Contribution of Drifting Carriers to the Casimir-Lifshitz and Casimir-Polder Interactions With Semiconductor Materials

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We develop a theory for Casimir-Lifshitz and Casimir-Polder interactions with semiconductor or insulator surfaces that takes into account charge drift in the bulk material through use of the classical Boltzmann equation. We derive frequency-dependent dispersion relations that give the usual Lifshitz results for dielectrics as a limiting case and, in the quasistatic limit, coincide with those recently computed to account for Debye screening in the thermal Lifshitz force with conducting surfaces with small density of carriers.

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Introduction.—Propagating waves inside semiconductors interact with drifting carriers in the bulk material, and this is the basis of phenomena such as solid-state traveling-wave amplification [1,2]. Typically, an ultrasonic wave or a microwave incident on a semiconductor is amplified when the mean drift velocity of carriers exceeds the phase velocity of the propagating wave. The theoretical description of this phenomenon involves Maxwell's equations for the electromagnetic field coupled to the classical Boltzmann transport equation to describe the motion of charged carriers in the bulk semiconductor.

In principle, the same type of coupling between propagating waves and drifting carriers is also present for quantum vacuum fluctuations of the electromagnetic field in the presence of semiconductor boundaries. Hence, one should expect that the complete description of the Casimir-Lifshitz force between bulk materials and the atom-surface Casimir-Polder force [3] should take into account the possibility of carrier drift when one of the surfaces involved is a semiconductor or a conductor with small density of carriers. In this limit, the classical Boltzmann equation can be used to determine the dynamic equilibrium between a time-varying and spatially varying electric field and changes in the charge density within the material.

The effect of material properties on quantum vacuum forces is encapsulated in the Lifshitz theory through the frequency-dependent reflection amplitudes $r^p_{\mathbf{k},j}(i\xi_n)$ of the jth material boundary. Here p denotes the polarization of incoming waves (transverse electric TE or transverse magnetic TM), $\mathbf{k}$ is their transverse momentum, and the reflection amplitudes are evaluated at imaginary frequencies $\omega = i\xi_n$, where $\xi_n = 2\pi n k_B T/\hbar$ are the Matsubara frequencies. The Casimir-Lifshitz pressure between two plane semispaces separated by a vacuum gap d is [3]

$$P(d) = 2k_B T \sum_{n=0}^{\infty\prime} \int \frac{d^2\mathbf{k}}{(2\pi)^2} K_3 \sum_p \frac{r_1^p r_2^p e^{-2K_3 d}}{1 - r_1^p r_2^p e^{-2K_3 d}}, \quad (1)$$

where $K_3 = \sqrt{k^2 + \xi^2/c^2}$ and the prime in the sum over n means that a factor $1/2$ is to be included for the $n = 0$ term. Equation (1) can be easily generalized to the cases when there is mixing of polarization upon reflection by replacing the reflection amplitudes by reflection matrices and the sum over p by a trace. Assuming that one of the media is dilute, one can derive from Eq. (1) the Casimir-Polder force on an atom above a planar surface [3]. It has been shown that the form of the plate(s) electrical permittivity used to compute the reflection amplitudes via Fresnel relations vastly alter the magnitude and form of the force in these calculations [4]. The effect of carrier drift in the case of dynamic fields has not yet been studied in relation to Casimir-like forces, and as we show in this Letter, alters the form of the field mode equations.

Recently Pitaevskii [5] has proposed a theory for the thermal Lifshitz force between an atom and a conductor with a small density of carriers that takes into account the penetration of the static component of the fluctuating EM field into the conductor. This approach is quasistatic, appropriate for the large distance regime of the thermal Lifshitz atom-surface interaction, and is essentially based on the Debye-Hückel charge screening [6]. In this static limit, the reflections coefficients are $r^{\mathrm{TE}}_{\mathbf{k}}(0) = 0$ and $r^{\mathrm{TM}}_{\mathbf{k}}(0) = (\bar{\epsilon}_0 q - k)/(\bar{\epsilon}_0 q + k)$. Here $\bar{\epsilon}_0$ is the static "bare" dielectric constant of the medium (which does not take into account the contribution from current carriers), $q = \sqrt{k^2 + \kappa^2}$, and $\kappa^2 = 4\pi e^2 n_0/\bar{\epsilon}_0 k_B T$, where $-e$ is the electron charge and n_0 is the (uniform) carrier density [5]. Note that $\kappa = 1/R_D$ is the inverse of the Debye radius R_D. For good metals the Debye radius is very small (on the order of interatomic distances), while for semiconductors it is much larger (on the order of microns or more). This quasistatic calculation for the thermal Lifshitz force interpolates between the ideal dielectric limit ($d \ll R_D$) and the good conductor limit ($d \gg R_D$). We further point out that the Debye-Hückel charge screening

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effect can produce a large correction to an electrostatic calibration because a static field can penetrate a finite distance into the plates, leading to an error in the determination of the plate separation [7]. On the other hand, it can be expected that screening should affect dynamic fields as well; however, the phenomenological dispersion relation suggested in [7] for dynamic fields is shown here to be incorrect. In the following we will extend Pitaevskii's calculation beyond the quasistatic regime.

Field equations.—For an intrinsic semiconductor, the densities of carriers and holes are comparable, but the dynamics are different. Here we follow the approach in [1,2], and treat the carriers and holes as dynamically equivalent, which roughly doubles the charge density. This treatment is very accurate in the quasistatic limit. Assuming that there is no external applied field on the semiconductor, and all fields have a time dependency of the form $e^{-i\omega t}$, Maxwell's equations take the form $\nabla \times \mathbf{E} = i\mu_0\omega\mathbf{H}$, $\nabla \times \mathbf{H} = -i\bar{\epsilon}(\omega)\omega\mathbf{E} + \mathbf{J}$, and $\nabla \cdot \mathbf{E} = -en/\bar{\epsilon}(\omega)$. Here $\bar{\epsilon}(\omega)$ is the frequency-dependent bare permittivity of the semiconductor, that does not take into account the contribution from current carriers, n is the intrinsic carrier density, and μ_0 is the permeability of vacuum. The carrier current is $\mathbf{J} = -en\mathbf{v}$, where $\mathbf{v}$ is the mean velocity of carriers. The fact that carriers can drift in the semiconductor is modeled by Boltzmann transport equation [1,2]

$$\left(\frac{\partial}{\partial t} + \mathbf{v}\cdot\nabla\right)\mathbf{v} = -\frac{e}{m}\mathbf{E} - \frac{v_T^2}{n}\nabla n - \frac{\mathbf{v}}{\tau}, \tag{2}$$

where m is the effective mass of the charge carriers, $v_T = \sqrt{k_B T/m}$ is their mean thermal velocity, and τ is the carrier relaxation time. Linearizing Eq. (2) with respect to the ac fields which have a factor $e^{-i\omega t}$, one can solve it for ∇n and then use the result in Maxwell's equation to derive the fundamental equation for the electric field inside the semiconductor [1,2]

$$\left[\nabla^2 + \mu_0\bar{\epsilon}(\omega)\omega^2\left(1 + i\frac{\tilde{\omega}_c}{\omega}\right)\right]\mathbf{E} = [1 + i\mu_0\bar{\epsilon}(\omega)\omega\tilde{D}]\nabla \cdot (\nabla\cdot\mathbf{E}). \tag{3}$$

Here $\tilde{\omega}_c = \omega_c/(1 - i\omega\tau)$ and $\tilde{D} = D/(1 - i\omega\tau)$, where $\omega_c = 4\pi e n_0 \mu/\bar{\epsilon}(\omega)$, $\mu = e\tau/m$ is the mobility of carriers, and $D = v_T^2\tau$ is the diffusion constant. Note that the frequency-dependent ratio $\omega_c/D = 4\pi e^2 n_0/\bar{\epsilon}(\omega)k_B T$ coincides with $\kappa^2 = 1/R_D^2$ in the quasistatic limit.

TM and TE reflection amplitudes.—Let us assume that the semiconductor occupies the semispace region $z < 0$ and the region $z > 0$ is vacuum. Equation (3) allows TM and TE solutions. For TM modes $e_y = 0$, so that (the phase factors $e^{-i\omega t}$ will be omitted from now on) $\mathbf{E} = [e_x(z)\hat{\mathbf{x}} + e_z(z)\hat{\mathbf{z}}]e^{ikx}$. Substituting this into Eq. (3) one gets two coupled equations, which can be combined into two uncoupled fourth-order differential equations for e_x and e_z, namely $(\partial_z^2 - \eta_T^2)(\partial_z^2 - \eta_L^2)e_x = 0$ and $(\partial_z^2 - \eta_T^2)\times(\partial_z^2 - \eta_L^2)e_z = 0$, where [1]

$$\eta_T^2 = k^2 - \mu_0\bar{\epsilon}(\omega)\omega^2\left(1 + i\frac{\tilde{\omega}_c}{\omega}\right), \tag{4}$$

$$\eta_L^2 = k^2 - i\frac{\omega}{\tilde{D}}\left(1 + i\frac{\tilde{\omega}_c}{\omega}\right). \tag{5}$$

The solutions that vanish for $z \to -\infty$ are $e_x(z) = A_T e^{\eta_T z} + A_L e^{\eta_L z}$ and $e_z(z) = A_T' e^{\eta_T z} + A_L' e^{\eta_L z}$, where we assume $\mathrm{Re}\,\eta_T$ and $\mathrm{Re}\,\eta_L$ to be positive. The amplitudes A_L and A_T are arbitrary so far, and $A_L' = -i\eta_L A_L/k$ and $A_T' = -ikA_T/\eta_T$. The magnetic field inside the semiconductor is $\mathbf{H} = i\hat{\mathbf{y}}A_T e^{\eta_T z}e^{ikx}(k^2 - \eta_T^2)/\mu_0\omega\eta_T$.

The boundary conditions on the $z = 0$ interface are $\mathbf{H}_\parallel$, $\mathbf{E}_\parallel$, $\mathbf{D}_\perp$ and $\mathbf{B}_\perp$ continuous. The latter one is automatically satisfied for TM modes, while the other ones imply E_x and H_y continuous, and $\bar{\epsilon}(\omega)E_z$ continuous. Imposing these boundary conditions, and using the expressions for the fields inside the semiconductor derived above, we obtain the reflection amplitude for fields impinging from the vacuum side, $r = (1 - \alpha)/(1 + \alpha)$, with $\alpha = \frac{k^2}{i\eta_L k_z}[\frac{1}{\bar{\epsilon}(\omega)} - \frac{\omega^2/c^2}{k^2 - \eta_T^2} + \frac{\eta_L\eta_T\omega^2/c^2}{k^2(k^2 - \eta_T^2)}]$. Expressed along imaginary frequencies $\omega = i\xi$, the TM reflection amplitude is

$$r_{\mathbf{k}}^{\mathrm{TM}}(i\xi) = \frac{\bar{\epsilon}(i\xi)\sqrt{k^2 + \xi^2/c^2} - \chi}{\bar{\epsilon}(i\xi)\sqrt{k^2 + \xi^2/c^2} + \chi}, \tag{6}$$

where $\chi = \frac{1}{\eta_L}[k^2 + \bar{\epsilon}(i\xi)\frac{\xi^2}{c^2}\frac{\eta_L\eta_T - k^2}{\eta_T^2 - k^2}]$.

For TE modes $e_z = 0$, so that $\mathbf{E} = [e_x(z)\hat{\mathbf{x}} + e_y(z)\hat{\mathbf{y}}]e^{ikx}$. Plugging this into Eq. (3) one gets two equations: $[\partial_z^2 + \mu_0\bar{\epsilon}(\omega)\omega^2(1 + i\tilde{\omega}_c/\omega + i\tilde{D}k^2/\omega)]e_x = 0$, and $[\partial_z^2 - k^2 + \mu_0\bar{\epsilon}(\omega)\omega^2(1 + i\tilde{\omega}_c/\omega)]e_y = ik[1 + i\mu_0\bar{\epsilon}(\omega)\omega\tilde{D}]\partial_z e_x$. The solutions are $e_x(z) = Ae^{\beta z}$ and $e_y(z) = Be^{\eta_T z} + Ce^{\beta z}$. Here A and B are constants, $\beta^2 = -i\mu_0\bar{\epsilon}(\omega)\omega\tilde{D}\eta_L^2$, and $C = ikA\beta(1 + i\mu_0\bar{\epsilon}(\omega)\omega\tilde{D})/(\beta^2 - \eta_T^2)$ (we assume $\mathrm{Re}\beta > 0$). The magnetic field inside the semiconductor is $\mathbf{H} = (1/i\mu_0\omega)[-(B\eta_T e^{\eta_T z} + C\beta e^{\beta z})\hat{\mathbf{x}} + A\beta e^{\beta z}\hat{\mathbf{y}} + ik(B\eta_T e^{\eta_T z} + C\beta e^{\beta z})\hat{\mathbf{z}}]e^{ikx}$.

Imposing the boundary conditions on the $z = 0$ interface, and upon performing the rotation $\omega \to i\xi$, we get the TE reflection amplitude for fields impinging from the vacuum side

$$r_{\mathbf{k}}^{\mathrm{TE}}(i\xi) = \frac{\sqrt{k^2 + \xi^2/c^2} - \eta_T}{\sqrt{k^2 + \xi^2/c^2} + \eta_T}. \tag{7}$$

Note that $\eta_T^2 = k^2 + [\bar{\epsilon}(i\xi) + 4\pi\sigma(i\xi)/\xi]\xi^2/c^2$ (where $\sigma(i\xi) = \sigma_0/(1 + \xi\tau)$ and $\sigma_0 = e^2 n_0\tau/m$ are the ac and dc Drude conductivities, respectively), so Eq. (7) gives the usual Fresnel TE reflection coefficient with account of ac Drude conductivity. On the other hand, Eq. (6) gives a modified Fresnel TM reflection coefficient due to the presence of Debye-Hückel screening and charge drift.

Limiting cases.—Let us study the behavior of the frequency-dependent reflection amplitudes we have de-

rived above for some interesting limiting cases. (a) *Quasistatic limit:* When $\xi \to 0$, we have $\eta_T^2 \approx k^2 + \bar{\epsilon}_0 \xi \omega_c / c^2$ and $\eta_L^2 \approx k^2 + \kappa^2 = q^2$ (recall that $\omega_c / D = \kappa^2$ in the quasistatic limit). Here $\bar{\epsilon}_0 \equiv \bar{\epsilon}(0)$. Therefore, $\chi \approx k^2/q$, and from (6) and (7) we obtain that the zero-frequency limit of the reflection amplitudes is $r_{\mathbf{k}}^{\mathrm{TE}}(0) = 0$ and $r_{\mathbf{k}}^{\mathrm{TM}}(0) = (\bar{\epsilon}_0 q - k)/(\bar{\epsilon}_0 q + k)$, which coincides with the prediction of [5] for the reflection coefficients in the quasistatic limit. (b) *Ideal dielectric limit:* In this case the free charge density is small ($n_0 \ll 1$), and the discrete charges are quasibound, making their effective thermal velocity very small. Therefore, $\tilde{\omega}_c / \tilde{D} \approx 1/\lambda_D^2$ is small (as in the quasistatic limit for ideal dielectrics), where $\tilde{\omega}_c \approx 4\pi e^2 n_0 / m \xi \bar{\epsilon}(i\xi)$ and $\tilde{D}$ are both small. Consequently, $\eta_T^2 \approx k^2 + \bar{\epsilon}(i\xi)\xi^2/c^2$, $\eta_L^2 \approx \xi^2 / v_T^2 \to \infty$, and $\chi \approx \eta_T$. We recover from (6) and (7) the usual results for ideal dielectrics.

Free energy and entropy.—The Casimir-Lifshitz free energy for two parallel planar media is

$$E = \frac{A\hbar}{2\pi} \sum_p \sum_{n=0}^{\infty'} \int \frac{d^2\mathbf{k}}{(2\pi)^2} \theta g_{\mathbf{k}}^p(in\theta, \theta),$$

$$g_{\mathbf{k}}^p(\omega, \theta) = \ln[1 - r_{\mathbf{k},1}^p(\omega, \theta) r_{\mathbf{k},2}^p(\omega, \theta) e^{-2d\sqrt{k^2 - \omega^2/c^2}}], \quad (8)$$

where $\theta = 2\pi k_B T/\hbar$ and A is the area of the plates. Note that we have allowed for an explicit dependence of the reflection coefficients on temperature. In Fig. 1 we plot the behavior of $g_{\mathbf{k}}^p$ as a function of the imaginary frequency $\omega = i\xi$ and transverse momentum k for TM and TE polarizations [the corresponding reflection amplitudes are obtained from Eqs. (6) and (7)]. As an example, we consider the case of two identical media made of intrinsic germanium. The permittivity of Ge is known to have a weak dependence on temperature, and becomes constant as T goes to zero [8]. It can be approximately fitted with a Sellmeier-type expression $\bar{\epsilon}(i\xi) = \bar{\epsilon}_\infty + \omega_0^2(\bar{\epsilon}_0 - \bar{\epsilon}_\infty)/(\xi^2 + \omega_0^2)$, with $\bar{\epsilon}_0 = 16.2$, $\bar{\epsilon}_\infty = 1.1$, and $\omega_0 = 5.0 \times 10^{15}$ rad/sec. The intrinsic carrier density varies with temperature as $n_0(T) = \sqrt{n_c n_v} e^{-E_g/2k_B T}$, where E_g is the energy gap, and n_c (n_v) is the effective density of states in the conduction (valence) band [9]. The relaxation time τ has an exponential dependency on temperature, and at low temperatures goes linearly in T to a nonzero constant [9]. Given typical parameters of intrinsic semiconductors, $\tilde{\omega}_c$ and $\tilde{D}/\xi$ are both very small in the relevant range of frequencies for the Lifshitz formula, and then only the $n = 0$ TM mode is modified significantly. The effect of drifting carriers can therefore, to very high accuracy, be fully modeled by the Debye-Hückel screening length.

The Casimir-Lifshitz free energy for pure germanium and pure silicon for various conductivity models is shown in Fig. 2, where the increase of the energy due to the finite conductivity as compared to the bare permittivity is demonstrated for large distances. In one case, the theory of drifting carriers [Eqs. (6) and (7)] is used to model the interaction of the field with the plates; in the other, a simple additive term to the bare permittivity, $4\pi\sigma_0/\xi$, is employed in the usual Fresnel reflection coefficients [10]. For the drifting carriers, when the plate separation becomes much larger that the Debye-Hückel screening length, the plates appear as perfect conductors for the TM $n = 0$ mode, while in the case of the additive term, the plates appear as perfect conductors for the TM $n = 0$ mode at distances of the order of $\lambda_T = \hbar c/k_B T$ ($\simeq 7$ μm at $T = 300$ K), independent of the material properties.

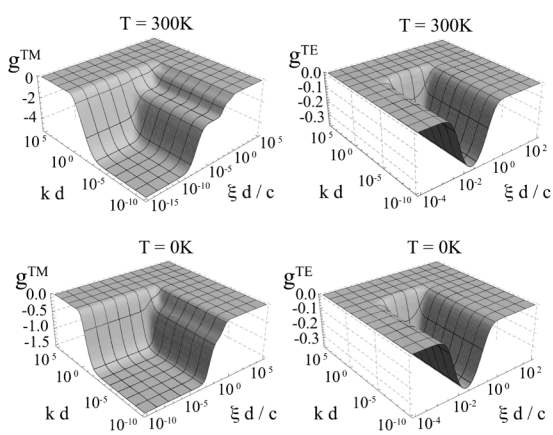

FIG. 1. Behavior of the functions $g_{\mathbf{k}}^p(i\xi)$ used to compute the Casimir-Lifshitz free energy and entropy for semiconductor materials with account of drifting carriers. The reflections coefficients are given by (6) and (7), parameters are for intrinsic Ge (see text), and the distance is set to $d = 1$ μm. The variation with temperature (in the range $T = 0$–300 K) of the TE function is not perceptible on the scale of the figure. The corresponding functions without account of Debye screening and carrier drift correspond to the $T = 0$ K plots in this figure.

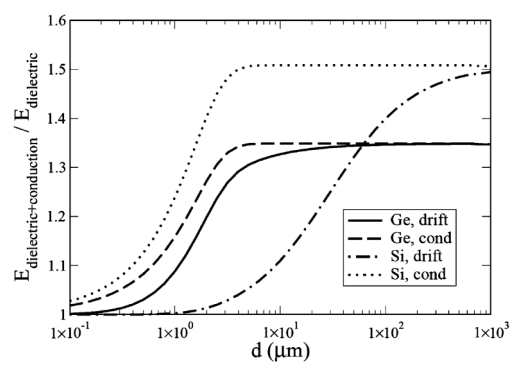

FIG. 2. Casimir-Lifshitz free energy at $T = 300$ K for intrinsic semiconductor parallel plates for different conductivity models as follows: Carrier drift with Debye-Hückle screening, and a dc conductivity term $4\pi\sigma_0/\xi$ added to the bare permittivity. The free energy is normalized to the free energy computed with the standard Lifshitz theory using the bare permittivity only. Parameters are as follows: For Ge, the bare permittivity is quoted in the text, the Debye length is $R_D = 0.68$ μm and the dc conductivity is $\sigma_0 = 1/(43\ \Omega\,\mathrm{cm})$. For Si, $\bar{\epsilon}_0 = 11.87$, $\bar{\epsilon}_\infty = 1.035$, $\omega_0 = 6.6 \times 10^{15}$ rad/sec, $R_D = 24$ μm, and $\sigma_0 = 1/(2.3 \times 10^5\ \Omega\,\mathrm{cm})$.

Although this effect has yet to be demonstrated for the Casimir-Lifshitz force, the drifting carrier treatment provides a way to include a finite conductivity term in the Casimir-Polder force which has been measured between an atom and a fused silica plate [11]. For fused silica the Debye-Hückel screening length is expected to be extremely large due to the low charge concentration and the quasibound character of charges that are contained in a dielectric material. Taking an effective $R_D > 1$ cm is certainly not unreasonable, in which case the fused silica used in [11] can be treated as a perfect dielectric, as has been assumed in the analysis of this experiment. On the other hand, including the effect of dc conductivity by adding $4\pi\sigma_0/\xi$ to the permittivity in the usual Fresnel formulas leads to a increase in the force (by up to nearly a factor of 2 [12]) at distances of order 10 μm where the experiment was performed, and this disagrees with the experimental result.

Our theory for Casimir forces taking into account the possibility of carrier drift in intrinsic semiconductor media is compatible with Nernst theorem of thermodynamics, that states that the entropy $S = -dE/dT$ should vanish at zero temperature for a system with a nondegenerate ground state. Whether the systems we are considering here have nondegenerate ground states remains open; however, satisfaction of the Nernst theorem provides weak evidence for the possible viability of a theoretical model. Following, for example, the technique in [13], and using the fact that the intrinsic carrier density vanishes exponentially as $T \to 0$ (which in turn implies that the derivatives of $g^p_{\mathbf{k}}(i\xi, \theta)$ with respect to ξ and to θ exponentially vanish at zero frequency as $T \to 0$, see Fig. 1), it can be shown [14] that our theory predicts that the Casimir entropy verifies $S(T = 0) = 0$.

Conclusions.—We have shown that treating the finite conductivity of a nondegenerate semiconductor (or insulator) by use of the classical Boltzmann equation in conjunction with Maxwell's equation leads to a modification of the Casimir-Lifshitz force between such materials and provides a way to describe the effects of a small conductivity. In particular, for small electric fields such that $|eE|R_D/k_BT \ll 1$, as expected for Casimir and related forces, a standard treatment of adding a term $4\pi i\sigma_0/\omega$ to a bare dielectric permittivity is not correct for distances less than the Debye-Hückle screening length. This is because the current driven by the electric field, $\mathbf{J} = \sigma\mathbf{E}$, is counterbalanced by thermal diffusion, as modeled through the classical Boltzmann equation. Thus, this result represents the dynamic equilibrium between a time-varying field and the charge distribution in the material. However, the finite temperature correction described in [4] and its apparent disagreement with experiment cannot be addressed within the scope of our model which does not apply to metals, where the electron density is sufficiently large that the electron gas is degenerate. The classical Boltzmann equation is applicable in the nondegenerate continuum limit, that is, for low electron density and when the electromagnetic wavelengths of interest are much longer than the electron thermal wavelength and the crystal lattice spacing [15].

It is possible to show that the reflection amplitudes derived in this work can be interpreted in terms of "nonlocal" dielectric functions (spatial dispersion) [16]. We have shown that these effects can be derived from readily available material properties, and that only the quasistatic limit (zero Matsubara frequency TM mode) is relevant. In the near future we plan to apply these results to an ongoing measurement of the Casimir-Lifshitz force between pure germanium plates.

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