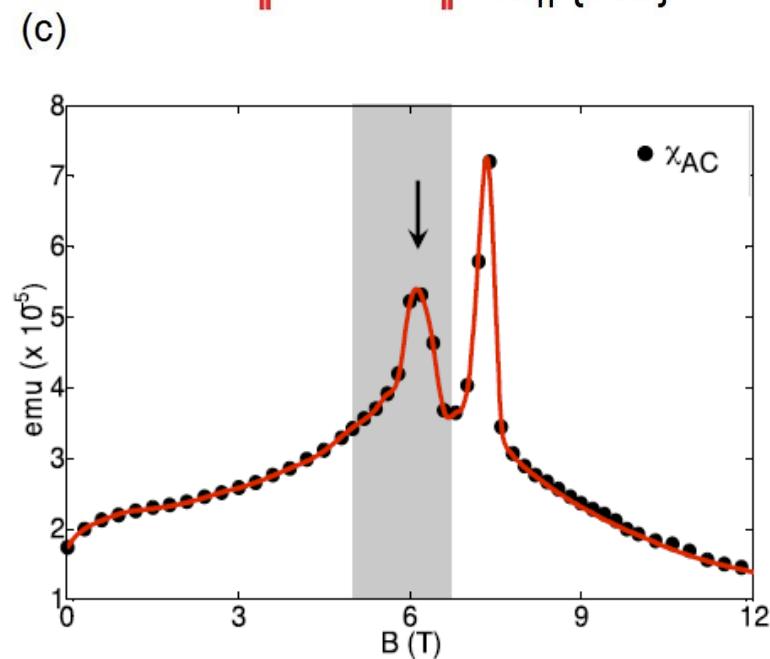
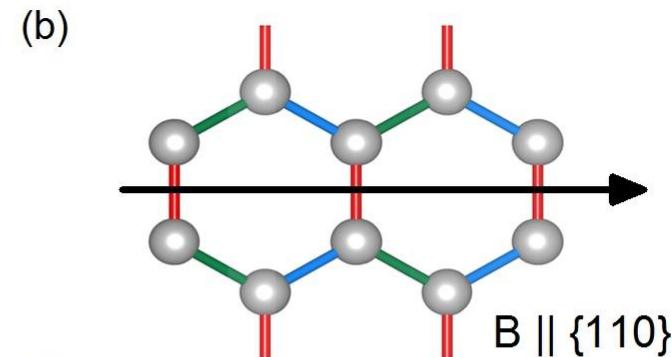
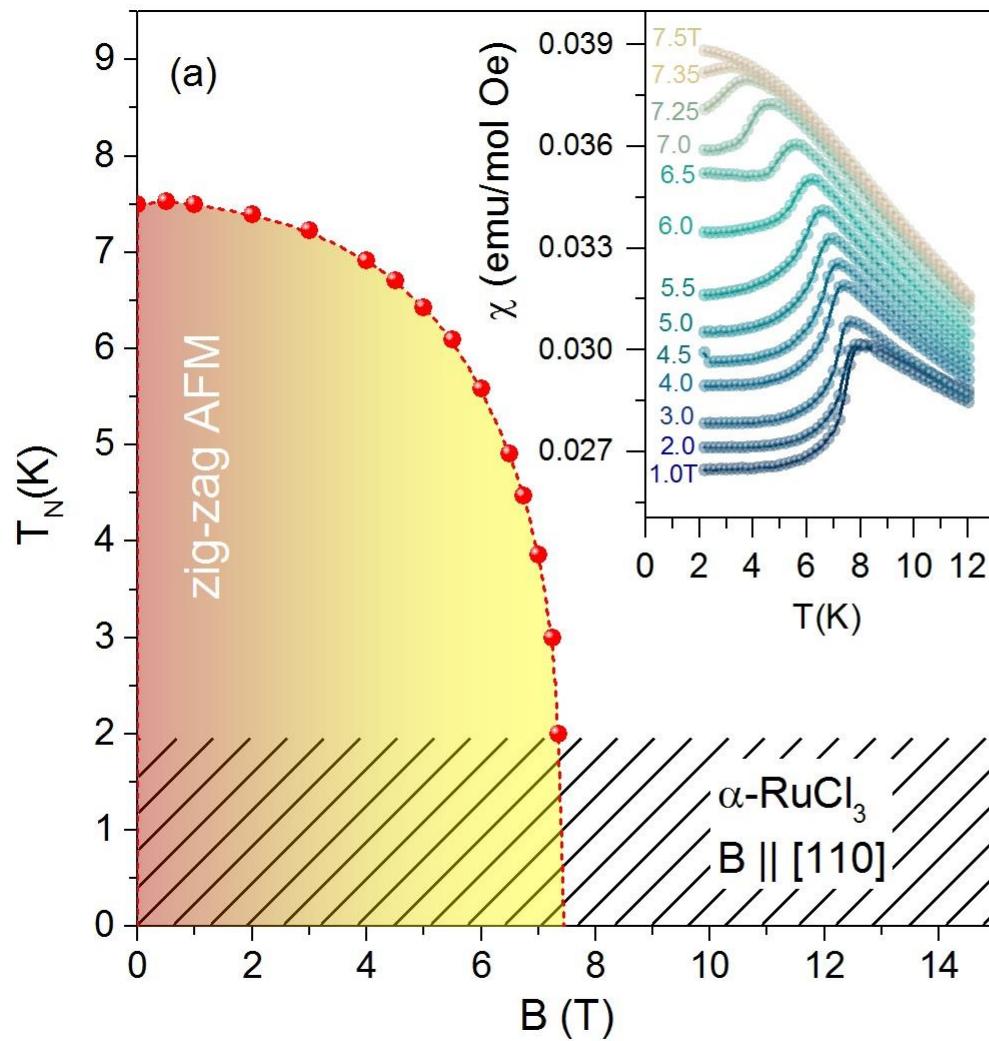
Transition to zig-zag order at $T_N = 7$ K

Field dependence of T_N

$B_C \approx 7.3$ T



Additional ordered phase 6 – 7.3 T

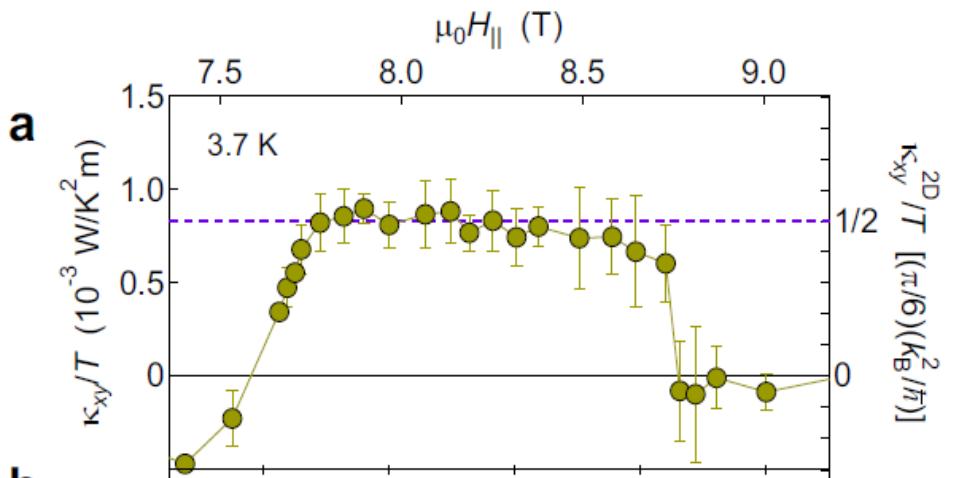


Evidence of fractionalization from thermal Hall ?

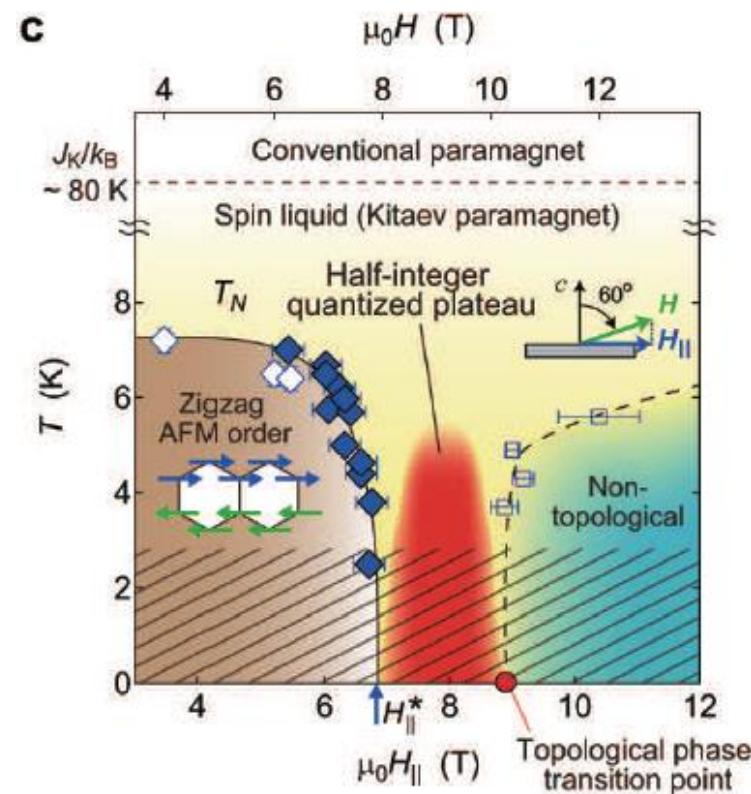
Majorana quantization and half-integer thermal quantum Hall effect
in a Kitaev spin liquid

Y. Kasahara¹, T. Ohnishi¹, N. Kurita², H. Tanaka², J. Nasu², Y. Motome³, T. Shibauchi⁴, and Y. Matsuda¹

κ_{xy}^{2D} reaches a quantum plateau as a function of applied magnetic field. That is, κ_{xy}^{2D}/T attains a quantization value of $(\pi/12)(k_B^2/\hbar)$, which is exactly half of κ_{xy}^{2D}/T in the integer QHE. This half-integer thermal Hall conductance observed in a bulk material is a direct signature of topologically protected chiral edge currents of charge neutral Majorana fermions, particles that are their own antiparticles, which possess half degrees of freedom of conventional fermions [13–16]. These signatures demonstrate the fractionalization of spins into itinerant Majorana fermions and Z_2 fluxes predicted in a Kitaev QSL [1, 3]. Above



Nature 559, 227–231 (2018)



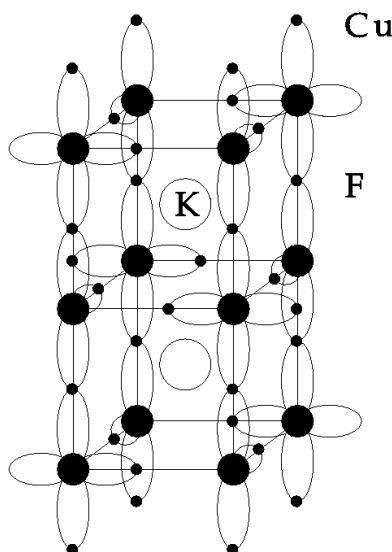
Outline

II. Some inelastic neutron scattering

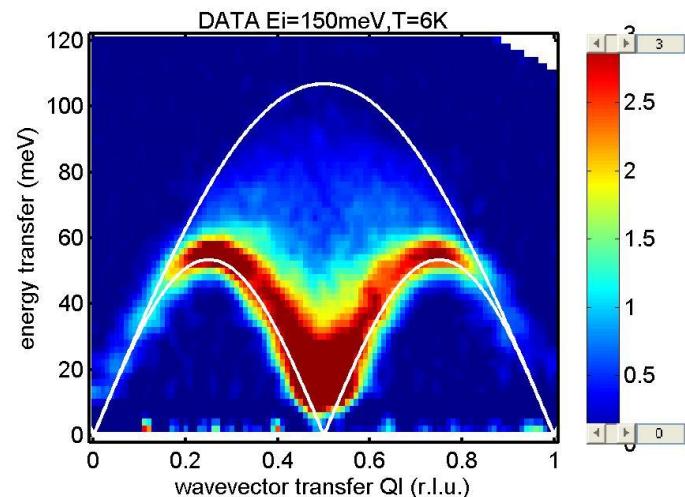
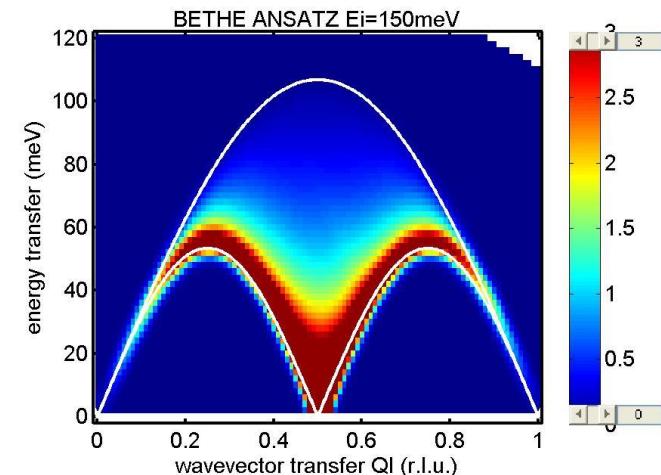
- Magnons and fractional excitations in INS
- Inelastic neutron scattering in $\alpha\text{-RuCl}_3$

KCuF₃ – a one dimensional S=1/2 HAFC

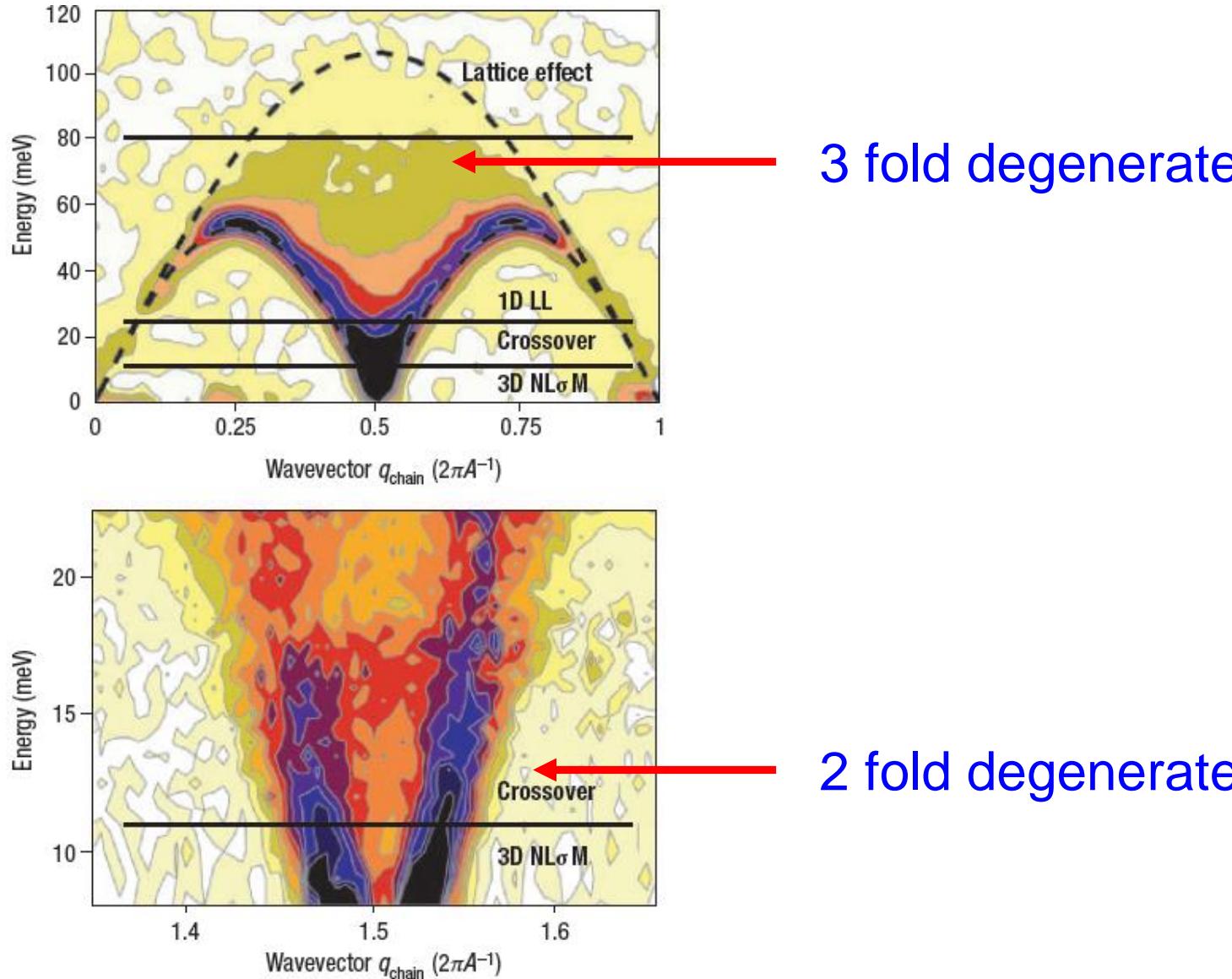
- Tetragonal structure
- Chains of Cu²⁺ ions along *c* axis
- “Orbitally ordered”



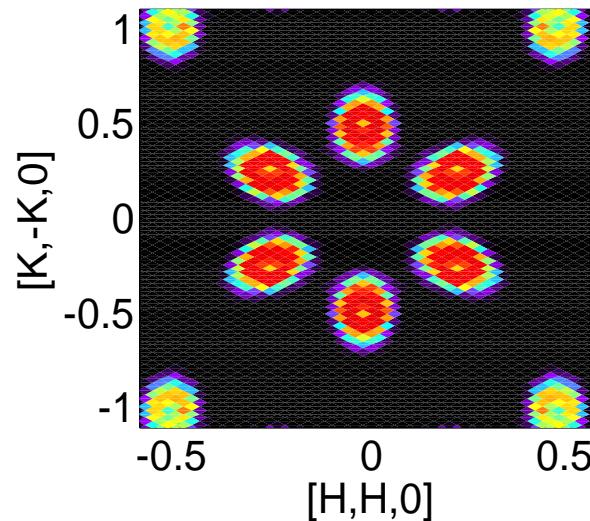
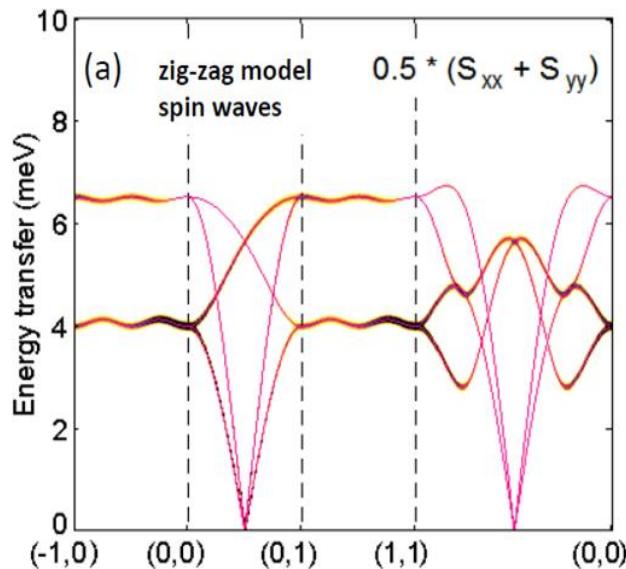
- Heisenberg AF chains of S=1/2 Cu²⁺ ions
- Inter-chain coupling leads to 3D AF order at T_N = 39 K
- Above T_N the response follows that expected for the isolated S=1/2 chain – which is much different from that predicted for classical spins



Energy dependence of the response below T_N



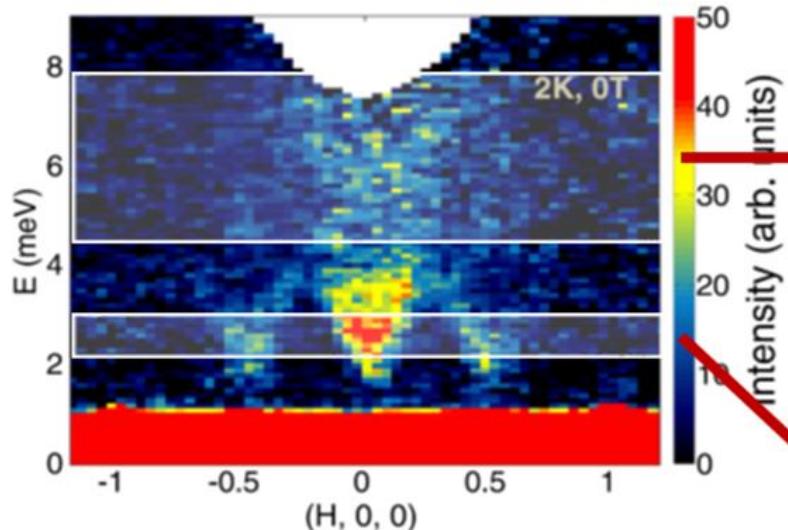
Expectations for spin waves in a zigzag state



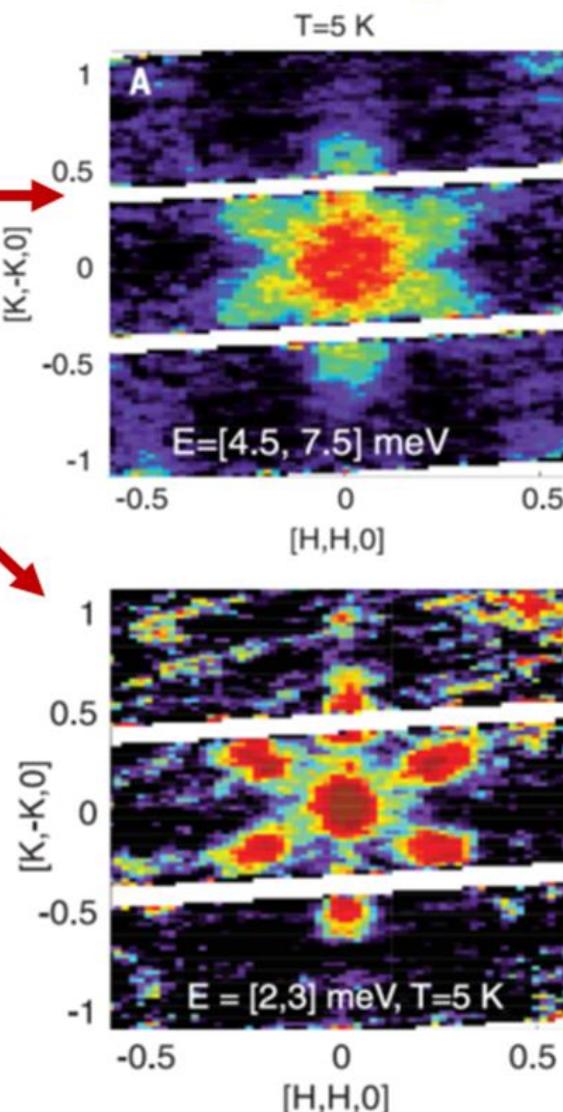
- Dispersion minima at ordering wavevectors (M points)
- Low energy constant E slices show cone shaped dispersion surfaces around the M points
- Less general, but true for Heisenberg-Kitaev model:
Γ points show flat modes sharp in energy

α -RuCl₃ single crystal - INS

Energy vs wavevector slice



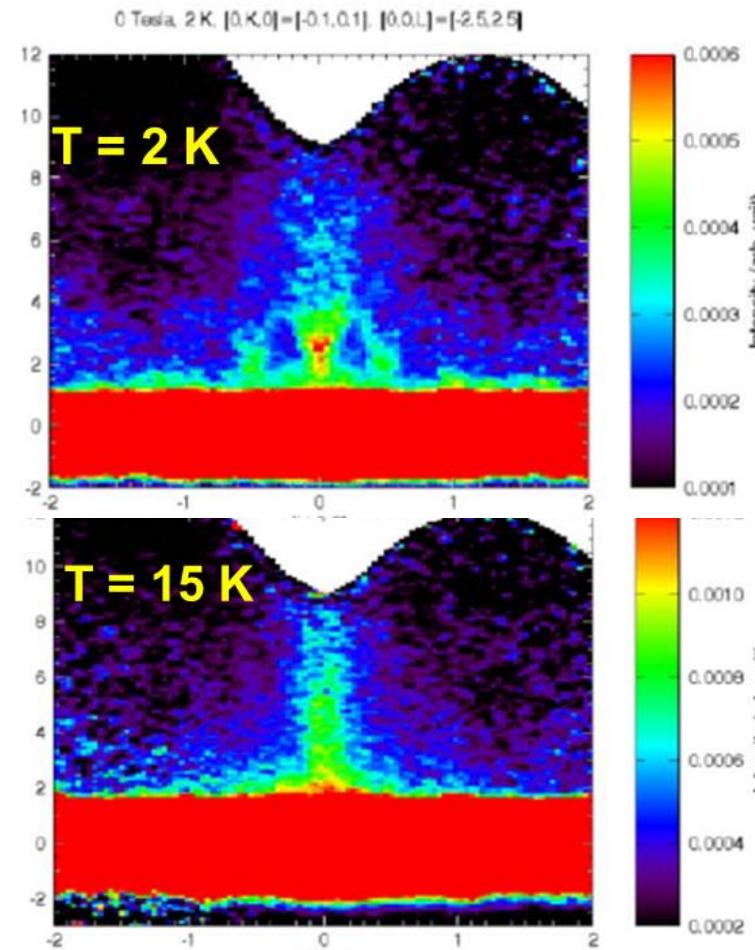
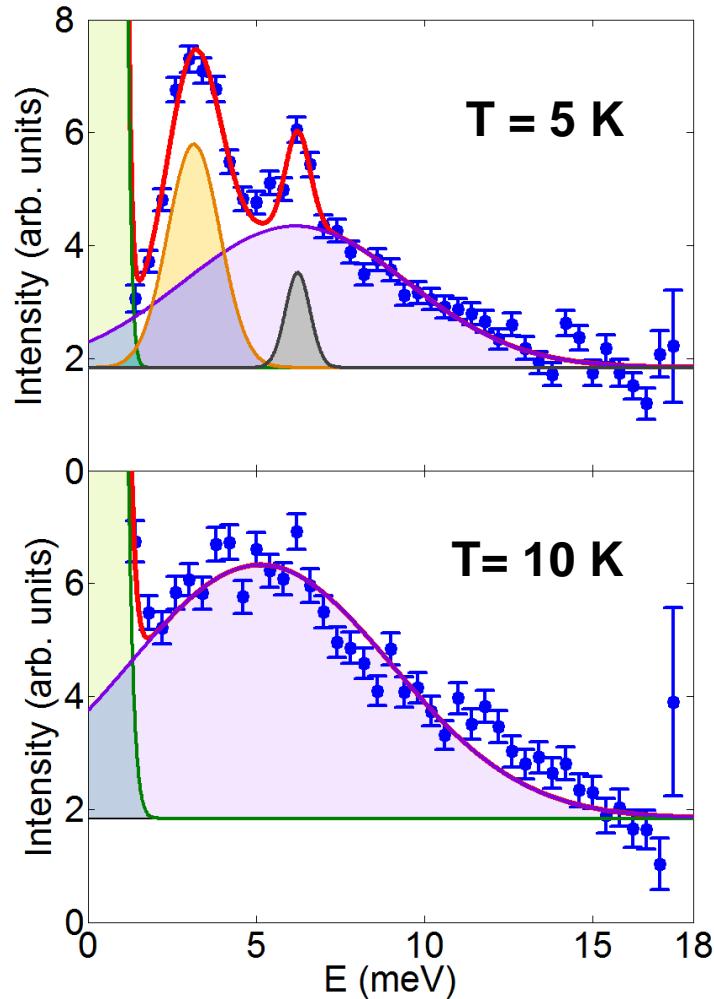
Constant energy slice



Zero field excitations:

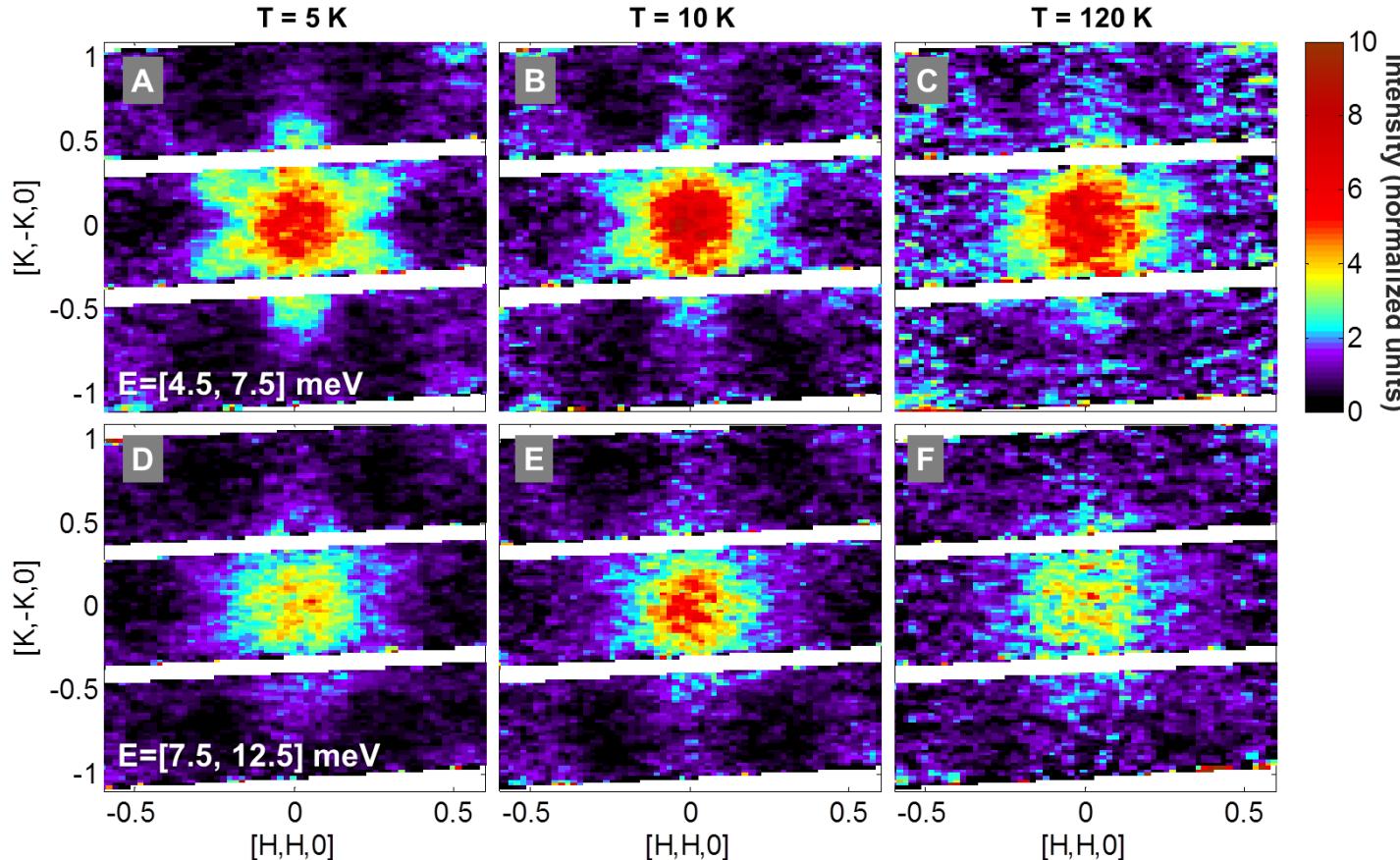
- Low energy, gapped spin waves.
- Superimposed on broad continuum at Γ -point

Experiment: Γ point signal inconsistent with SW



- At $T_N \approx 7\text{ K}$ the spin waves disappear throughout the Brillouin zone
- Above T_N the continuum near the Γ point persists

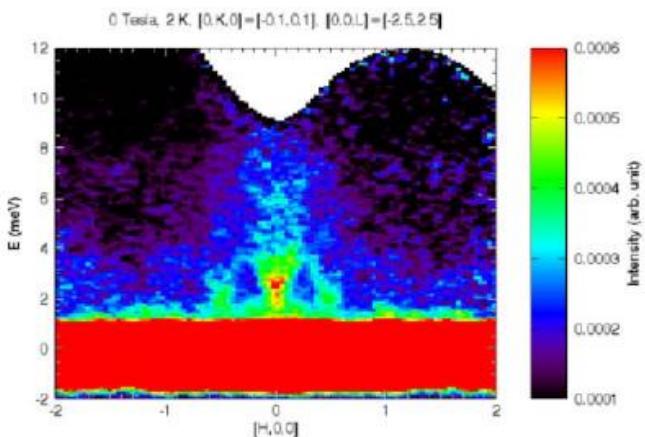
Q,T dependence of the continuum scattering



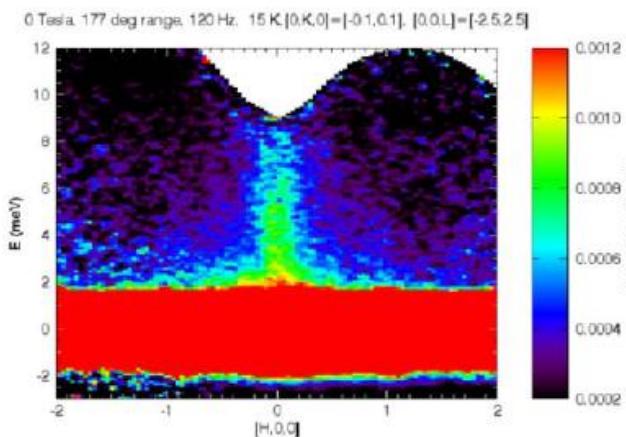
- circular column centered on $\mathbf{H}=\mathbf{K}=0$, extending to higher energies
- at low T , moderate energy SW peaks and column merge and scattering resembles a six pointed star
- scattering persists to high T

How does field affect the magnetic excitations?

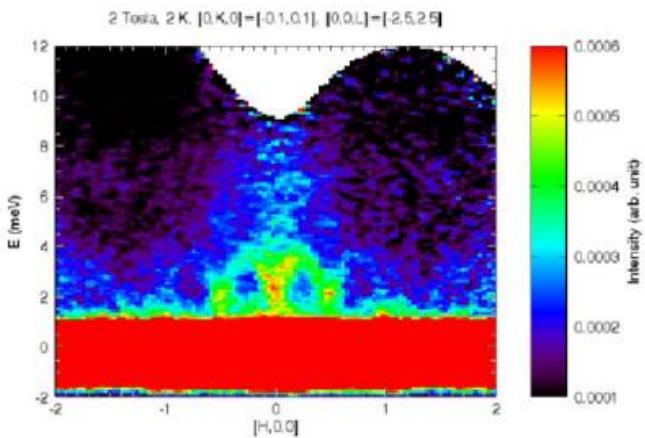
2K, 0T



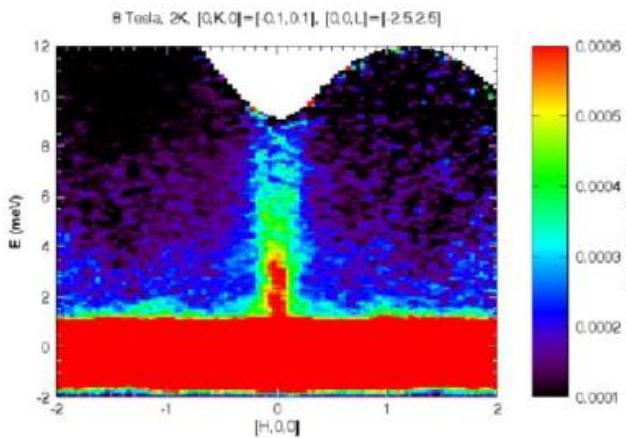
15K, 0T



2K, 2T



2K, 8T



Npj Quantum Materials 3, 8 (2018).

Outline

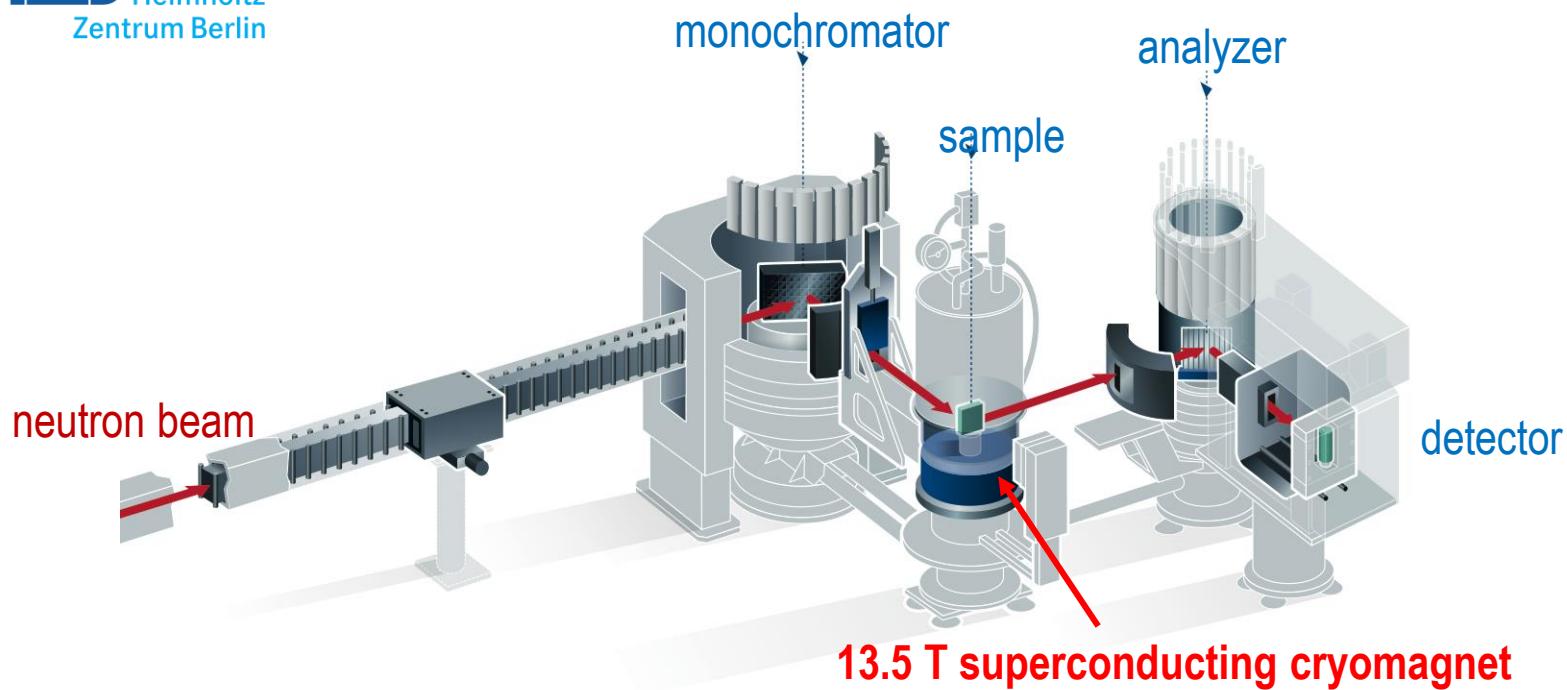
III. Recent results

- Higher fields and 3rd dimension
- Magnetocaloric effect and T- B phase diagram

C. Balz et al., arXiv:1903.00056

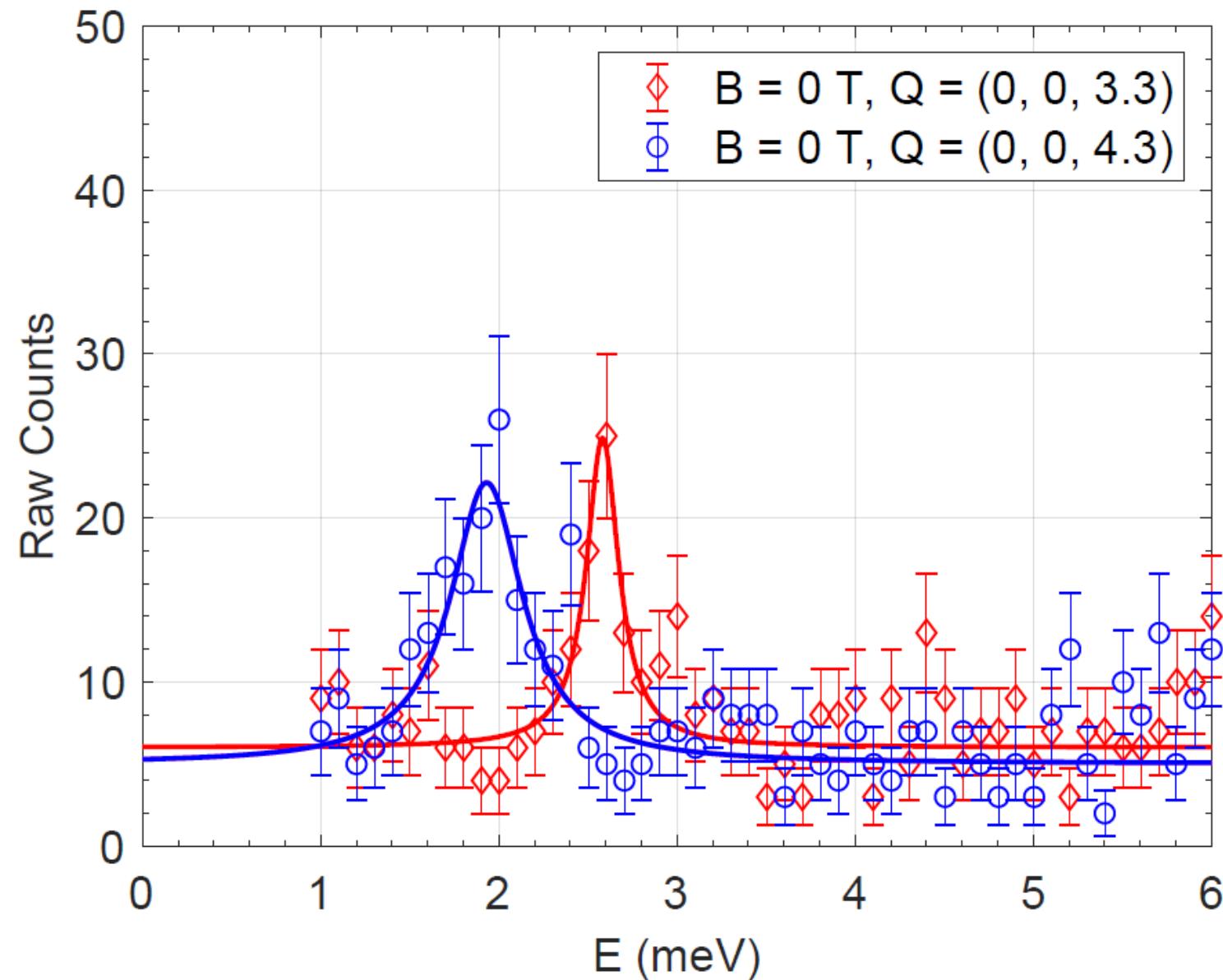
FLEXX triple-axis-spectrometer

HZB Helmholtz
Zentrum Berlin

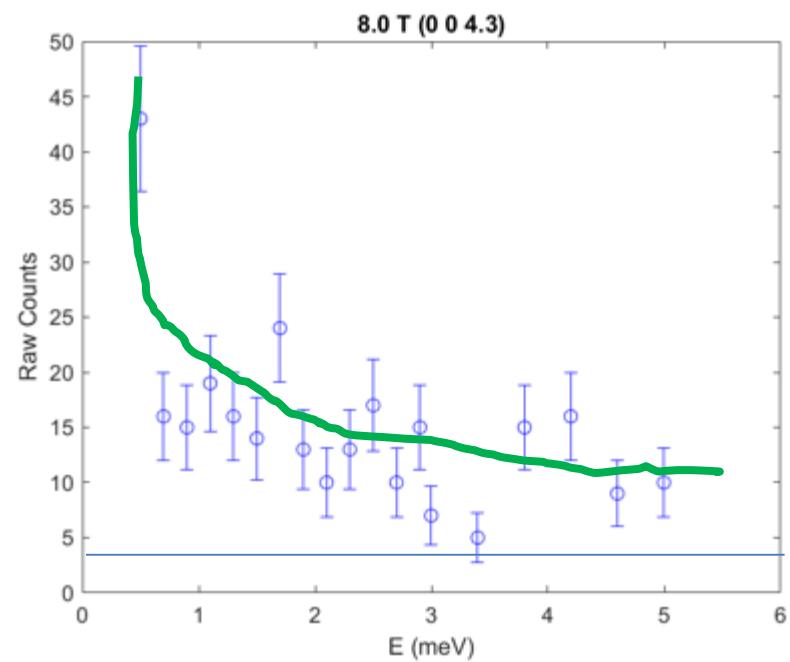
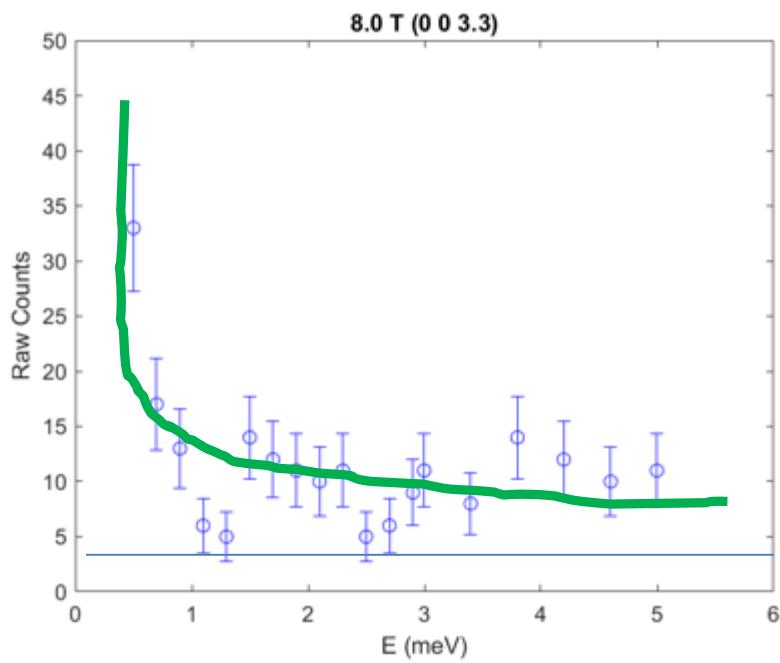


K. Habicht et al., EPJ Web of Conferences 83, 03007
(2015)

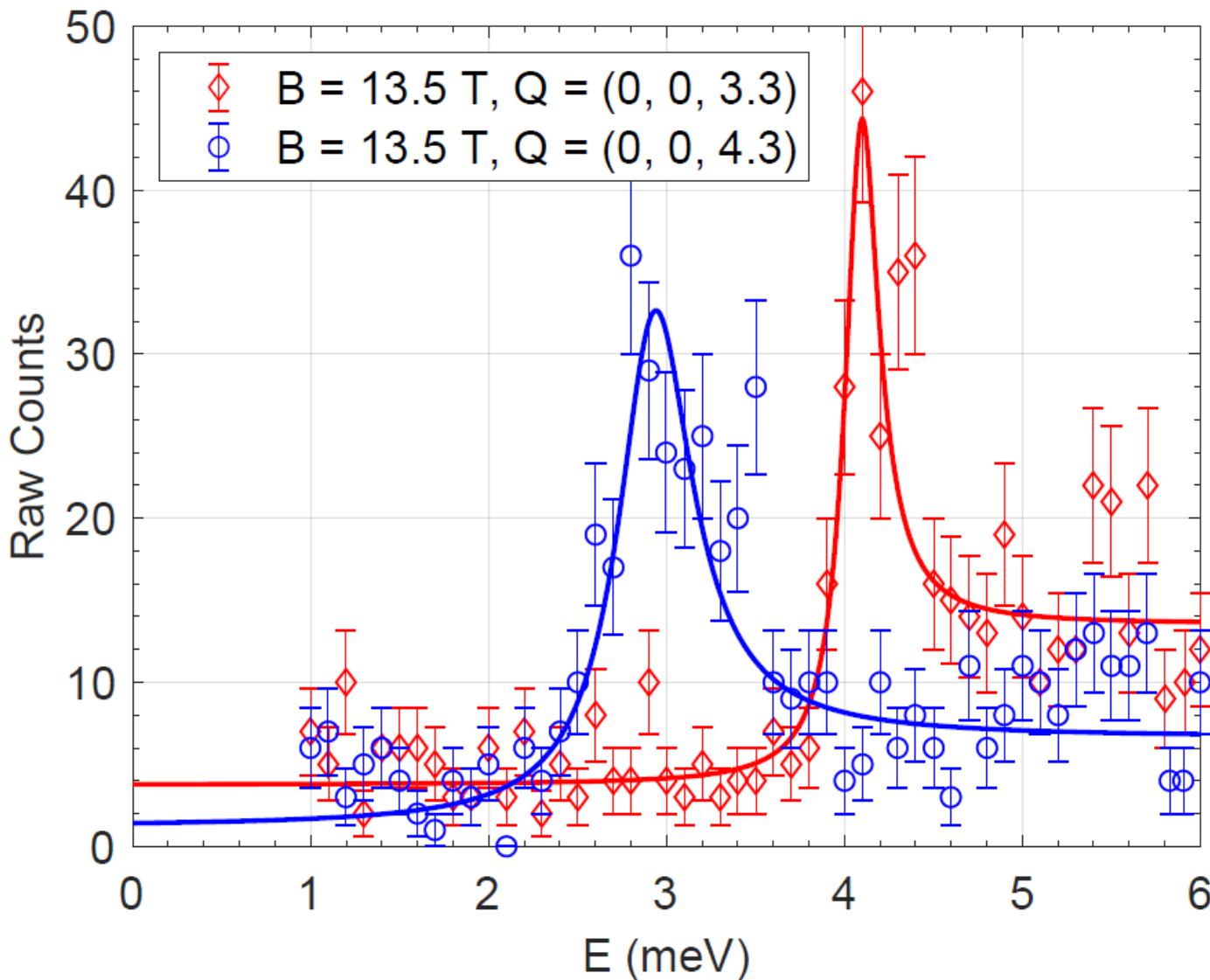
Constant Q scans, zero field



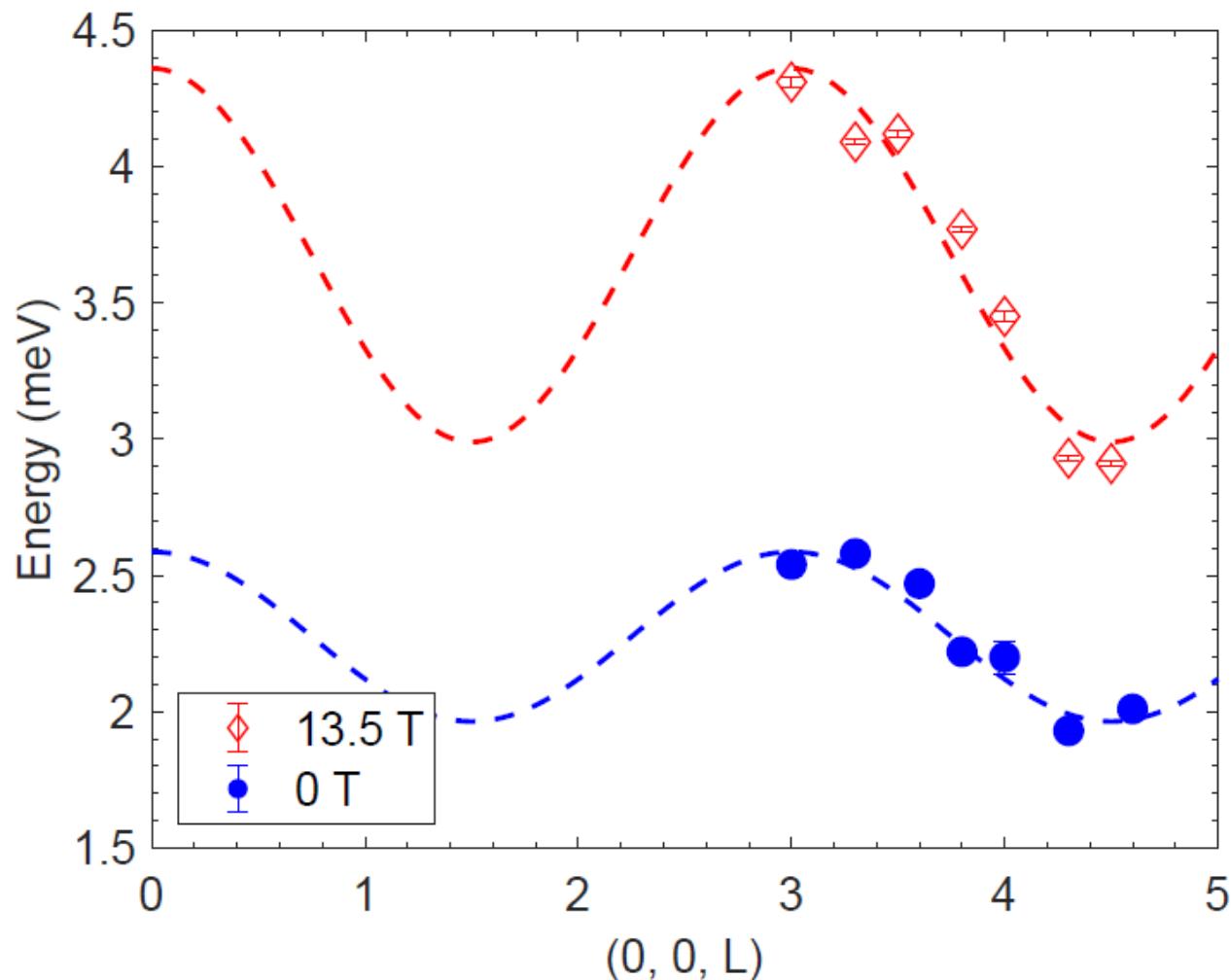
Constant Q scans, $B > 7.3$ T



Constant Q scans, $B > 9$ T



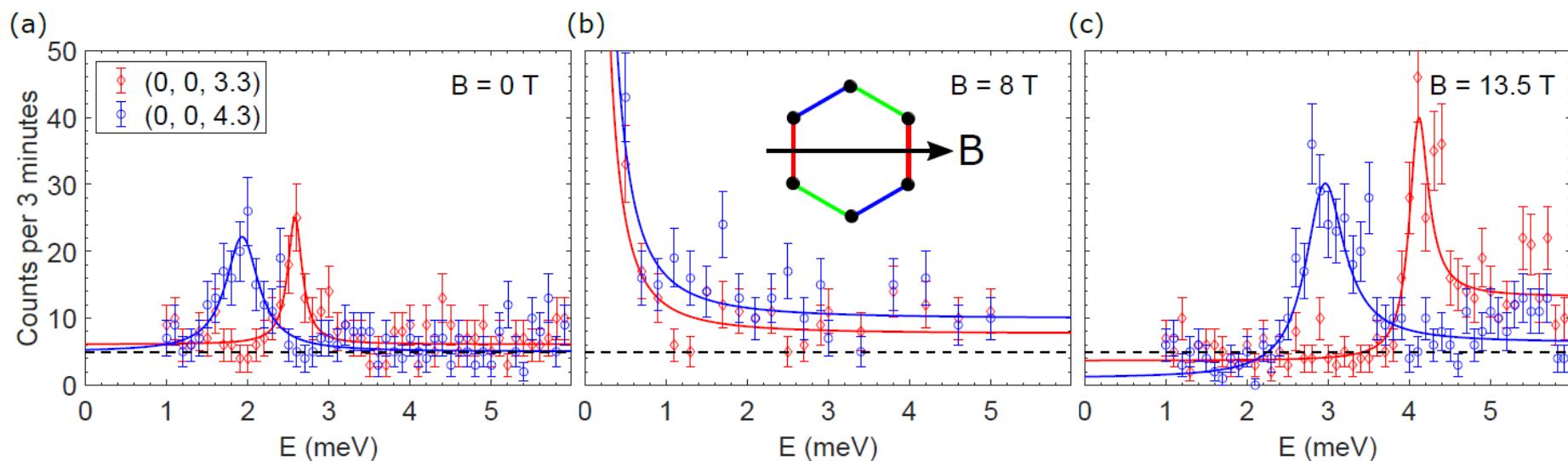
Dispersion along L



Takeaway:

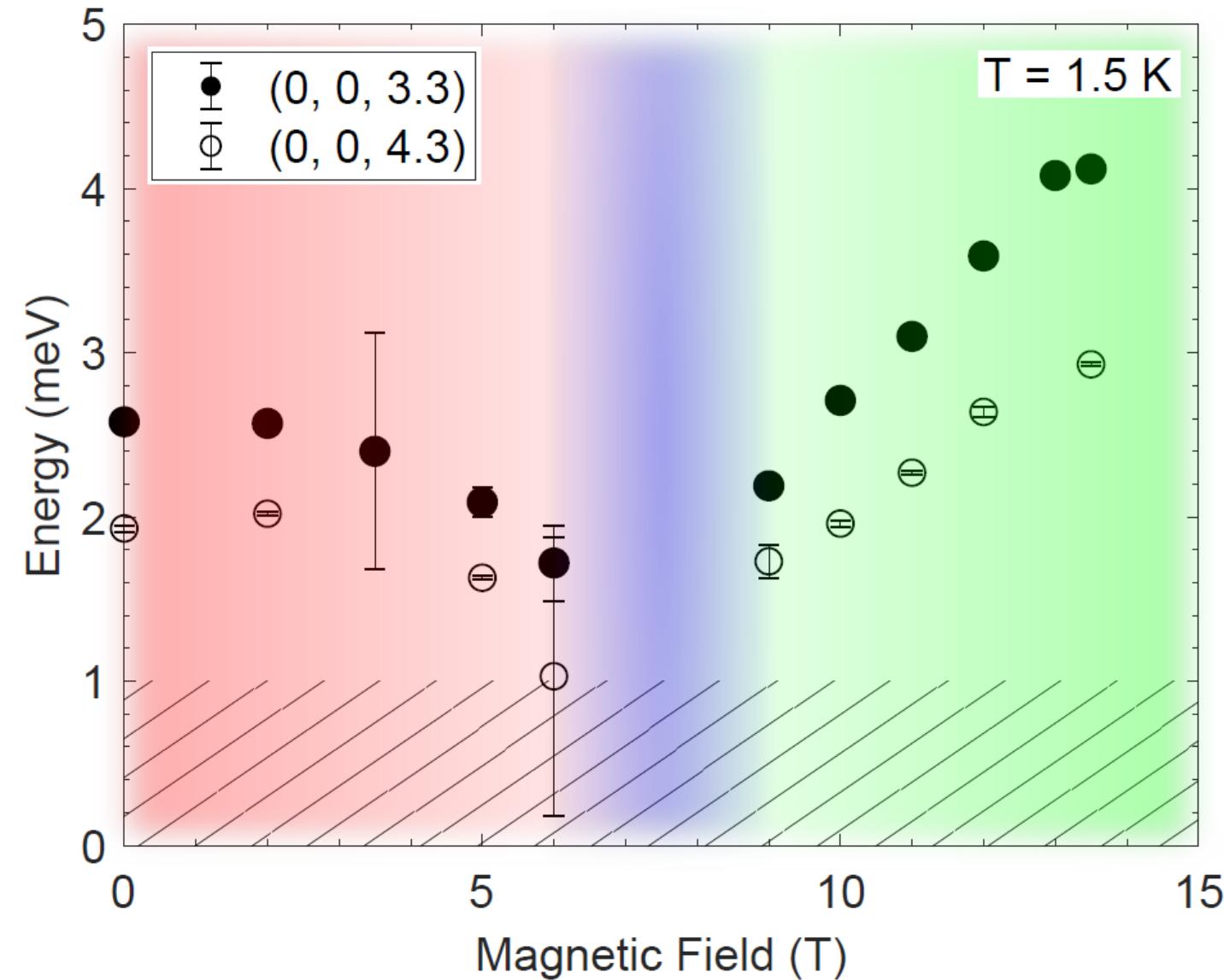
L dependence consistent with antiferromagnetic interlayer interaction (unit cell has 3 layers)

Recall B dependence of line shape



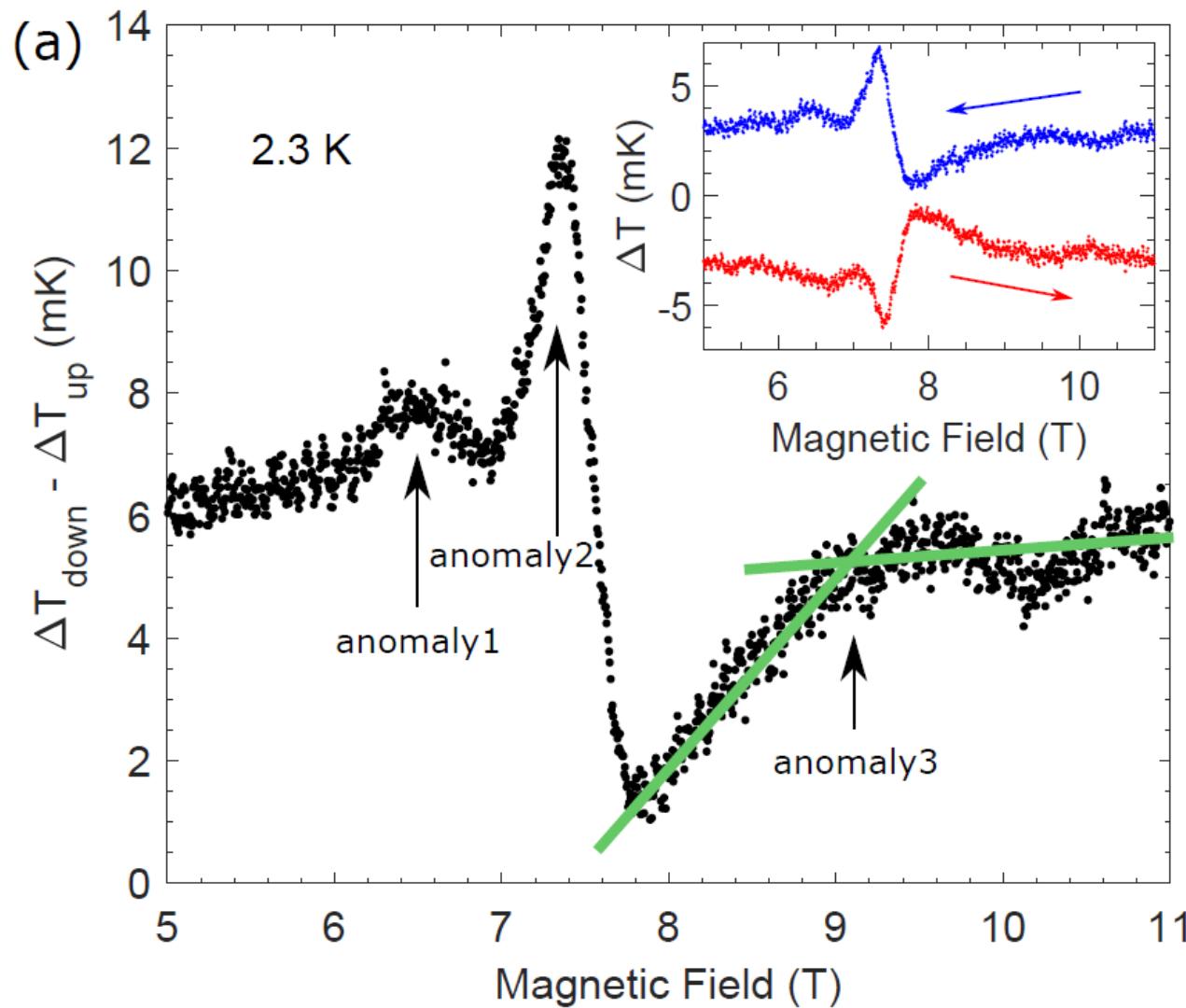
B dependence of Γ point gap from INS

C. Balz *et al.*,



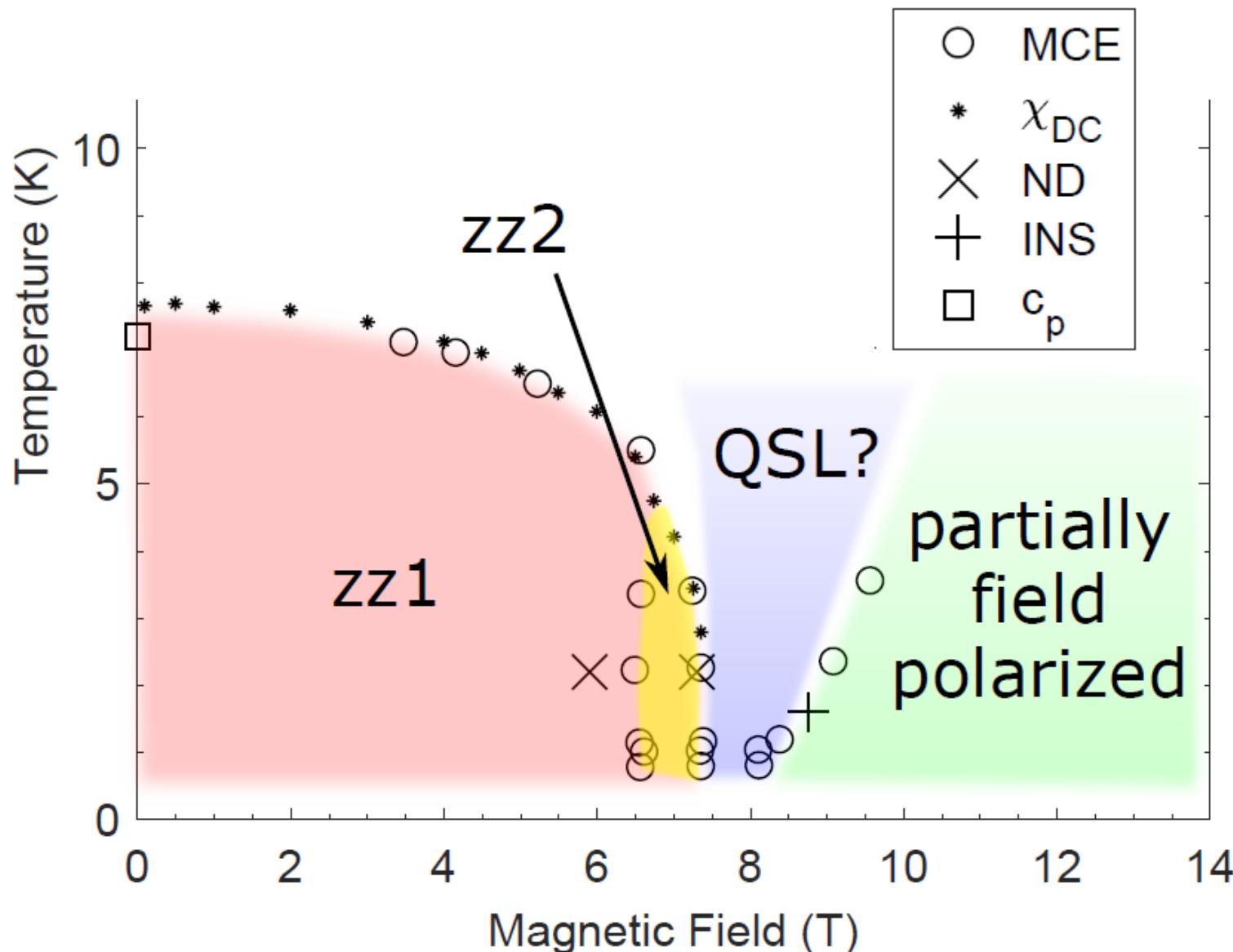
Magnetocaloric Effect

Y. Takano group



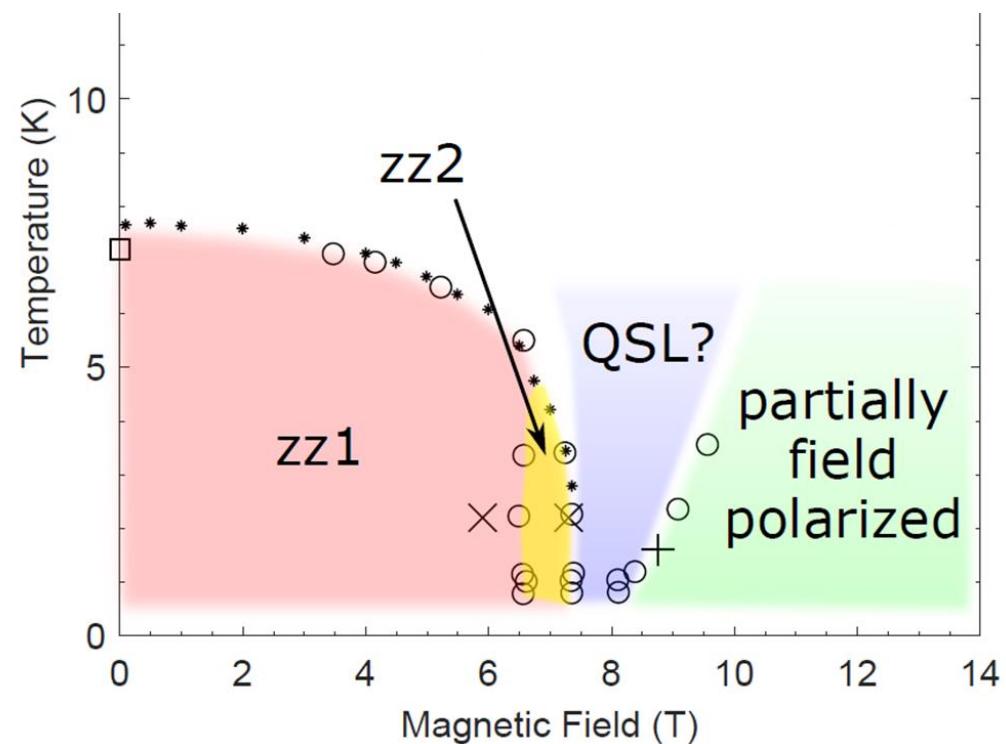
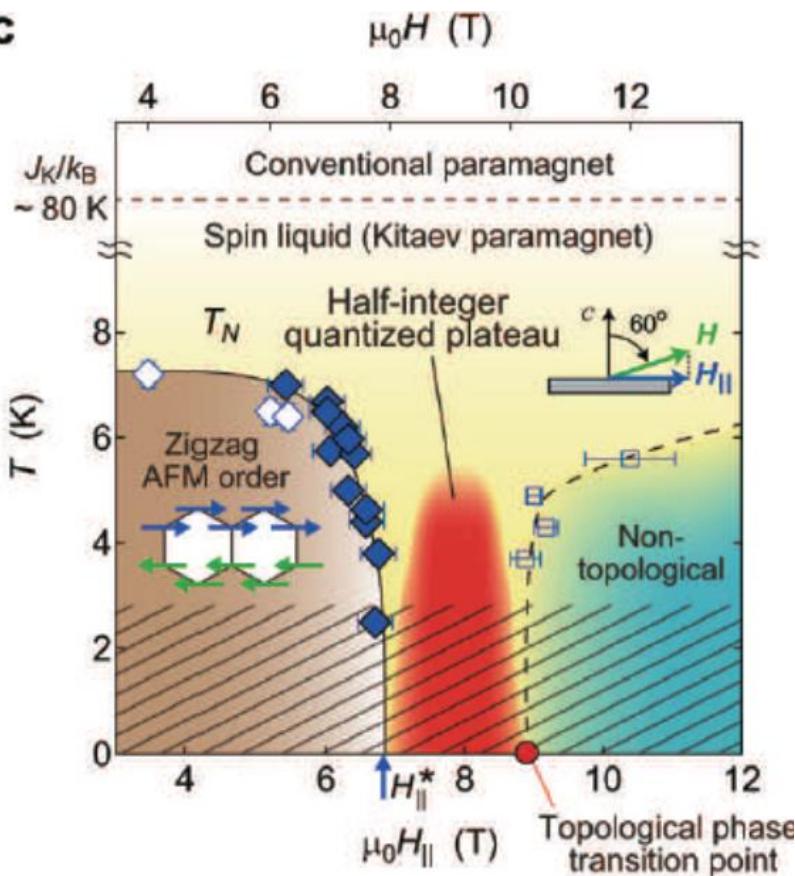
$$\Delta T \propto -T \left(\frac{\partial M}{\partial T} \right)_H \Delta H$$

More complete phase diagram



Comparison with Kasahara *et al.* phase diagram

C



Some conclusions

- Inelastic neutron scattering in $\alpha\text{-RuCl}_3$ is consistent with fractional excitations
- An external magnetic field applied in-plane leads to a magnetically disordered state, with a higher field transition to a state that seems to be partially polarized and supports magnons
- The intermediate field state is consistent with a QSL

References on α -RuCl₃

Neutron scattering experiments:

- A. Banerjee *et al.* Nature Materials **15**, 733(2016).
- H. Cao, A. Banerjee *et al.* PRB **93**, 134423 (2016).
- A. Banerjee *et al.* SCIENCE **356**, 1055 (2017).
- P. Lampen-Kelley *et al.* PRL **119**, 237203, (2017).
- A. Banerjee *et. al.*, Npj Quantum Materials **3**, 8 (2018).
- C. Balz *et al.*, arXiv:1903.00056

Others:

- M. Ziatdinov *et al.* Nat. Comm. **7**, 13774 (2016).
- A. Samarakoon *et al.* PRB **96**, 134408 (2017).
- A. Little *et al.* PRL **119**, 227201 (2017).
- A. N. Ponomaryov *et al.*, PRB **96**, 241107(R), (2017).
- R. Henrich *et al.*, PRL **120**, 117204 (2018).