

Wave-Particle Interactions with Highly Oblique Whistler Waves

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Ref: Albert (2017), *J. Geophys. Res. Space Physics*, 122, 5339–5354.

See also: Artemyev et al. (2016), *Space Sci. Rev.*, 200, 261–355.

Radiation belt electrons are well-described by multidimensional **quasi-linear diffusion**:

$$\begin{aligned} \frac{\partial f}{\partial t} = & \frac{1}{G} \frac{\partial}{\partial \alpha_0} G \left(\frac{D_{\alpha_0 \alpha_0}}{p^2} \frac{\partial f}{\partial \alpha_0} + \frac{D_{\alpha_0 p}}{p} \frac{\partial f}{\partial p} \right) \\ & + \frac{1}{G} \frac{\partial}{\partial p} G \left(\frac{D_{\alpha_0 p}}{p} \frac{\partial f}{\partial \alpha_0} + D_{pp} \frac{\partial f}{\partial p} \right) + L^2 \frac{\partial}{\partial L} \frac{D_{LL}}{L^2} \frac{\partial f}{\partial L} \end{aligned}$$

The D 's are largely driven by cyclotron-resonant whistler-mode waves:

plasmaspheric hiss

chorus waves

magnetosonic waves

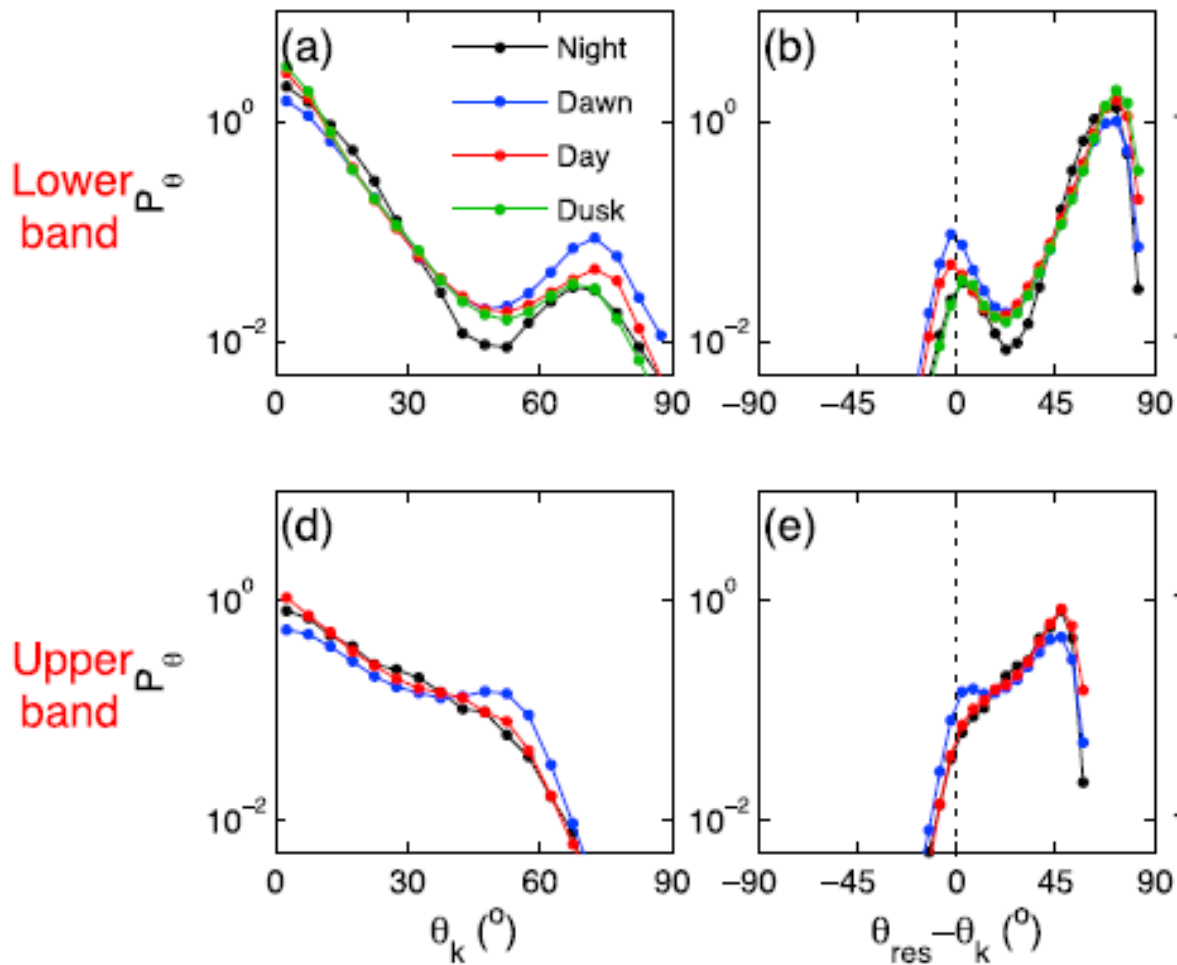
lightning-generated whistlers

Navy VLF transmitters

These waves $\sim B_w \sin(\mathbf{k} \cdot \mathbf{x} - \omega t)$ are characterized by amplitude, frequency ω , and wave vector \mathbf{k} , with **wave normal angle** θ (between \mathbf{k} and \mathbf{B}_0).

Typically, θ is small. But some chorus and hiss have

$\theta \sim \theta_{RC}$, where $\mu = kc/\omega \rightarrow \infty$.



chorus statistics
from THEMIS

[Li et al., JGR 2013]

Dipole antennas in space (e.g., **DSX**) are also predicted to radiate whistlers very near θ_{RC} .

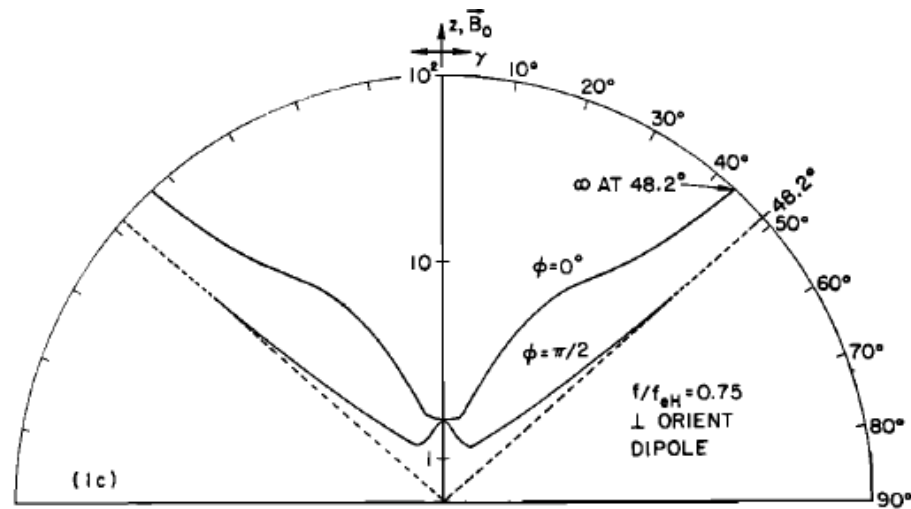


Fig. 1. Normalized radiation patterns for magnetic (L) and electric (D) dipoles at the frequency $f = 0.75 f_{eH}$. The patterns shown are typical of those that occur in the frequency range $f_{eH} > f > \frac{1}{2} f_{eH}$, assuming a moderate to high density plasma.

[Wang and Bell, JGR 1972]

It has recently been suggested that D is “enhanced,” though earlier analysis suggests $D \rightarrow 0$.

The [Lyons 1974] QLT diffusion coefficient formulas are:

$$D_{\alpha\alpha} = \sum_{n=-\infty}^{\infty} \frac{\Omega_e B_w^2}{\gamma^2 B^2} \int_{\theta_{\min}}^{\theta_{\max}} d\theta \sin \theta \Delta_n G_1 G_2 \quad \text{integral over resonances}$$

$$\Delta_n = \frac{\pi \sec \theta}{2 |v_{\parallel}/c|^3} \Phi_n^2 \frac{(-\sin^2 \alpha + \Omega_n/\omega)^2}{|1 - (\partial \omega / \partial k_{\parallel})_{\theta} / v_{\parallel}|} \quad \text{individual W - P interaction}$$

$$\Phi_n \sim \{J_n, J_{n\pm 1}\}(k_{\perp} \rho)$$

$$G_1 = \frac{\Omega_e B^2(\omega)}{\int_{\omega_{LC}}^{\omega_{UC}} B^2(\omega') d\omega'}, \quad G_2 = \frac{g(\omega, \theta)}{N(\omega)} \quad \text{wave power distribution in } (\omega, \theta)$$

$$N(\omega) = \int_{\theta_{\min}}^{\theta_{\max}} d\theta' \sin \theta' g(\omega, \theta') \Gamma(\omega, \theta') \quad \text{normalization integral}$$

$$\Gamma = \mu^2 \left| \mu + \omega \frac{\partial \mu}{\partial \omega} \right| \quad \mu(\omega, \theta) = \text{refractive index}$$

where $\Omega_n = -n \frac{\Omega_e}{\gamma}$, and (ω, θ) are linked by the resonance condition.

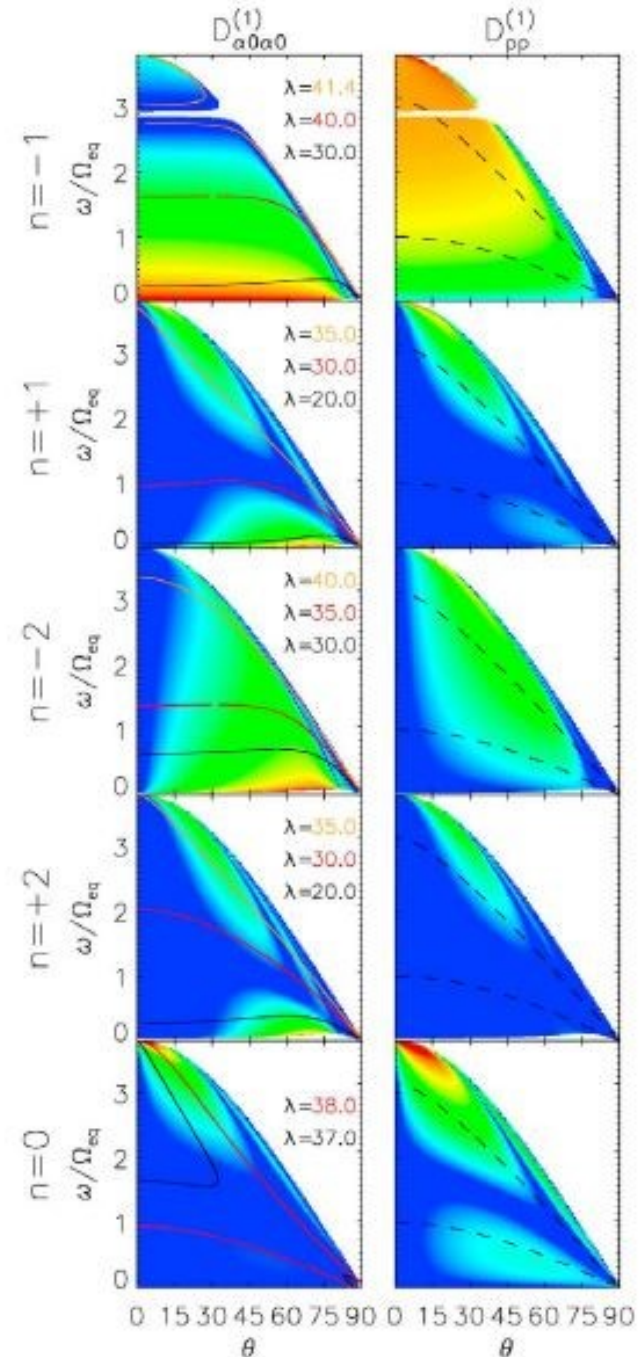
As $\theta_{\max} \rightarrow \theta_{RC}$, $\mu \rightarrow \infty$, $D \rightarrow ?$

Not obvious.

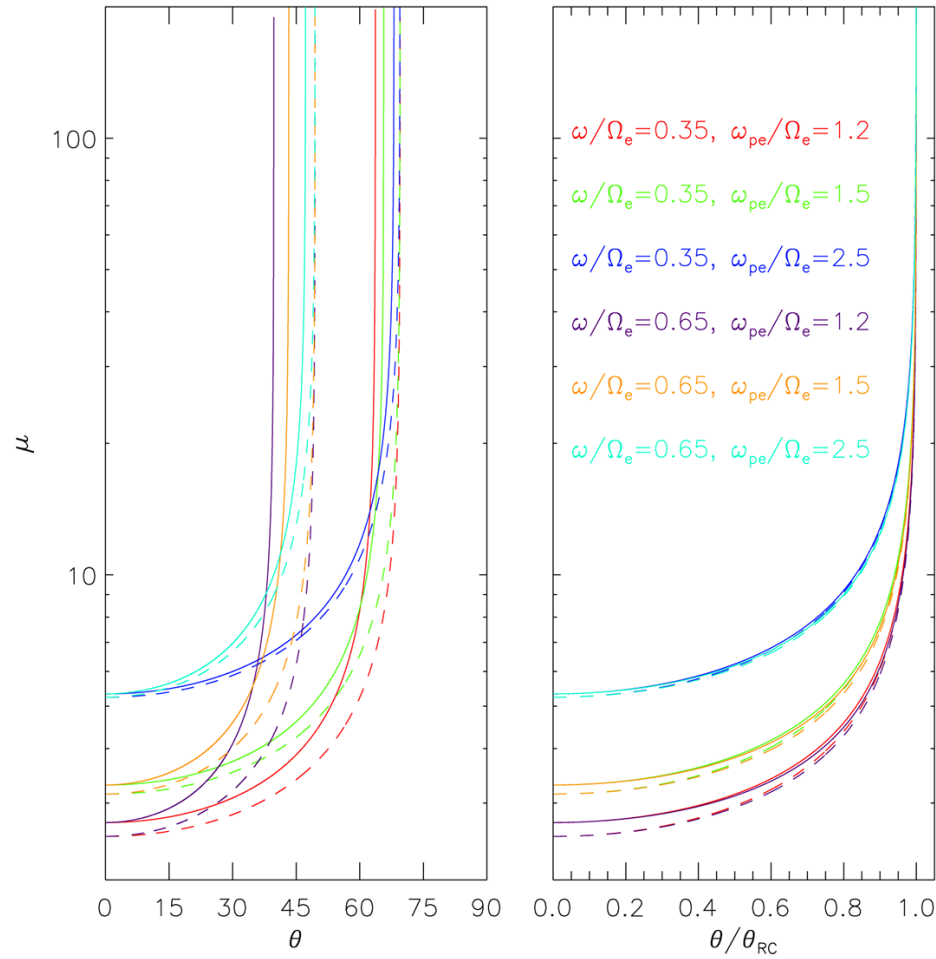
Theory and numerics show that for each n , $D_{\alpha\alpha}^n$ and $D_{pp}^n \rightarrow 0$ as $\theta \rightarrow \theta_{RC}$ [Albert, JGR, 2012].

But this isn't the whole story.

Need to worry about $\sum_{n=-\infty}^{\infty} D^n$
 (as $\theta \rightarrow \theta_{RC}$, the range of contributing n grows)



To study this, μ^2 can be approximated by $\mu^2 = \frac{\omega_{pe}^2}{\omega(\Omega_e \cos \theta - \omega)}$ which is qualitatively similar near the RC.



Then $\Gamma = \frac{\omega \Omega_e}{\omega_{pe}^2} \mu^5$. With $g(\omega, \theta) \approx \begin{cases} g_0, & \theta_{\min} \leq \theta \leq \theta_{\max} \\ 0, & \text{otherwise} \end{cases}$

$$N(\omega) = \left(\frac{\omega_{pe}^2}{\omega \Omega_e} \right)^{3/2} g_0 \left[\frac{\cos \theta_{\max} - \frac{2}{3} \cos \theta_{RC}}{(\cos \theta_{\max} - \cos \theta_{RC})^{3/2}} - \frac{\cos \theta_{\min} - \frac{2}{3} \cos \theta_{RC}}{(\cos \theta_{\min} - \cos \theta_{RC})^{3/2}} \right].$$

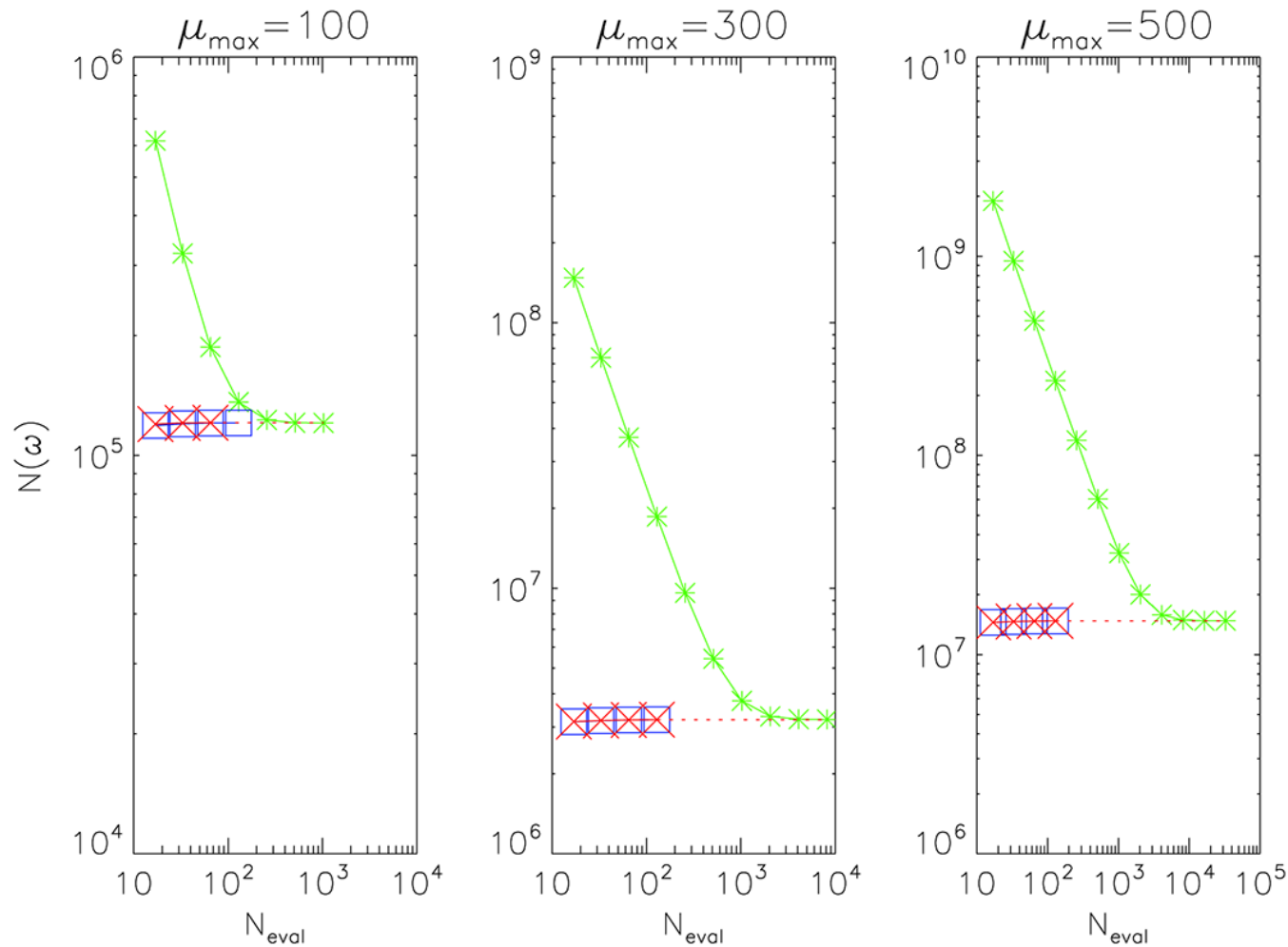
Compare to full-blown $N(\omega) = \int_{\theta_{\min}}^{\theta_{\max}} d\theta' \sin \theta' g(\omega, \theta') \Gamma(\omega, \theta')$,

or Lyons [1974]: $N(\omega) = \omega^2 \left(\frac{\omega_{pe}^2}{c^2 \Omega_e^2} \frac{1+M}{M} \right)^{3/2} \frac{2}{(2\pi)^2} I(\omega)$,

$$I(\omega) = \int_0^\infty g(\tan^{-1} x) x \{ (1+x^2) \Psi \}^{-3/2} \\ \times \left\{ 1 + \frac{1}{\Psi} \left[\frac{\omega^2}{\Omega_p \Omega_e} - \left\{ \frac{1}{2} \frac{\omega^2}{\Omega_p^2} (1-M)^2 \right\} \left\{ (1+x^2) \left(\Psi - 1 + \frac{\omega^2}{\Omega_p \Omega_e} \right) + \frac{1}{2} x^2 \right\}^{-1} \right] \right\} dx,$$

with $M = \frac{m_e}{m_p}$, $\Psi = \frac{\omega_{pe}^2}{\Omega_e^2} \frac{1+M}{M} \mu^{-2}$.

The change of vars leading to this also helps with the **full** $N(\omega)$, giving large giving large **speedup** near θ_{RC} .



This approximate $N(\omega)$ can also be written as

$$N(\omega) = \frac{g_0 \omega}{3 \Omega_e} \left[\mu_{\max}^3 \left(1 + 3 \frac{\mu_G^2}{\mu_{\max}^2} \right) - \mu_{\min}^3 \left(1 + 3 \frac{\mu_G^2}{\mu_{\min}^2} \right) \right]$$

where $\mu_G = \mu(\omega, \theta_G)$.

Idea: use (ω, μ) instead of (ω, θ) as the main variables.

This replaces $\theta_{\min} < \theta < \theta_{\max}$ with $\mu_{\min} < \mu < \mu_{\max}$.

For large μ , resonant $\cos \theta \approx \frac{\omega}{\Omega_e} \approx \sqrt{\frac{n}{\gamma(v_{\parallel}/c)\mu}}$.

Then all the terms in D can be approximated, giving

$$D_{\alpha\alpha} \approx A_0 \sum_n \int z^4 \frac{J_n^2(z)}{|n|} dz$$

where $z = k_{\perp} \rho \sim \mu^{1/2}$ and

$$A_0 = \frac{3\pi}{4} \frac{\Omega_e^6 / \omega_{pe}^4}{\omega_{UC} - \omega_{LC}} \frac{c^7 / v_{\perp}^7 \gamma^6}{\mu_{\max}^3 - \mu_{\min}^3} \frac{B_w^2}{B^2}.$$

The range of n is restricted by ω_{LC} , ω_{UC} , μ_{\min} , μ_{\max} .

This is **much simpler** than the original expressions.

More: the large-arg. approx. for J_n applies if $\tan \alpha > \omega_{UC}/\Omega_e$.

Then Σ_n and $\int dz$ can be done analytically, giving

$$D_{\alpha\alpha} \approx \frac{3}{64} \frac{c^3}{v_{\perp}^3} \frac{\omega_{UC}^3}{\Omega_e^3} D_0 \mu_{\max},$$

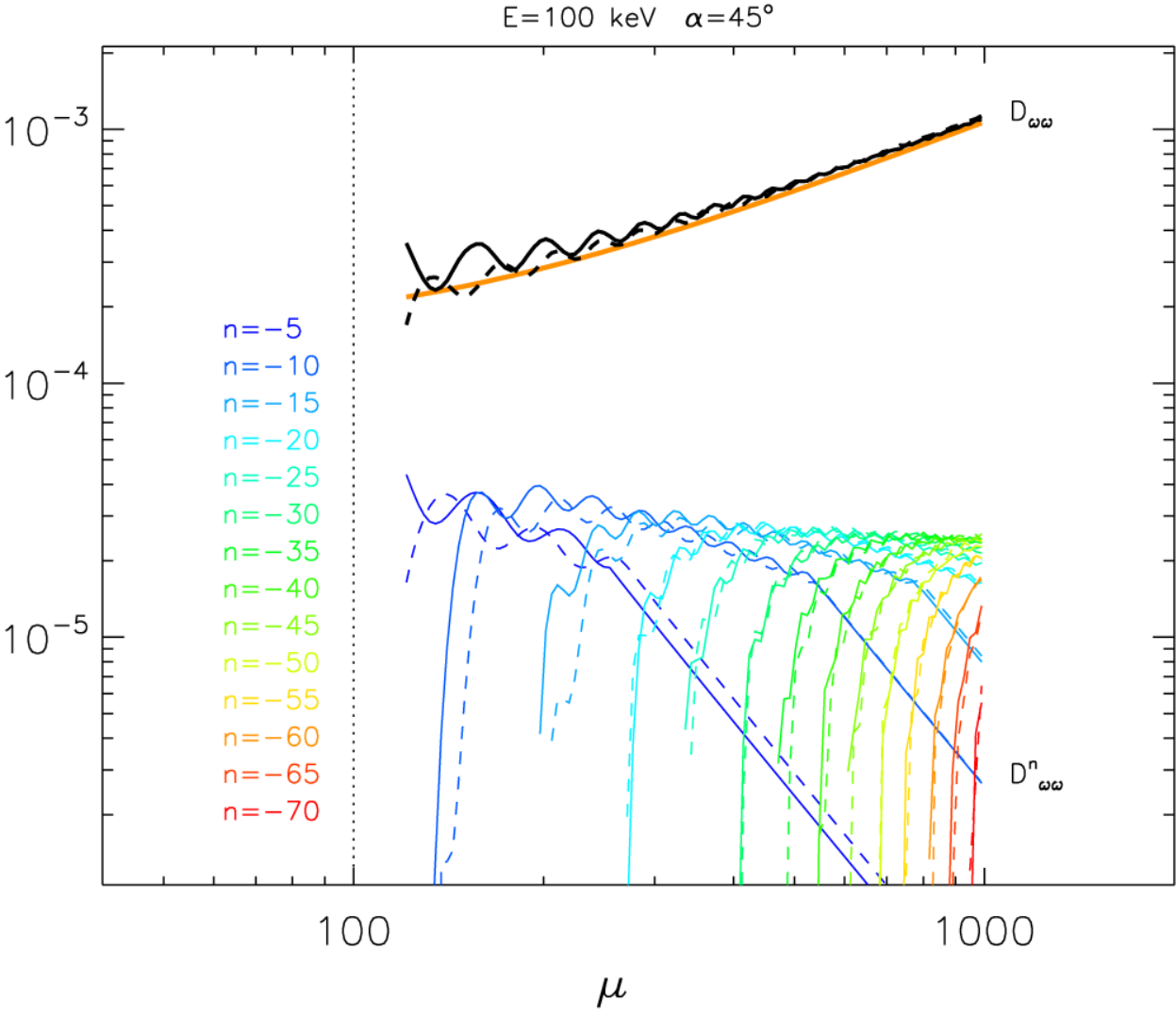
$$D_{\alpha p} \approx -\frac{1}{12} \frac{c^4}{v_{\perp}^2 v^2} \frac{\omega_{UC}^2}{\Omega_e^2} D_0,$$

$$D_{pp} \approx \frac{3}{16} \frac{c^5}{v_{\perp} v^4} \frac{\omega_{UC}}{\Omega_e} \frac{D_0}{\mu_{\max}}$$

$$D_0 = \frac{\Omega_e^4}{\omega_{pe}^4} \frac{\Omega_e B_w^2}{\gamma^2 B^2}$$

As $\mu_{\max} \rightarrow \infty$, $D_{\alpha\alpha} \rightarrow \infty$ and $D_{pp} \rightarrow 0$.

These results have been checked numerically:



Note: $\mu_{\max} \rightarrow \infty$ was taken with B_w fixed, so $E_w \rightarrow \infty$.

Holding E_w fixed instead gives $B_w \rightarrow 0$ and

$$D_{\alpha\alpha} \sim \frac{E_w^2}{\mu_{\max}}, \quad D_{\alpha p} \sim \frac{E_w^2}{\mu_{\max}^2}, \quad D_{pp} \sim \frac{E_w^2}{\mu_{\max}^3}$$

Then $D_{\alpha\alpha} \rightarrow 0$, not ∞ .

This may be a more realistic model as $\theta \rightarrow \theta_{RC}$.

If $\tan \alpha \ll \omega_{LC}/\Omega_e$, the small-arg. approx. for J_n leads to

$$D_{\alpha\alpha} \sim \frac{B_w^2}{\mu_{\max}^3}, \quad D_{pp} \sim \frac{B_w^2}{\mu_{\max}^3}$$

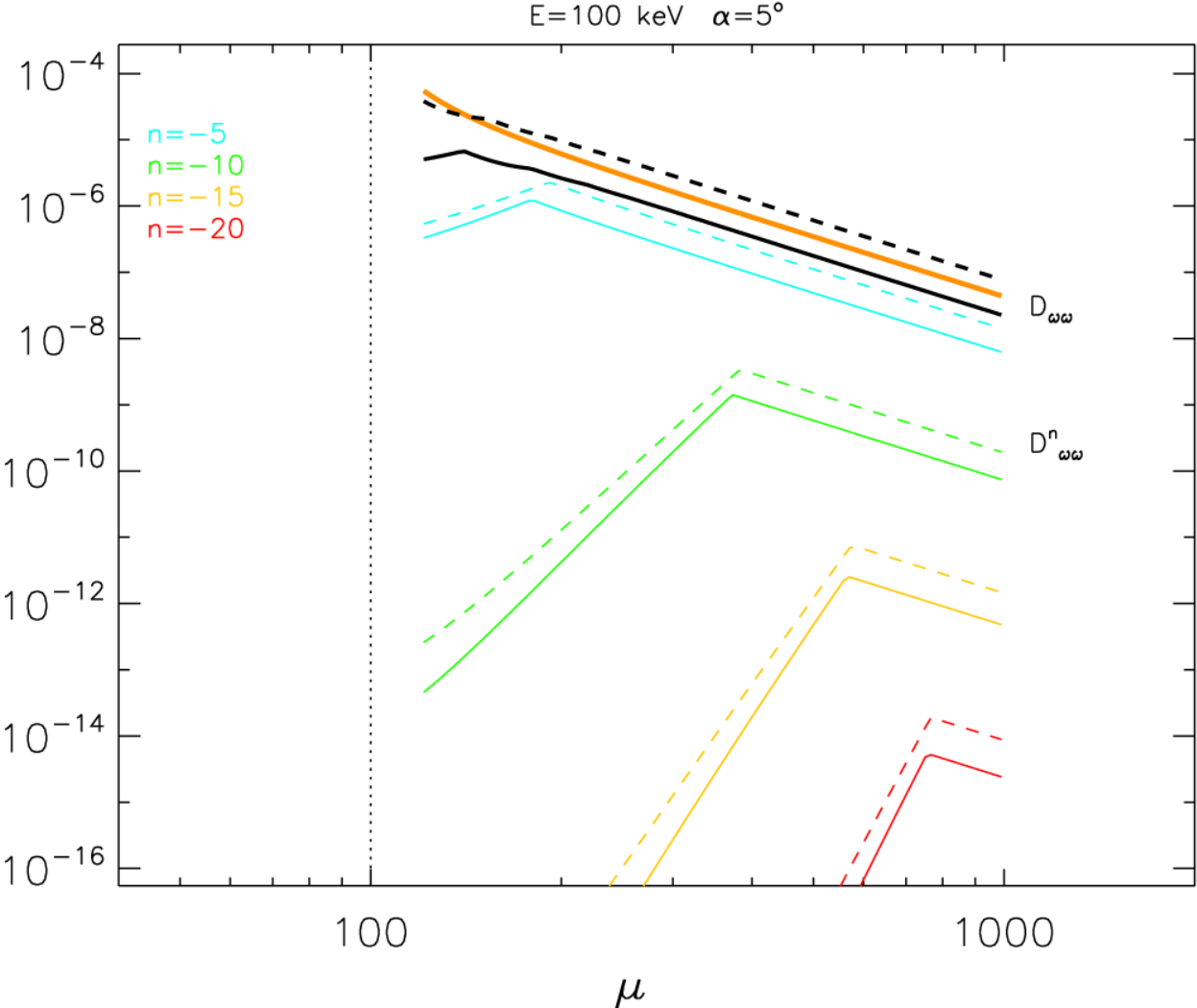
or

$$D_{\alpha\alpha} \sim \frac{E_w^2}{\mu_{\max}^3}, \quad D_{pp} \sim \frac{E_w^2}{\mu_{\max}^3}$$

which both give $D_{\alpha\alpha} \rightarrow 0$ for highly oblique waves.

(Can redo all of the above using μ_{\parallel} instead of μ .)

This result has also been checked numerically.



Summary

D for highly oblique whistlers is different from moderately oblique.
Analytical estimates show what to expect, and help with the full calcs.

$D \rightarrow \infty$ vs. $D \rightarrow 0$? It depends on the model:

Parameterize by B_w^2 or E_w^2 or S_w or ...?

(Scale B_w by E_{em}/E_w ? Horne et al., JGR 2013)

Limited by μ_{\max} or $\mu_{\parallel \max}$? Set by $T_{e\parallel}$ or something else?

We're reaching the validity limits of cold plasma theory.

Other possible applications:

EMIC: $\mu \rightarrow \infty$ as $\omega \rightarrow \Omega_i$. MS: no RC, but θ and μ are large.