# Mean Field Theory of Polynuclear Surface Growth 

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#### Abstract

We study statistical properties of a continuum model of polynuclear surface growth on an infinite substrate. We develop a self-consistent mean-field theory which is solved to deduce the growth velocity and the extremal behavior of the coverage. Numerical simulations show that this theory gives an improved approximation for the coverage compare to the previous linear recursion relations approach. Furthermore, these two approximations provide useful upper and lower bounds for a number of characteristics including the coverage, growth velocity, and the width exponent. PACS numbers: $02.50 . \mathrm{Ey}, 05.40 .+\mathrm{j}, 82.20 . \mathrm{Mj}, 82.60 . \mathrm{Nh}$


## I. INTRODUCTION

Kinetics of surface growth is a fascinating field that has been the subject of intense current research [1-4]. It is well established that as the surface grows its morphology remains scale invariant, and for example, fluctuations in the interface height exhibit an asymptotic scaling behavior. While the understanding of growth on onedimensional (1D) substrates is rather comprehensive, in the physical case of two-dimensional substrates current theoretical understanding remains incomplete [3,4].
In this study we focus on an appealingly simple yet non-trivial surface growth problem, the so-called polynuclear growth (PNG) model [5-7]. The PNG model describes the evolution of islands that nucleate at random on top of previously nucleated islands and grow in the radial direction. This model is appropriate for describing situations where there is a competition between growth along the step edges and growth due to nucleation, as is the case in polymer crystal growth [8,9].

The submonolayer version of the PNG model is identical to the classical Kolmogorov-Avrami-Johnson-Mehl (KAJM) nucleation-and-growth process [10-12] where exact results for the coverage and more detailed statistical properties are possible [13-16]. Using the exact solution for the KAJM coverage, an approximate Linear Recursion Relations (LRR) approach to the PNG process in arbitrary dimension was suggested [6,17-19].

In one dimension, the PNG model is equivalent to an ensemble of "kinks" (down steps) and "antikinks" (up steps) moving ballistically and annihilating upon collision. Steady states on finite 1D substrates were obtained analytically using the fact that kinks (antikinks) are uncorrelated $[5,20,21]$. In the steady state, the width scales with system size $L$ according to $w(L) \sim \sqrt{L}$, i.e. the roughness exponent is $\chi=1 / 2$. However, the nonequilibrium behavior and especially the asymptotic time dependence remains an open problem, despite a number of studies [18-24].

Our goal is to develop a self-consistent approach that can be viewed as a mean field theory (MFT) of the PNG process, and to compare it with the previous LRR ap-
proximation as well as with numerical simulations. We will show that MFT offers a better description for the time dependent coverage, and that the two approaches, when combined, provide upper and lower bounds for the coverage and the growth velocity.
The rest of this paper is organized as follows. In the next section we define the PNG model. In Sec. III, we develop a self-consistent mean field approximation. We find that possible growth velocities are bounded from below, $v \geq v_{\text {min }}$, and show that the minimal velocity is actually selected. We also solve for the coverage profile in the tail regions. In Sec. IV, we review the LRR approach and derive the coverage profile analytically. In Sec. V, we present simulation results. Conclusions are given in Sec. VI.

## II. THE PNG MODEL

The PNG is a continuous time, off-lattice model which contains both deterministic and stochastic events. Starting from a flat uniform $d$-dimensional substrate at time $t=0$, seeds of negligible size nucleate at random times and random positions at a constant rate per unit area. Once created, islands grow laterally at a constant velocity in the radial direction. When two islands in the same layer meet they coalesce, and the joint perimeter continues growing in the corresponding radial direction. Meanwhile, nucleation continuously generates additional layers on top of previously nucleated layers. Clearly, there are no overhangs in this model, a feature that considerably simplifies the analysis. Another important simplification in the PNG model is that the nucleation rate is uniform in time as well as in space, i.e., it is independent of the local surface structure. Without loss of generality, we set the nucleation rate and the radial growth velocity to unity. This can be achieved by an appropriate rescaling of space and time.

Below, we concentrate on $S_{j}(t)$, the uncovered fraction in the $j$ th layer at time $t$. This important characteristic of multi-layer growth gives the net exposed
fraction of the $j$ th layer, $S_{j}(t)-S_{j-1}(t)$, and therefore can be used to calculate relevant statistical properties. The variable $j$ plays the role of the local height $h$, often used in surface growth studies. In general $\langle f(j)\rangle=\sum_{j=0}^{\infty} f(j)\left[S_{j+1}(t)-S_{j}(t)\right]$, and in particular the average height is given by

$$
\begin{equation*}
h(t)=\langle j\rangle=\sum_{j=1}^{\infty} j\left[S_{j+1}(t)-S_{j}(t)\right] . \tag{1}
\end{equation*}
$$

Fluctuations in the height are quantified by the mean square width $w^{2}(t)$ defined via

$$
\begin{equation*}
w^{2}(t)=\left\langle j^{2}\right\rangle-\langle j\rangle^{2}=\sum_{j=1}^{\infty} j^{2}\left[S_{j+1}(t)-S_{j}(t)\right]-h^{2}(t) \tag{2}
\end{equation*}
$$

We expect a linear growth in time for the average height, $h(t) \simeq v t$, and an algebraic growth of the width, $w(t) \sim$ $t^{\beta}$, with a priori unknown width exponent $\beta$. In other words, the uncovered fraction obeys the following wavelike form

$$
\begin{equation*}
S_{j}(t)=F\left(\frac{j-v t}{t^{\beta}}\right) \tag{3}
\end{equation*}
$$

The argument reflects the overall shift in the position of the wave-front, and the multiplicative scale accounts for the algebraic widening of the front. This expression also serves as a definition of the width exponent $\beta$, which is equivalent to Eq. (2).
Far from the front region, we anticipate the following extremal behavior of the scaling function $F(z)$ :

$$
F(z) \sim \begin{cases}1-\exp \left(-z^{\sigma_{+}}\right) & z \rightarrow \infty ;  \tag{4}\\ \exp \left(-|z|^{\sigma_{-}}\right) & z \rightarrow-\infty .\end{cases}
$$

The exponents $\sigma_{ \pm}$thus characterize relaxation away from the front region [25]. The exponent $\sigma_{+}$which describes large positive fluctuations in the height can be simply related to the roughness exponent. Consider a large positive height fluctuation, $j=A v t$, with $A \gg 1$. Such large "towers" can be created only by an anomalously large number, Avt, of nucleation events localized in the same region. Given the Poisson nature of the nucleation events, such fluctuations are suppressed exponentially. Thus, the quantity $1-S_{A v t}(t)$ is estimated by $\exp (-t)$, but since Eq. (4) gives $\exp \left[-t^{\sigma_{+}(1-\beta)}\right]$, we conclude that

$$
\begin{equation*}
\sigma_{+}=\frac{1}{1-\beta} . \tag{5}
\end{equation*}
$$

In this study, we focus on systems with infinite lateral size. If the size is finite, it eventually becomes important and the width follows the scaling form $w(t, L) \sim$ $L^{\chi} \mathcal{W}\left(t / L^{z}\right)$. The infinite size limit corresponds to $t \ll$ $L^{z}$ where the size dependence disappears and therefore $w(t) \sim t^{\beta}$ with $\beta=\chi / z$ follows [3].

## III. MEAN FIELD THEORY

## A. One Dimension

We start with the PNG model in one dimension where a more comprehensive analysis is possible. In this situation, steps nucleate in pairs and move away from each other with a constant velocity. The constant nucleation rate and the growth velocity are set to unity, without loss of generality. Hence, the length of an island at time $t$ after birth equals $2 t$. Consider $f_{j}(x, t)$, the density of gaps of length $x$ at time $t$ in the $j$ th layer. This distribution evolves according to

$$
\begin{equation*}
\frac{\partial f_{j}(x, t)}{\partial t}=2 \frac{\partial f_{j}(x, t)}{\partial x}+\gamma_{j}(t)\left[-x f_{j}(x, t)+2 \int_{x}^{\infty} d y f_{j}(y, t)\right] . \tag{6}
\end{equation*}
$$

The spatial derivative term describes shrinkage of gaps. The last two terms account for changes due to nucleation and thus, are proportional to the overall nucleation rate at the $j$ th layer, $\gamma_{j}(t)$. The loss term is proportional to the gap length and the gain term describes creation of gaps from larger gaps.

Eqs. (6) contain yet unknown nucleation rates $\gamma_{j}(t)$ which will be chosen to satisfy the correct kinetic equations for the uncovered fractions

$$
\begin{equation*}
S_{j}(t)=\int_{0}^{\infty} d x x f_{j}(x, t) \tag{7}
\end{equation*}
$$

and the gap (or island) densities

$$
\begin{equation*}
N_{j}(t)=\int_{0}^{\infty} d x f_{j}(x, t) \tag{8}
\end{equation*}
$$

The uncovered fraction decreases with a rate proportional to the island density, $\dot{S}_{j}(t)=-2 N_{j}(t)$, and by integrating Eqs. (6) we indeed recover this exact equation. The island density changes due to disappearance of gaps as well as due to nucleation. The total nucleation rate is proportional to the exposed fraction of the $j$ th layer and thus, $\dot{N}_{j}(t)=-2 f_{j}(0, t)+S_{j}(t)-S_{j-1}(t)$. On the other hand, by integrating Eqs. (6) we obtain $\dot{N}_{j}(t)=-2 f_{j}(0, t)+\gamma_{j}(t) S_{j}(t)$. Therefore, the choice

$$
\begin{equation*}
\gamma_{j}(t)=1-\frac{S_{j-1}(t)}{S_{j}(t)} \tag{9}
\end{equation*}
$$

guarantees that the gap density evolves according to $e x$ act rate equation. The expression of Eq. (9) for the nucleation rate $\gamma_{j}(t)$ in the $j$ th layer is intuitively appealing since the total unit nucleation rate should be reduced to account for nucleation events below the $j$ th layer. Taking into account that $S_{0}(t) \equiv 0$ we find $\gamma_{1}(t) \equiv 1$ and we notice that Eq. (6) for the first layer agrees with the exact

KAJM equation [13]. Thus, the set of rate equations (6) with the nucleation rates (9) provides a self-consistent description of the 1D PNG model. It is exact for the first layer, and additionally, the first two moments of the gap density satisfy the correct rate equations. However, it is a mean-field description since it assumes a spatially homogeneous nucleation rate $\gamma_{j}(t)$.

The gap density is found to be exponential, and the formal solution reads

$$
\begin{equation*}
f_{j}(x, t)=g_{j}^{2}(t) \exp \left[-g_{j}(t) x-2 \int_{0}^{t} d \tau g_{j}(\tau)\right] \tag{10}
\end{equation*}
$$

with $g_{j}(t)=\int_{0}^{t} d \tau \gamma_{j}(\tau)$. The uncovered fraction and the island density are evaluated using Eqs. (7) and (8):

$$
\begin{align*}
S_{j}(t) & =e^{-2 \int_{0}^{t} d \tau g_{j}(\tau)}, \\
N_{j}(t) & =g_{j}(t) S_{j}(t) \tag{11}
\end{align*}
$$

Evaluating $d^{2} \ln S_{j}(t) / d t^{2}$ together with Eq. (9) and $\dot{g}_{j}(t)=\gamma_{j}(t)$, leads to an infinite set of recursive differential equations for the uncovered fraction

$$
\begin{equation*}
\ddot{S}_{j}-\dot{S}_{j}^{2} S_{j}^{-1}+2\left(S_{j}-S_{j-1}\right)=0 . \tag{12}
\end{equation*}
$$

Eqs. (12) should be solved subject to the initial conditions $S_{j}(0)=1$ and $\dot{S}_{j}(0)=0$ for $j \geq 1$. The recursive structure of Eqs. (12) reflects the fact that kinetics of a given layer is unrelated to that of all layers above (thanks to the absence of overhangs). Additionally, Eqs. (12) agree with the nature of the PNG model implying that kinetics of a given layer should be directly coupled only to the previous layer.

Using $S_{0}(t) \equiv 0, S_{1}(t)$ is determined, then $S_{2}(t)$, etc. Of course, for the first layer, the KAJM nucleation-andgrowth results are reproduced [13]

$$
\begin{align*}
S_{1}(t) & =e^{-t^{2}}  \tag{13}\\
f_{1}(x, t) & =t^{2} e^{-x t-t^{2}}
\end{align*}
$$

It is also possible to solve analytically for the second layer

$$
\begin{align*}
S_{2}(t) & =\cosh ^{2} t e^{-t^{2}}  \tag{14}\\
f_{2}(x, t) & =[t \cosh t-\sinh t]^{2} e^{-(t-\tanh t) x-t^{2}}
\end{align*}
$$

Using the transformation, $S_{j}(t)=\exp \left[u_{j}(t)-t^{2}\right]$, the differential equations (12) formally simplify to a directed version of the Toda equations [26], $\ddot{u}_{j}=2 \exp \left[u_{j-1}-u_{j}\right]$, with the initial conditions $u_{j}(0)=\dot{u}_{j}(0)=0$ and the boundary condition $u_{1}(t)=0$. Despite this simplification it is not possible to integrate these equations, and we solve numerically for $S_{j}(t)$. Fig. 1 shows how the coverage in a given layer changes with time. We see that the coverage quickly relaxes onto a traveling wave form with a finite width, $S_{j}(t) \rightarrow F(j-v t)$.
Some quantitative characteristics of the traveling wave can be determined analytically. For $j-v t \gg 1$, the nonlinear term in (12) is negligible and Eqs. (12) become linear. Thus, we write

$$
\begin{equation*}
1-S_{j}(t) \sim e^{-\alpha(j-v t)}, \quad j-v t \gg 1 \tag{15}
\end{equation*}
$$

with a yet unknown coefficient $\alpha$. Substituting into Eqs. (12) gives

$$
\begin{equation*}
v^{2}=2 \frac{e^{\alpha}-1}{\alpha^{2}} \tag{16}
\end{equation*}
$$

The right hand side has a minimum at $\alpha=1.59362$. Therefore any velocity in the interval $\left[v_{\min }, \infty\right)$ with $v_{\text {min }}=1.75735$ is possible. Our numerical integration shows a velocity that falls within $0.1 \%$ of $v_{\text {min }}$, thereby implying that the minimal velocity is indeed selected. Such minimum velocity selection is ubiquitous and occurs for a wide class of initial conditions $[27,28]$.


Fig. 1 The uncovered fraction $S_{j}(t)$ vs. time for layers $j=$ 20, 40, 60, and 80. Clearly, the coverage follows a traveling wave form.

To obtain the asymptotic behavior in the other extreme, $z=j-v t \rightarrow-\infty$, we first note that $S_{2}(t) \gg S_{1}(t)$, as follows from (13) and (14). We assume this for all layers far behind the front; we will check this assumption $S_{j}(t) \gg S_{j-1}(t)$ a posteriori. This reduces Eqs. (12) to $\ddot{S}_{j}-\dot{S}_{j}^{2} S_{j}^{-1}+2 S_{j}=0$ which is solved to yield $S_{j}(t)=\exp \left(-t^{2}+A_{j} t+B_{j}\right)$. The traveling wave form implies that $S_{j}(t)$ should be a function of a single variable $z=j-v t$. This determines the constants $A_{j}$ and $B_{j}$, and we find $S_{j}(t)=F(z) \sim \exp \left(-z^{2} / v^{2}\right)$. One can verify that the assumption $S_{j}(t) \gg S_{j-1}(t)$ is valid.

## B. Arbitrary Dimension

The above analysis cannot be generalized in a straightforward manner to $d \neq 1$ since the gap distribution is
intrinsically one-dimensional. However, it is still possible to obtain a mean field description for the uncovered fraction.

Consider first a KAJM nucleation-and-growth process where the rate of nucleation events $\gamma(t)$ is homogeneous in space but time dependent. Ignoring overlap between growing disks, the uncovered fraction $S(t)$ decreases with time according to

$$
\begin{equation*}
\frac{d S(t)}{d t}=-d \Omega_{d} \int_{0}^{t} d \tau \gamma(\tau)(t-\tau)^{d-1} \tag{17}
\end{equation*}
$$

where $\Omega_{d}=\pi^{d / 2} / \Gamma(1+d / 2)$ is the volume of the $d$ dimensional unit sphere and $d \Omega_{d}$ is its surface area [29] ( $\Gamma$ is the gamma function). Of course, Eq. (17) overestimates the decay rate since some of the area is already covered. Nevertheless, this may be corrected if $(-d S / d t)$ is reduced by the uncovered fraction, $S$,

$$
\begin{equation*}
\frac{d S(t)}{d t}=-d \Omega_{d} S(t) \int_{0}^{t} d \tau \gamma(\tau)(t-\tau)^{d-1} \tag{18}
\end{equation*}
$$

For a constant nucleation rate, $\gamma=1$, Eq. (18) gives

$$
\begin{equation*}
S(t)=\exp \left[-\frac{\Omega_{d} t^{d+1}}{d+1}\right] \tag{19}
\end{equation*}
$$

Thus, the exact KAJM coverage is recovered. Furthermore, a generalization of the KAJM solution to timedependent nucleation rates appears to be equivalent to Eq. (18) [14].

We now return to the PNG model. Note first that the nonlocal in time integro-differential Eq. (18) can be converted to a higher order ordinary differential equation $d^{d+1} \ln S_{j}(t) / d t^{d+1}=-d!\Omega_{d} \gamma_{j}(t)$. Unlike the 1D case, it is not possible to derive the nucleation rate selfconsistently. However, assuming a spatially homogeneous nucleation rate [7] implies the total nucleation rate $\gamma_{j}(t) S_{j}(t)=S_{j}(t)-S_{j-1}(t)$ and therefore Eq. (9). We thus arrive at the following generalization of the meanfield Eq. (12) for the uncovered fraction

$$
\begin{equation*}
\frac{d^{d+1}}{d t^{d+1}} \ln S_{j}+d!\Omega_{d}\left[1-\frac{S_{j-1}(t)}{S_{j}(t)}\right]=0 \tag{20}
\end{equation*}
$$

The analysis presented in the one-dimensional case applies for arbitrary dimensions. For example, the transformation $S_{j}(t)=\exp \left[u_{j}(t)-\Omega_{d} t^{d+1} /(d+1)\right]$ reduces Eqs. (20) to a set of generalized directed Toda equations $d^{d+1} u_{j} / d t^{d+1}=d!\Omega_{d} \exp \left[u_{j-1}-u_{j}\right]$. Analysis of these equations or Eqs. (20) reveals that the coverage relaxes to a traveling wave with a finite width. To determine the growth velocity, we insert the ansatz of Eq. (15) into Eqs. (20) to find

$$
\begin{equation*}
v^{d+1}=d!\Omega_{d} \frac{e^{\alpha}-1}{\alpha^{d+1}} \tag{21}
\end{equation*}
$$

This provides the lower bound for the growth velocity, $v_{d} \geq v_{d}^{\text {min }}$. Again the minimal velocity should
be selected, and for example $v_{0}=1, v_{1}=1.75735$, $v_{2}=1.67115$, and $v_{d} \simeq \sqrt{2 \pi e / d}$ when $d \rightarrow \infty$. Furthermore, in finite dimensions mean field theory predicts universal exponents $\beta=0, \sigma_{+}=1$, and $\sigma_{-}=2$. (Note that the relationship of Eq. (5) is obeyed).

In the zero-dimension limit, the behavior changes qualitatively. Indeed, for the $d=0$ case Eqs. (20) become linear,

$$
\begin{equation*}
\frac{d S_{j}}{d t}+S_{j}=S_{j-1} \tag{22}
\end{equation*}
$$

Solving (22) recursively yields the uncovered fraction, $S_{j}(t)=e^{-t} \sum_{i=0}^{j-1} t^{i} / i$ !. Alternatively, by treating the variable $j$ as continuous, this set of linear equations reduces to a simple convection-diffusion equation. Consequently, the width becomes diffusive, i.e., $\beta=1 / 2$.

## IV. LINEAR RECURSION RELATIONS

The Linear Recursion Relations (LRR) approach employs the exact uncovered fraction $S_{1}(t)$ in the first layer, provided by the KAJM solution (19) [6,18,30,31]. Nucleation in the $(j+1)$ st layer proceeds only on the already covered fraction of the $j$ th layer, formed with rate $-d S_{j} / d t$. Subsequent covering proceeds as in the KAJM, so one can anticipate that nucleation events in the time interval $(\tau, \tau+d \tau)$ should provide contribution $S_{1}(t-\tau)\left[-\dot{S}_{j}(\tau)\right] d \tau$ to the exposed fraction $S_{j+1}(t)-S_{j}(t)$ in the $(j+1)$ st layer. This leads to a recursion relation between adjacent layers:

$$
\begin{equation*}
S_{j+1}(t)=S_{j}(t)-\int_{0}^{t} d \tau S_{1}(t-\tau) \frac{d S_{j}(\tau)}{d \tau} . \tag{23}
\end{equation*}
$$

The first layer coverage (19) can be recovered by setting the substrate coverage appropriately, $d S_{0} / d t=-\delta(t)$.

Although MFT and LRR are both recursive as every layer is coupled to the preceding layer, they differ in that the MFT equations are nonlinear, while the LRR equations are linear. Nevertheless, when $d \rightarrow 0$, both approximations are identical. Indeed, multiplying Eq. (23) by $e^{t}$ and differentiating, one recovers the MFT equation (22).

Using the Laplace transform, analytical results for the growth velocity and the interface width have been established $[31,18,19]$. Below, we give an alternative and simpler derivation which additionally provides the asymptotic behavior of the coverage profile. In the long time limit, it is reasonable to treat the layer number $j$ as a continuous variable. Replacing the difference $S_{j+1}-S_{j}$ by a partial derivative, the recursive relations (23) become

$$
\begin{align*}
\frac{\partial S}{\partial j} & \cong-\left.\int_{0}^{t} d \tau S_{1}(\tau) \frac{\partial S\left(j, t^{\prime}\right)}{\partial t^{\prime}}\right|_{t^{\prime}=t-\tau}  \tag{24}\\
& \cong-\frac{1}{v_{d}} \frac{\partial S}{\partial t}+\frac{1}{2 v_{d}^{2}} \frac{\Gamma\left(\frac{d+3}{d+1}\right)}{\Gamma^{2}\left(\frac{d+2}{d+1}\right)} \frac{\partial^{2} S}{\partial t^{2}}
\end{align*}
$$

with $v_{d}$ the growth velocity

$$
\begin{equation*}
v_{d}=\left(\frac{\Omega_{d}}{d+1}\right)^{\frac{1}{d+1}} / \Gamma\left(\frac{d+2}{d+1}\right) . \tag{25}
\end{equation*}
$$

The second line in (24) has been derived by expanding $S(j, t-\tau)$ in a Taylor series in $\tau$, keeping only the two dominant terms of the expansion, and replacing the upper limit in the integral by $\infty$. The following growth velocities are found: $v_{0}=1, v_{1}=2 / \sqrt{\pi}=1.12838$, $v_{2}=1.13719$, and $v_{d} \rightarrow \sqrt{2 \pi e / d}$ as $d \rightarrow \infty$. The velocity is almost constant for physical dimensions (it varies by less than $4 \%$ in the range $1 \leq d \leq 4$ ) indicating the weak dimension dependence of this approach.

Changing variables from $(j, t)$ to $\left(j, \xi=j-v_{d} t\right)$ recasts Eq. (24) into a diffusion equation

$$
\begin{equation*}
\frac{\partial S}{\partial t}=D \frac{\partial^{2} S}{\partial \xi^{2}} \tag{26}
\end{equation*}
$$

The constant $D=\frac{v_{d}}{2} \Gamma\left(\frac{d+3}{d+1}\right) / \Gamma^{2}\left(\frac{d+2}{d+1}\right)$ plays the role of a diffusion coefficient and controls the width of the interface. In obtaining Eq. (26), $j$ was replaced by $v_{d} t$. This is clearly valid in the scaling limit, $j \rightarrow \infty,|\xi| \rightarrow \infty$, $j \sim \xi^{2}$. The initial profile of the uncovered fraction, $S(j, 0)$, is a step function: $S(j, 0)=0$ for $j \leq 0$ and $S(j, 0)=1$ for $j>0$. Solving (26) subject to these initial conditions yields

$$
\begin{equation*}
S(j, t)=\frac{1}{2} \operatorname{Erfc}(-z), \quad z=\frac{\xi}{\sqrt{4 D t}}=\frac{j-v_{d} t}{\sqrt{4 D t}} \tag{27}
\end{equation*}
$$

with $\operatorname{Erfc}(z)=\frac{2}{\sqrt{\pi}} \int_{z}^{\infty} d u e^{-u^{2}}$ the error function [29]. While the two approximations generally differ in their asymptotic behavior, they do agree in the extreme cases of $d=0$ and $d=\infty$.

In summary, the LRR approach predicts a dimensionindependent "diffusive" width exponent $\beta=1 / 2$. The shape of the coverage profile is symmetric and Gaussian far from the front, $\sigma_{ \pm}=2$.

## V. COMPARISON WITH SIMULATIONS

To test the two approximations we simulated the PNG process. The simulation results presented below are for a one-dimensional chain of length $L=10^{4}$ and represent a single realization. Our work is different from most previous numerical studies which simulated the PNG process on a lattice [24]. The 1D continuous PNG process is simple. The initial conditions consist of a flat substrate. Island nucleation corresponds to the creation of a kink-antikink pair, while island merger corresponds to a kink-antikink annihilation. A list of all distances between kink-antikink pairs moving towards each other is maintained throughout the simulation. These distances decrease linearly with time as the motion is ballistic. Thus,
the first collision time is picked, and the annihilating pair is removed from the system. In parallel, kink-antikink pairs are nucleated with unit rate, and the distance list is updated accordingly. This simulation treats time and space as continuous variables. This allows a direct calculation of the growth velocity, which should vary from the one obtained from lattice simulations. In contrast with previous simulations [18] of the first few layers, this calculation obtains the growth velocity to much higher accuracy, as it is orders of magnitude larger in size as well as temporal range.


Fig.2. Uncovered fraction $S_{j}(t)$ versus $t$ for $j=1,2,3,4$. MFT and LRR approaches give lower and upper bounds, respectively, for the uncovered fraction.


Fig.3. Short time behavior of the height $h(t)$ and the width $w(t)$. MFT is closer to the actual behavior.

The time dependence of the uncovered fraction for the first four layers is shown in Fig. 2. It is seen that the MFT and the LRR approaches provide upper and
lower bounds, respectively, for the actual PNG coverage. Additionally, the MFT provides a better approximation for the uncovered fraction, $S_{j}(t)$. For early times, the height and width predicted by either approximations are quite close to simulation results, as shown in Fig. 3. In fact, both approaches agree to the first significant order in time, as both Eq. (12) and Eq. (23) predict $S_{j}(t)=1-\frac{2^{j}}{(2 j)!} t^{2 j}$. The disagreement between the two is of the order $t^{2 j+2}$. As the two approximations give upper and lower bounds for the PNG process, we conclude that this is the leading early time behavior of $S_{j}(t)$.

However, both approximations become progressively worse at later times. This is due to the fact that the asymptotic behavior of the width is predicted incorrectly (see Table 1). As the mean-field theory predicts an asymptotically flat surface, both the roughness and width exponents vanish $\chi=\beta=0$. Fig. 4 shows that at least in one dimension, the PNG asymptotic behavior belongs to the Kardar-Parisi-Zhang (KPZ) universality class [32]. This is consistent with the analytical result in 1 D that gives $\chi=1 / 2$. As our simulations are continuous in space and time, they enable measurement of the surface growth velocity. Although in principle, there is no reason to expect that the surface growth velocity in an infinite and a finite system are equal, the numerical velocity $v_{\text {noneq }}=1.41 \pm 0.01$, which corresponds to (nonequilibrium) growth on an infinite substrate, is in very good agreement with the known analytical equilibrium growth velocity $v_{\text {eq }}=\sqrt{2}[20]$. Numerical values for $v$, $\beta$ and $\sigma_{ \pm}$are summarized in Table 1. Two-dimensional simulations give a velocity $v_{2} \approx 1.4[7]$ compare to the values $v_{2}=1.671$ (MFT) and $v_{2}=1.137$ (LRR). Furthermore, the exponent $\beta$ decreases when the spatial dimension increases [3]. Thus the MFT improves for higher dimensions.


Fig. 4 Long time behavior of the width. Early behavior is linear and late behavior is in the KPZ universality class $t^{1 / 3}$.

It proves useful to note that the dynamical exponent for the 1D PNG is $z=3 / 2$, as it follows from the exponent relation $z=\chi / \beta$ together with $\chi=1 / 2$ and
$\beta=1 / 3$. The finite size effects are negligible as long as $t \ll L^{z}$, so for the system size $L=10^{4}$ we should guarantee that $t \ll 10^{6}$. The time range of our simulations, $t<10^{3}$, is well within the above bound.

We also examined the extremal behavior of $S_{j}(t)$ using the simulations. The scaling prediction (5) holds as the simulation data is consistent with the exponent value $\sigma_{+}=3 / 2$, in agreement with the KPZ behavior [25]. Given that the PNG uncovered fraction is bounded by the two approximations, the parameters $v, \beta$, and therefore $\sigma_{+}$are similarly bounded (see Table 1.) Since the scaling argument involves the width, one can not conclude a priory that the same holds for $\sigma_{-}$. Nevertheless, the exponent found in the simulation is quite close to 2 , or possibly slightly larger. In comparison, numerical studies of the KPZ equation suggest $\sigma_{-} \cong 2.5$ [33].

|  | MFT | PNG | LRR |
| :---: | :---: | :---: | :---: |
| $v_{1}$ | 1.75735 | $1.41 \pm 0.01$ | 1.12838 |
| $\beta$ | 0 | $1 / 3$ | $1 / 2$ |
| $\sigma_{+}$ | 1 | $3 / 2$ | 2 |
| $\sigma_{-}$ | 2 | $\geq 2$ | 2 |

Table 1: Characteristics of the three approaches for the onedimensional PNG model.

## VI. CONCLUSIONS

We have investigated a continuum model of multi-layer growth, the PNG model. We confirmed that the LRR approximation implies dimension-independent growth exponents. Moreover, we found that the full coverage profile approaches a dimension-independent form, thus implying that this approximation effectively ignores interactions. It is therefore not surprising that the LRR gives the width exponent $\beta=1 / 2$ which is characteristic for non-interacting systems, like the growth on a zero-dimensional substrate. We developed an alternative self-consistent mean-field approach that provides a better approximation for the uncovered fraction, and close estimates for the early time behavior. Mathematically, the mean-field approach leads to an interesting system of directed higher order Toda equations. The mean-field approximation overestimates layer-layer interactions and implies a sharp interface, i.e., $\beta=0$. Additionally, the two approximate approaches combine to give upper and lower bounds for statistical properties such as the coverage, the velocity, and the roughness.

The above mean-field theory should allow computation of space-time correlation functions and structure functions. Even more detailed analysis may be possible for 1D substrates. A bigger challenge is to solve the PNG process analytically. Such a solution will undoubtedly illuminate the theoretical understanding of non-equilibrium growth.

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