

# Quenching, relaxation, and information transfer in lattice systems

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Joint work with  
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Santa Fe, March 2008



- **Motivating question:** Thermalizing open quantum systems



- **Motivating question:** How do states become thermal in first place?
- **Relaxation dynamics** in closed systems without environments?



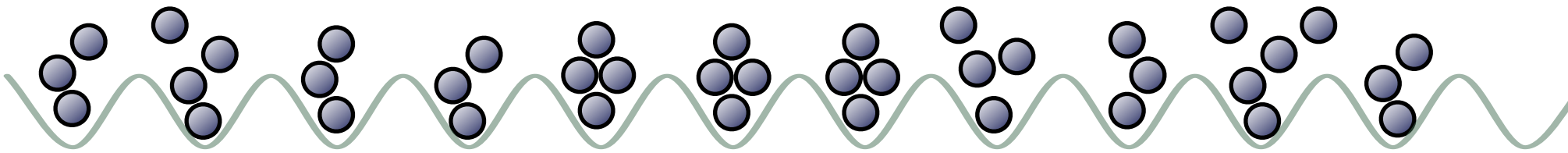
- **Motivating question:** How do states become thermal in first place?
- **Relaxation dynamics** in closed systems without environments?
- In what sense can **closed many-body systems** relax when undergoing time evolution under **local** Hamiltonians?

System

Say, from a numerical analysis, how can this be?

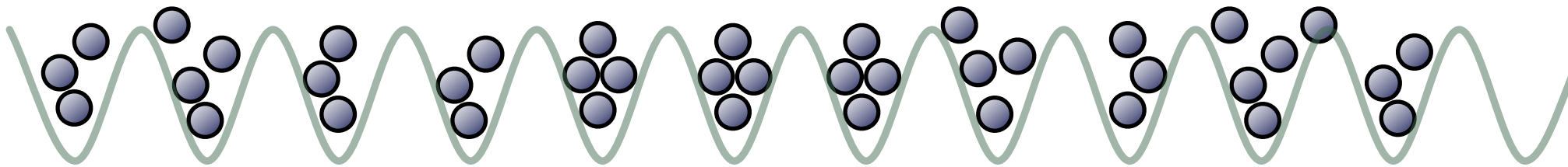
*Summary.*— We have demonstrated that an integrable many-body quantum system—one-dimensional hard-core bosons on a lattice—can undergo relaxation to an equilibrium state.





- Setting where “equilibration without an environment” can be studied:  
**dynamical setting of a sudden quench**

- Start with ground state of local Hamiltonian  $H = \sum_j h_j$



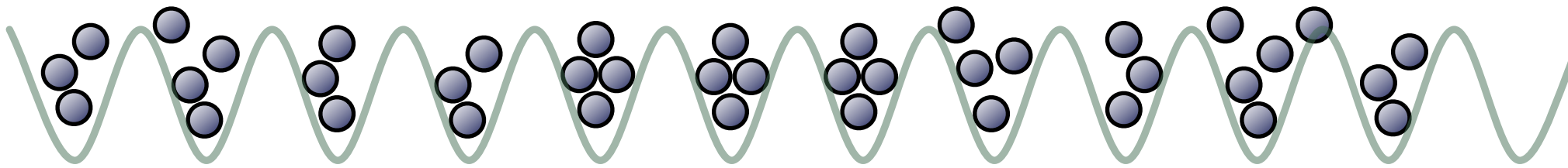
- Setting where “equilibration without an environment” can be studied:  
**dynamical setting of a sudden quench**

- Start with ground state of local Hamiltonian  $H = \sum_j h_j$

- Sudden change to a new local Hamiltonian  $V = \sum_j v_j$

- Study time evolution under  $V$





- Renaissance of question as systems become available offering possibility of **probing** such issues:
- **Cold atoms in optical lattices** deliver good control in experiments

Greiner et al, *Nature* **419** (2002)  
Tuchmann et al, cond-mat/0504762  
Kinoshita et al, *Nature* **440** (2006)

- **This talk:** Setting where one can rigorously study this question

Based on:

Cramer, Dawson, Eisert, Osborne, *Phys Rev Lett* **100** (2008)

Eisert, Osborne, *Phys Rev Lett* **96** (2006)

Cramer, Serafini, Eisert, arxiv:0803.0890

In preparation (2008)

• **Overview of talk**

(INTRODUCTION)

PHYSICS OF PROBLEM

MAIN RESULT: A RELAXATION THEOREM

AREA LAWS AND IMPLICATIONS ON HARDNESS OF SIMULATION

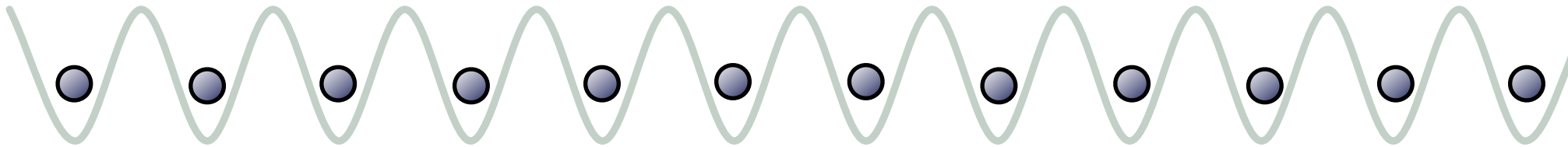
AN EXPERIMENT

OUTLOOK

IDEAS OF PROOF:  
LIEB-ROBINSON BOUNDS  
DYNAMICAL CENTRAL LIMIT THEOREM



- Briefly: The physics of the problem

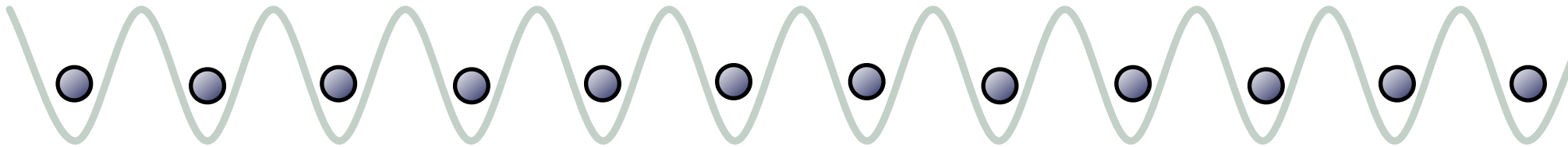


- **Bose-Hubbard model:**

$$H = -J \sum_{\langle j,k \rangle} b_j^\dagger b_k + \frac{U}{2} \sum_{k=1}^N b_k^\dagger b_k (b_k^\dagger b_k - 1) - \mu \sum_{k=1}^N b_k^\dagger b_k$$

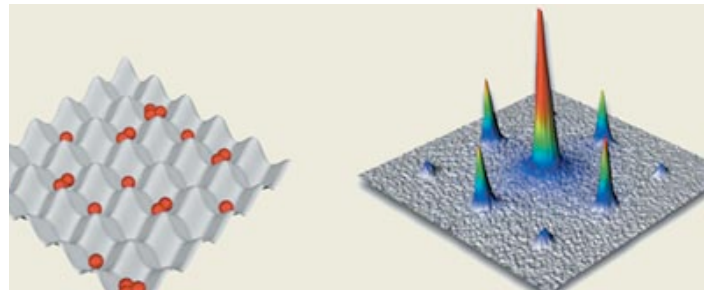
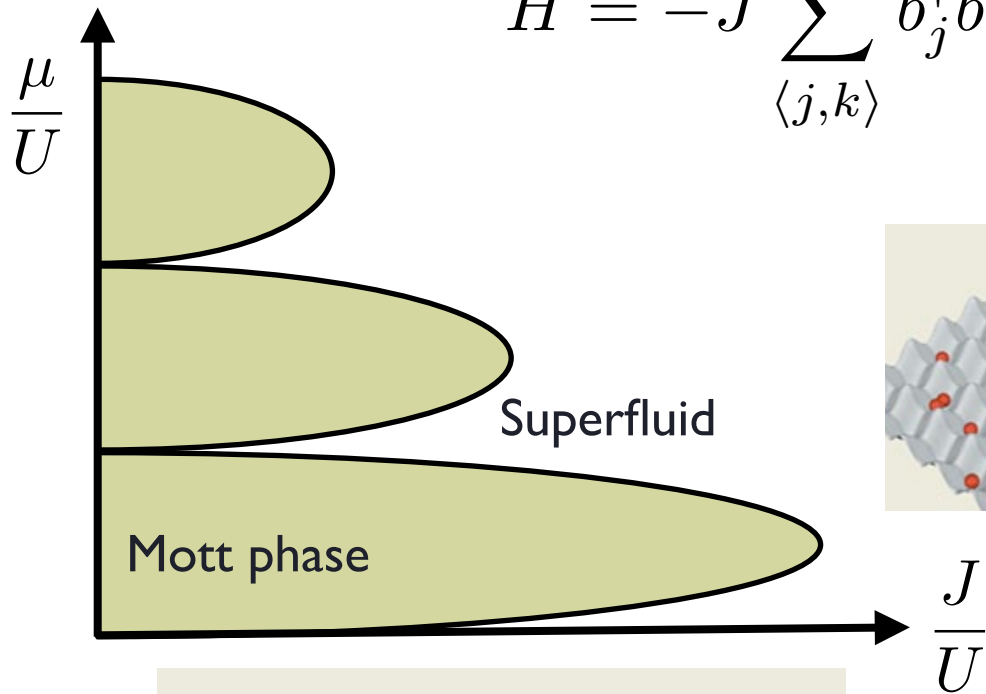
$$V = -J \sum_{\langle j,k \rangle} b_j^\dagger b_k$$

- Standing wave laser light, lattice constant half wavelength, forming **optical lattice**

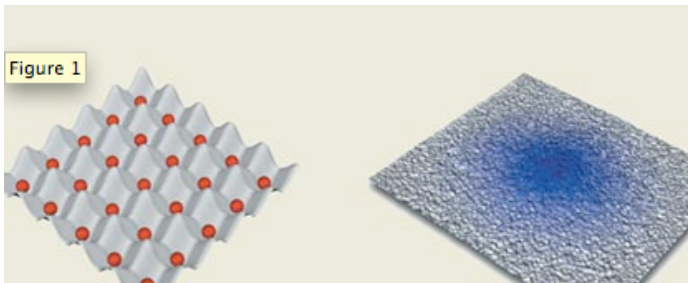


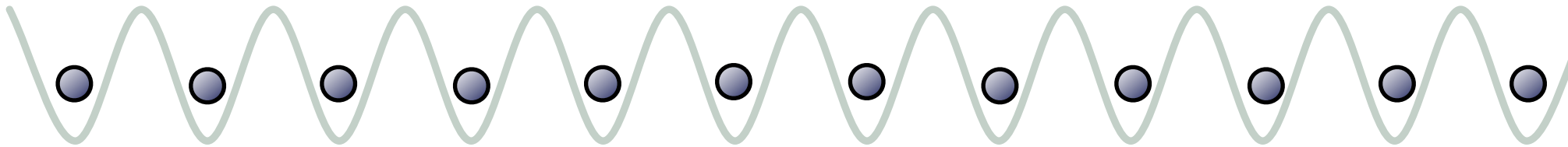
• **Bose-Hubbard model:**

$$H = -J \sum_{\langle j,k \rangle} b_j^\dagger b_k + \frac{U}{2} \sum_{k=1}^N b_k^\dagger b_k (b_k^\dagger b_k - 1) - \mu \sum_{k=1}^N b_k^\dagger b_k$$



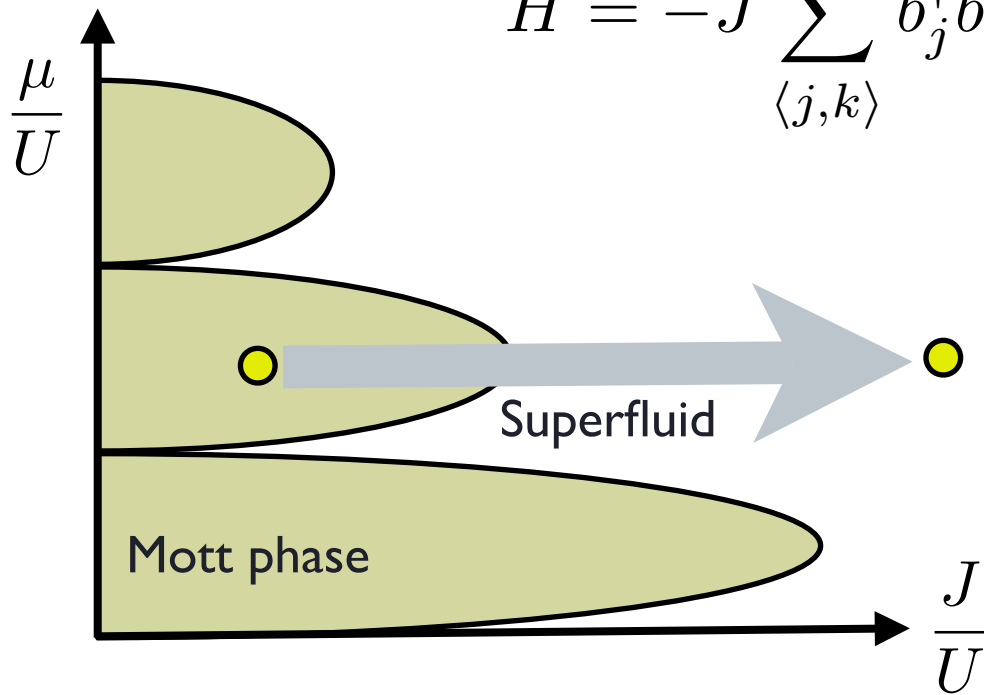
- Phase coherence and absence thereof in Mott and superfluid phase



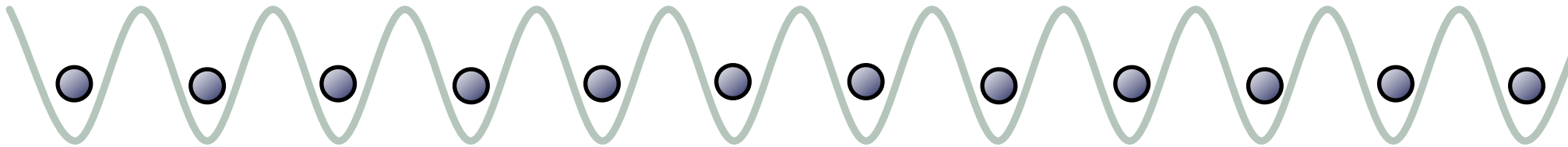


• **Bose-Hubbard model:**

$$H = -J \sum_{\langle j,k \rangle} b_j^\dagger b_k + \frac{U}{2} \sum_{k=1}^N b_k^\dagger b_k (b_k^\dagger b_k - 1) - \mu \sum_{k=1}^N b_k^\dagger b_k$$



- Here, sudden quench from some **clustering state** from gapped ( $\Delta E > 0$ ) Mott phase to
- deep **superfluid**  $V$
- Other more general cases later



- **Initial state:**

- *Product state* of deep Mott phase  $|\psi(0)\rangle = |m\rangle^{\otimes N}$   
having  $m$  bosons per site

- *Clustering state:* Fourth moments of canonical coordinates exist and

$$\left\langle \prod_{j \in A \cup B} W_{\xi_j} \right\rangle_{\rho(0)} - \left\langle \prod_{j \in A} W_{\xi_j} \right\rangle_{\rho(0)} \left\langle \prod_{j \in B} W_{\xi_j} \right\rangle_{\rho(0)} \leq e^{-\mu \text{dist}(A, B)},$$

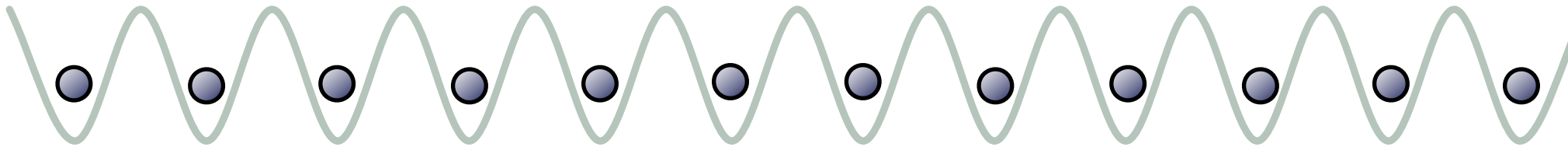
$$W_{\xi_j} = e^{i(p_j X_j - x_j P_j)}, \text{ Weyl (displacement) operators}$$

- Then quench and study *time evolution*

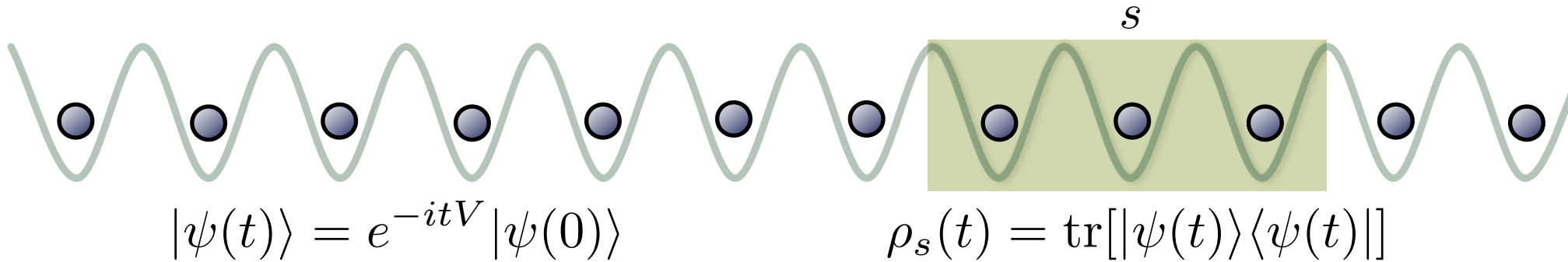
$$|\psi(t)\rangle = e^{-itV} |\psi(0)\rangle$$



- Relaxation?



- So, what do we find?
- Is time-dependent non-equilibrium system, so it “wobbles” forever...?

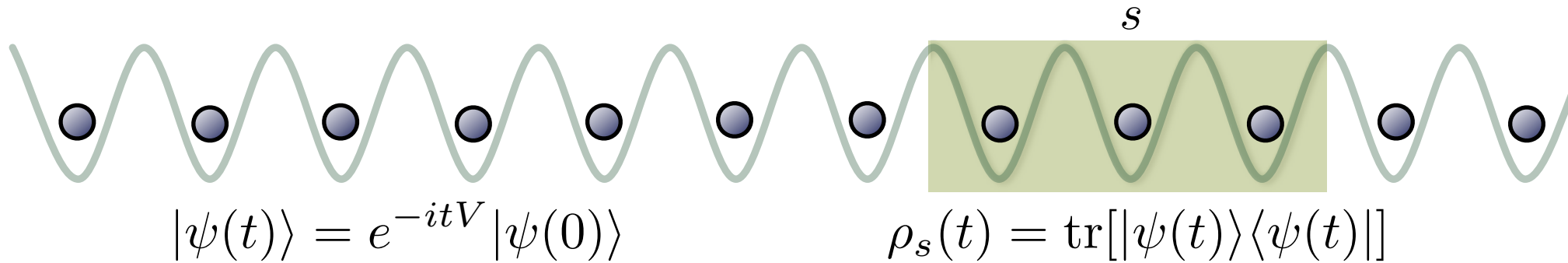


- **The claim:** It does relax exactly for any subblock!

$$\rho_s(t) \rightarrow \rho_G$$

- Remarkably, exact convergence, **no time average**
- Becomes a maximal entropy (Gaussian) state under energy constraint
- Block maximally **entangled** with rest of chain

$$S(\text{tr}_B(|\psi(t)\rangle\langle\psi(t)|)) \rightarrow \max$$

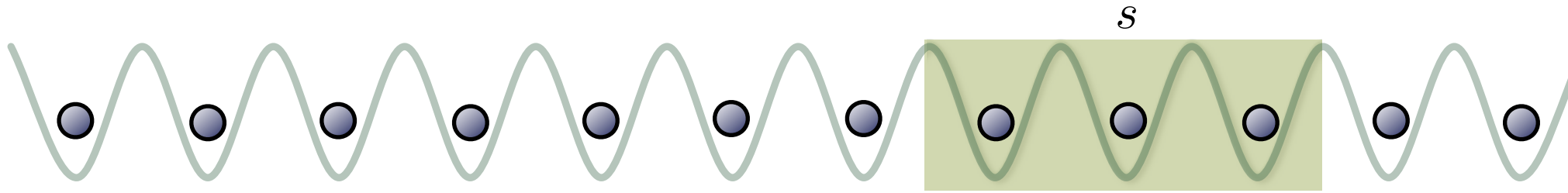


• **Theorem:** Let  $\rho(0)$  be a clustering ID state (e.g., product in deep Mott)

Then, for any  $\varepsilon > 0$  and any desired “recurrence time  $t_{\text{rec}} > 0$  there ex. a system size  $N$  and a relaxation time  $t_{\text{rel}} > 0$  such that time evolved state  $\rho(t) = e^{-itV} \rho(0) e^{itV}$  satisfies

$$\|\rho_s(t) - \rho_G\|_1 < \varepsilon$$

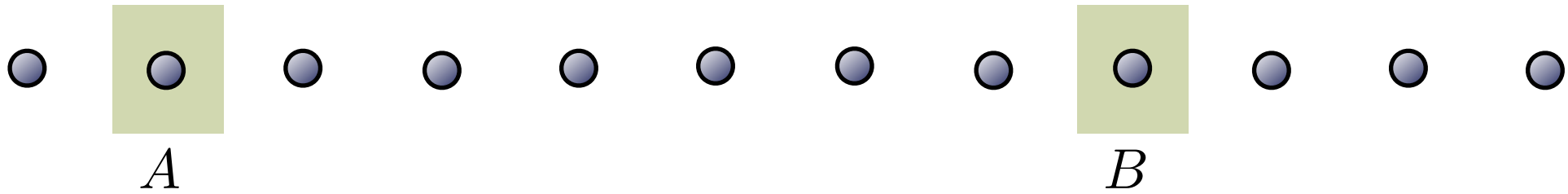
for  $t \in [t_{\text{rel}}, t_{\text{rel}} + t_{\text{rec}}]$



- **So, well, it does relax!**
- How can this be?

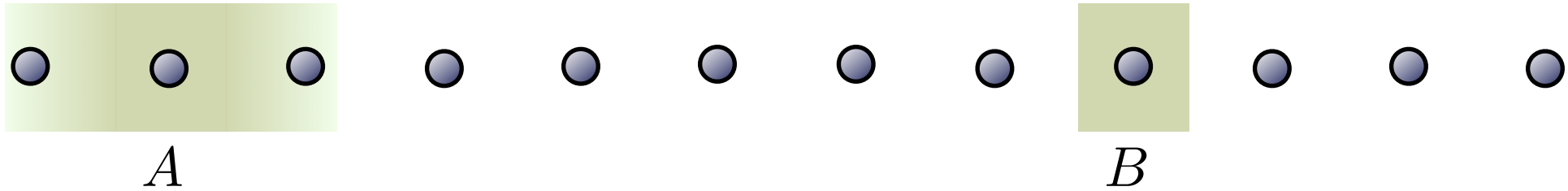


- Ideas of proof



- **Observation I:** There is a **finite speed of information** transfer:

$$\|[A, B]\|_{\infty} = 0$$



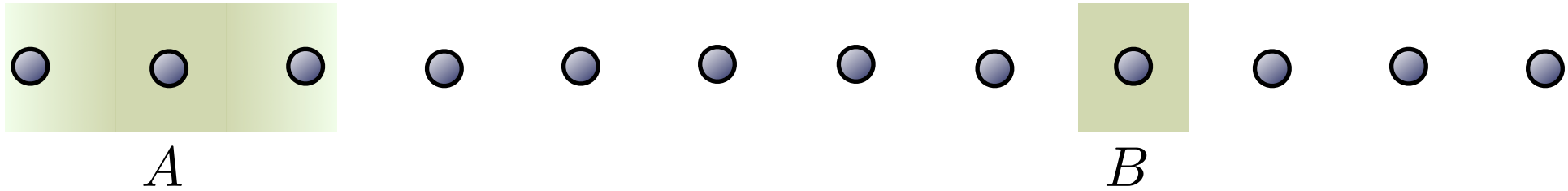
- **Observation I:** There is a **finite speed of information** transfer:

- **Lemma (Lieb-Robinson):** For any two (finite-dim) observables, on a finite support,  $L = d(A, B)$  apart from each other, we have

$$\|[A(t), B(0)]\|_{\infty} \leq c \|A\|_{\infty} \|B\|_{\infty} \exp(-\mu \text{dist}(A, B) - v|t|)$$

$$A(t) = e^{iHt} A e^{-iHt}$$

$v$  Speed of information transfer,  $H$  local Hamiltonian



- **Observation I:** There is a **finite speed of information** transfer:

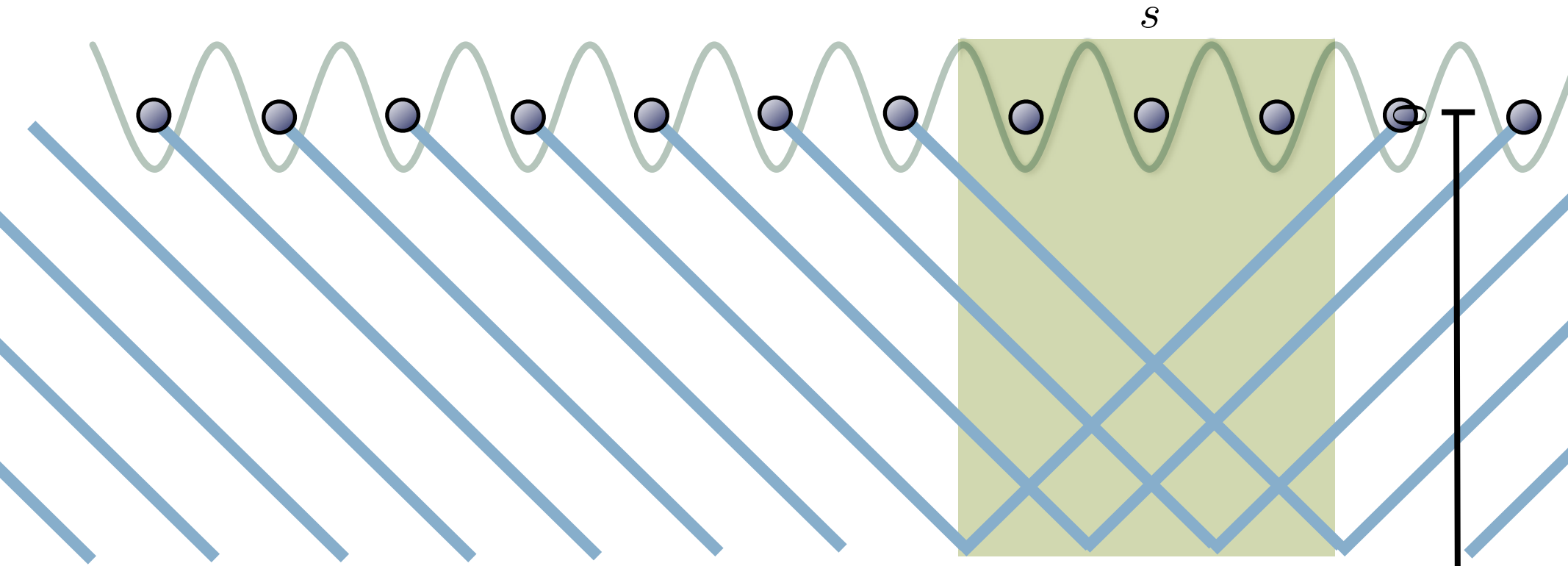
- **Lemma (harmonic Lieb-Robinson):** Similar statements, e.g., for sites  $j, k$

$$\| [x_j(t), p_k(0)] \|_{\infty} \leq \frac{\tau^{\text{dist}(j,k)/R} \cosh(\tau)}{((\text{dist}(j, k) - 1)/2)!}$$

$\tau = \max\{\|PX\|_{\infty}^{1/2}, \|XP\|_{\infty}^{1/2}\} |t|$ ,  $X, P$  coupling matrices of local Ham

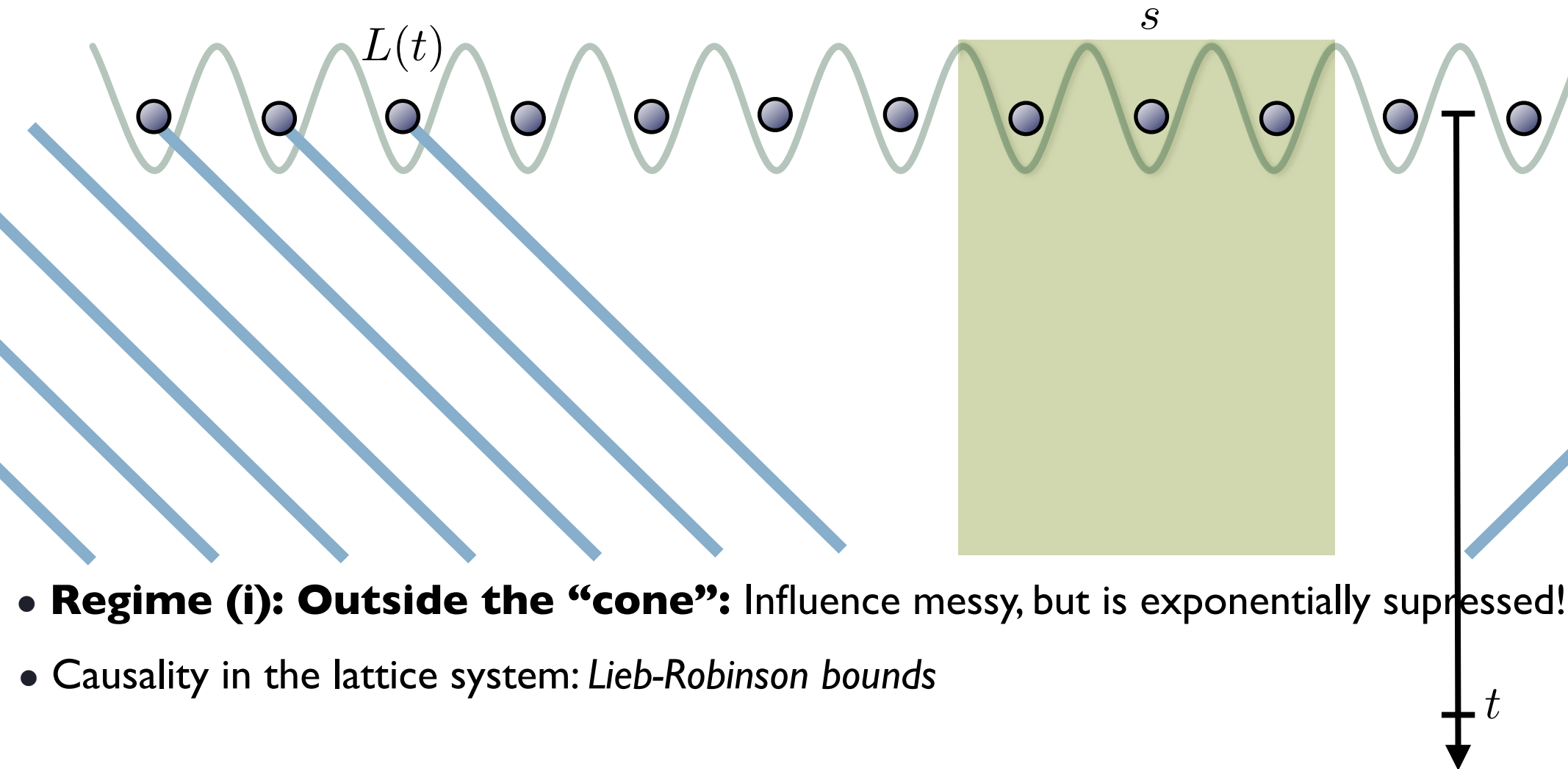
- Gives bounds for  $\| [W_{\xi}(t), W_{\xi'}] \|_{\infty}$  for **Weyl-operators**

$$W_{\xi} = e^{i \sum_{j \in A} (p_j X_j - x_j P_j)}, \quad \xi = (x_1, \dots, x_{|A|}, p_1, \dots, p_{|A|})$$

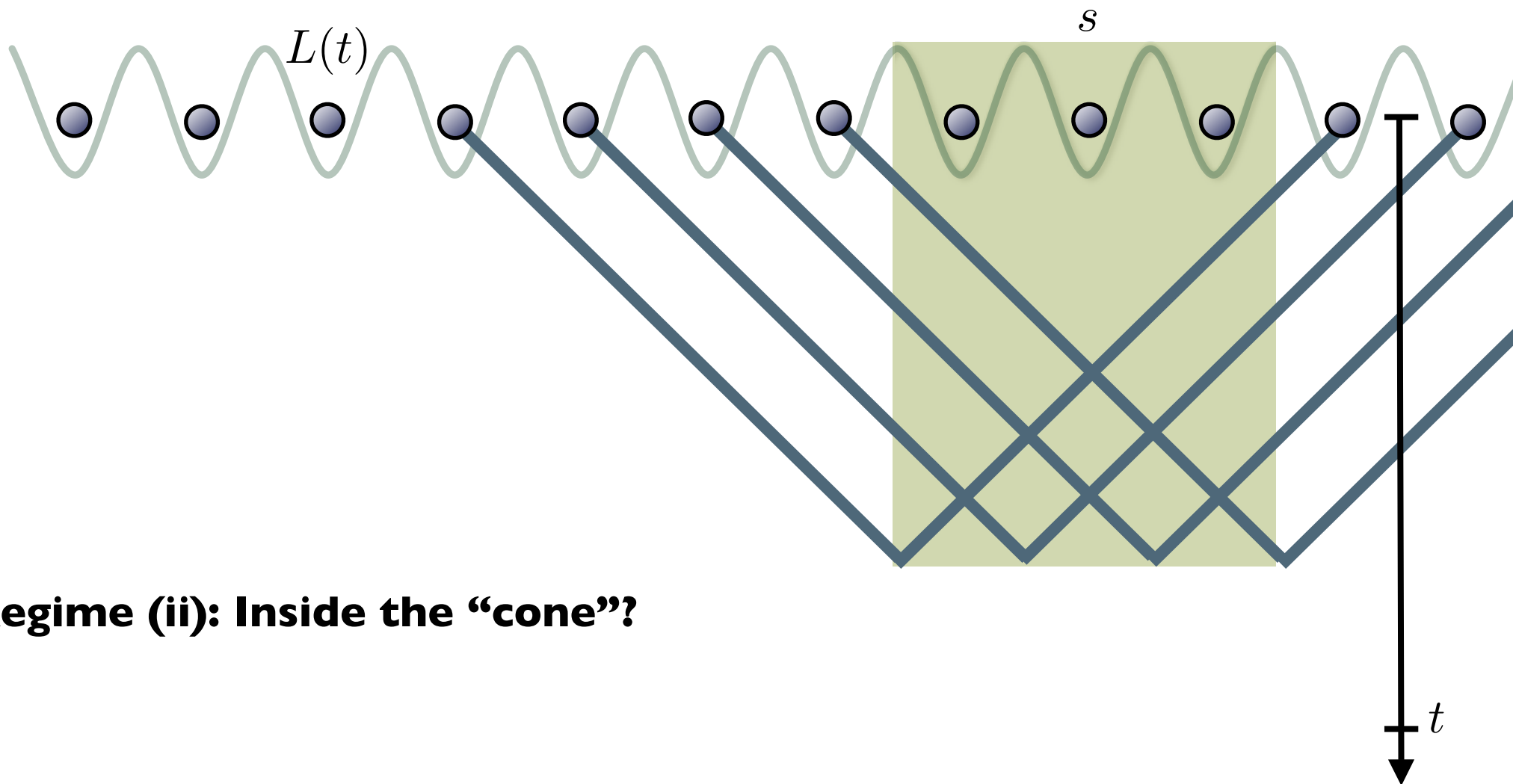


- **Intuition:** Finite speed of sound in the system
- Excitation starting to travel from each site
- Generically true for local dynamics





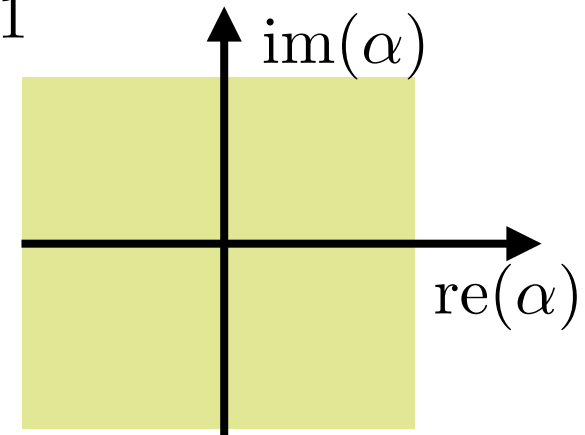
- **Regime (i): Outside the “cone”:** Influence messy, but is exponentially suppressed!
- Causality in the lattice system: *Lieb-Robinson bounds*



• **Regime (ii): Inside the “cone”?**

- **Phase space picture:**

- For simplicity, let us start from  $|m\rangle^{\otimes N}$ , and a single site  $s = 1$



- Characteristic function in phase space  $\alpha \in \mathbb{C}$ :

$$\begin{aligned} \chi_j(\alpha; t) &= \prod_{k=1}^N \langle m | e^{\alpha [V(t)]_{j,k}^* b_k^\dagger - \alpha^* [V(t)]_{i,j} b_k} | m \rangle \\ &= e^{-|\alpha|^2/2} \prod_{k=1}^N L_m(|\beta_{j,k}(t)|^2) \end{aligned}$$

where  $\beta_{j,k}(t) = \alpha [V(t)]_{j,k}^*$

- $V(t) = e^{-it\mathcal{J}}$ ,  $V_{j,k}(t) = \frac{1}{N} \sum_{l=1}^N e^{2itJ \cos(2\pi l/N)} e^{2\pi i(j-k)l/N}$

- **Then, collect bounds:**

- For example

$$V_{j,k}(t) \rightarrow J_{j-k}(t) \quad (\text{Bessel functions, } |J_l(x)| < x^{-1/3}, x \geq 0)$$

bound Riemann sum error for small  $(j - k)/N$

- Collect bounds on  $V(t)$  from Lieb-Robinson bounds and properties of Laguerre polynomials

- Gives bounds on, say, 
$$\sum_{k=1}^N \sum_{l=2}^{\infty} \frac{(1 - L_m(|\beta_{j,k}|^2))^l}{l}$$

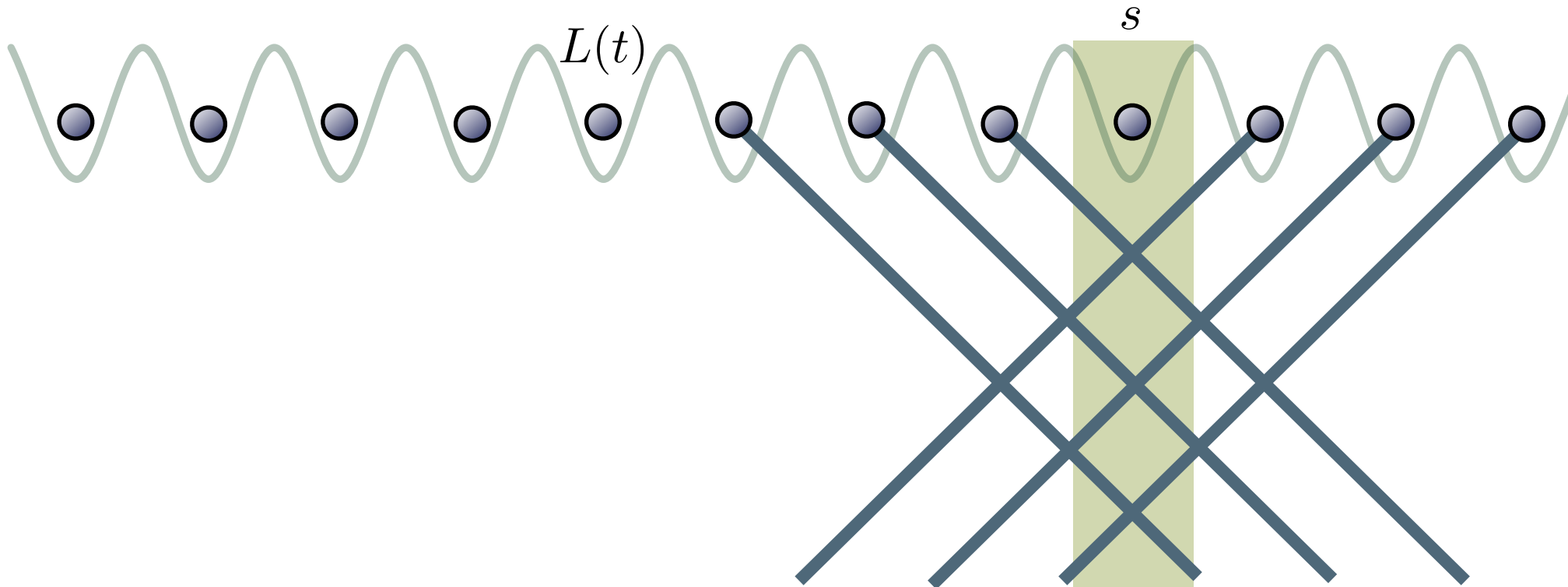
- **Observation 2:** Take logarithm of characteristic function ( $\beta_{j,k}(t) = \alpha[V(t)]_{j,k}^*$ )

- **Lemma:**

$$\begin{aligned}
 \log \chi_j(\alpha; t) &= -|\alpha|^2/2 + \log \prod_{k=1}^N L_m(|\beta_{j,k}|^2) \\
 &= -|\alpha|^2/2 - m \sum_{k=1}^N |\beta_{j,k}|^2 + \sum_{k=1}^N l_m(|\beta_{j,k}|^2) \\
 &\quad - \sum_{k=1}^N \sum_{l=2}^{\infty} \frac{(1 - L_m(|\beta_{j,k}|^2))^l}{l} \\
 &= -(m+1)|\alpha|^2/2 + g(\alpha; N, t)
 \end{aligned}$$

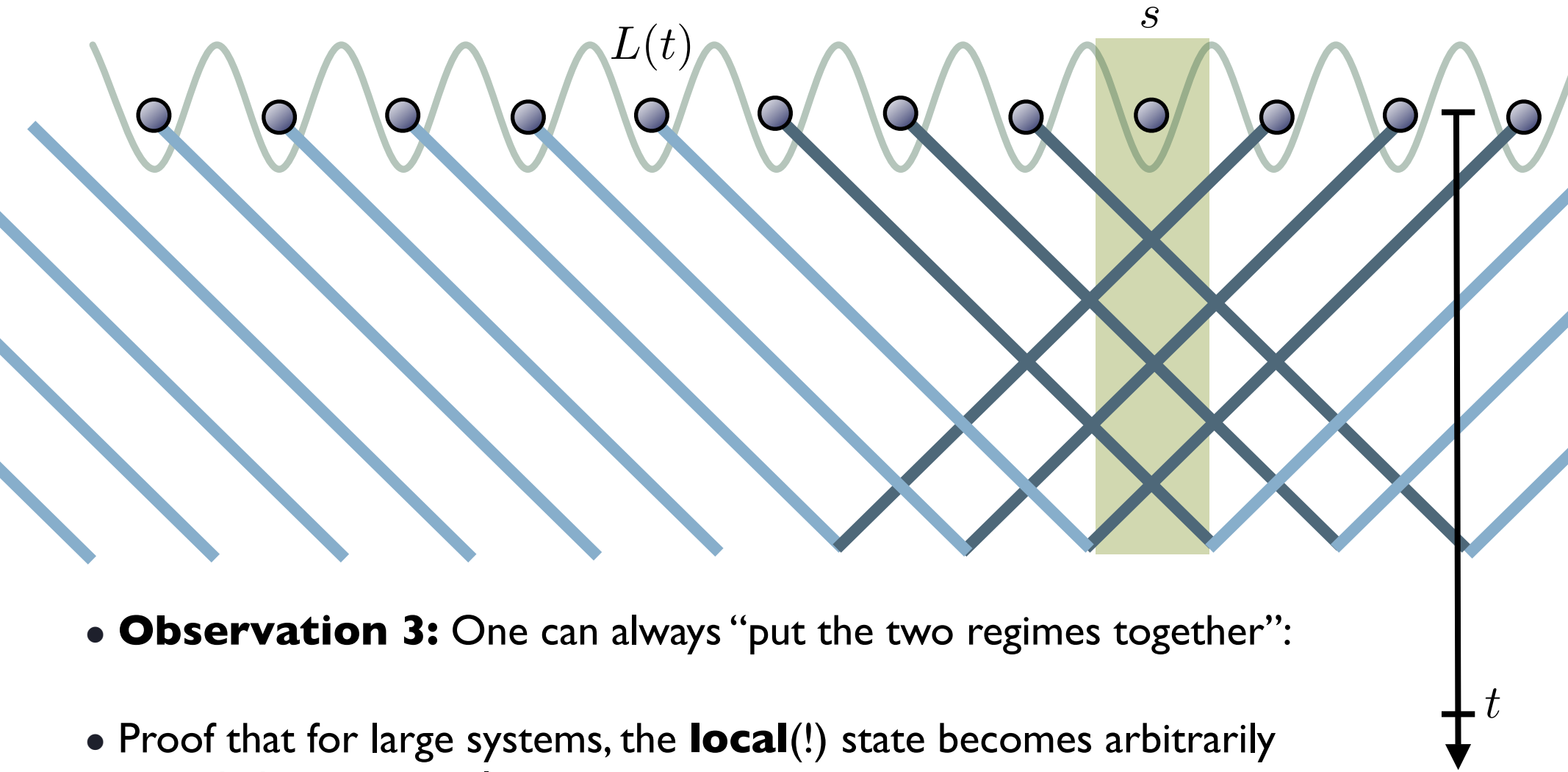
- Only the **quadratic leading order term** remains for large times
- Dynamical **central limit theorem!**





$$\chi_j(\alpha; t) = e^{-(m+1/2)|\alpha|^2} + f(\alpha; t)$$

- **Lemma:** Pointwise convergence in phase space for  $\chi_j(\alpha; t)$  gives **trace-norm** estimate  $\|\cdot\|_1$  for states
- State converges to a **Gaussian** inside the cone, thermal state: **Maximal entropy/entanglement** for given **energy**

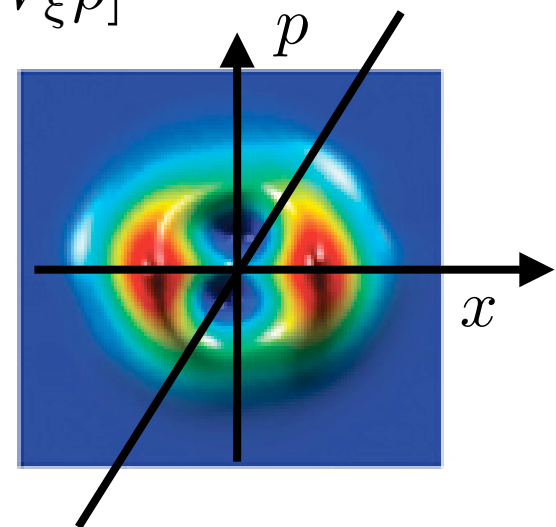


- **Observation 3:** One can always “put the two regimes together”:
- Proof that for large systems, the **local(!)** state becomes arbitrarily mixed, the system relaxes

- **More generally**, covering case of clustering initial states:
- Ideas of a **“quantum version of Lindeberg’s central limit theorem”**
- Starting point: Take vector  $\xi = (x_1, \dots, x_n, p_1, \dots, p_n)$  in phase space

then function  $f : \mathbb{R} \rightarrow \mathbb{C}$  as  $f(x) = \chi(\xi x)$

is a *classical* characteristic function, when  $\chi(\xi) = \text{tr}[W_\xi \rho]$   
 is *quantum* characteristic function



Projection of Wigner function (Fourier transform of characteristic function) is probability distribution

- **More generally**, covering case of clustering initial states:

- Ideas of a **“quantum version of Lindeberg’s central limit theorem”**:

Let  $S_j \in \{1, \dots, N\}$  be mutually disjoint subsets, and let

$\chi_j(x) = \langle \psi | \prod_{k \in S_j} W_{x\alpha_k} | \psi \rangle$  be four times continuously differentiable, with

$$\langle \psi | \left( \sum_{k \in S_j} (\alpha_j a_j^\dagger - \alpha_j^* a_j) \right) | \psi \rangle = \langle \psi | \left( \sum_{k \in S_j} (\alpha_j a_j^\dagger - \alpha_j^* a_j) \right)^3 | \psi \rangle = 0$$

and  $A_j := \left| \langle \psi | \left( \sum_{k \in S_j} (\alpha_j a_j^\dagger - \alpha_j^* a_j) \right)^2 | \psi \rangle \right| \leq 1$

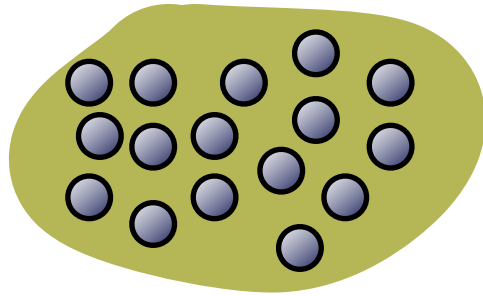
Then

$$\left| \sum_{j=1}^N \log \langle \psi | \prod_{k \in S_j} W_{\alpha_j} | \psi \rangle - \frac{1}{2} \sum_{j=1}^N A_j \right| \leq \frac{1}{4} \sum_{j=1}^N (B_j + A_j \max_k A_k)$$

where  $B_j := \left| \langle \psi | \left( \sum_{k \in S_j} (\alpha_j a_j^\dagger - \alpha_j^* a_j) \right)^4 | \psi \rangle \right|$

- Hard work here: Show that quantum lattice system satisfies conditions

- **Intuition for all that: Non-equilibrium dynamics:**

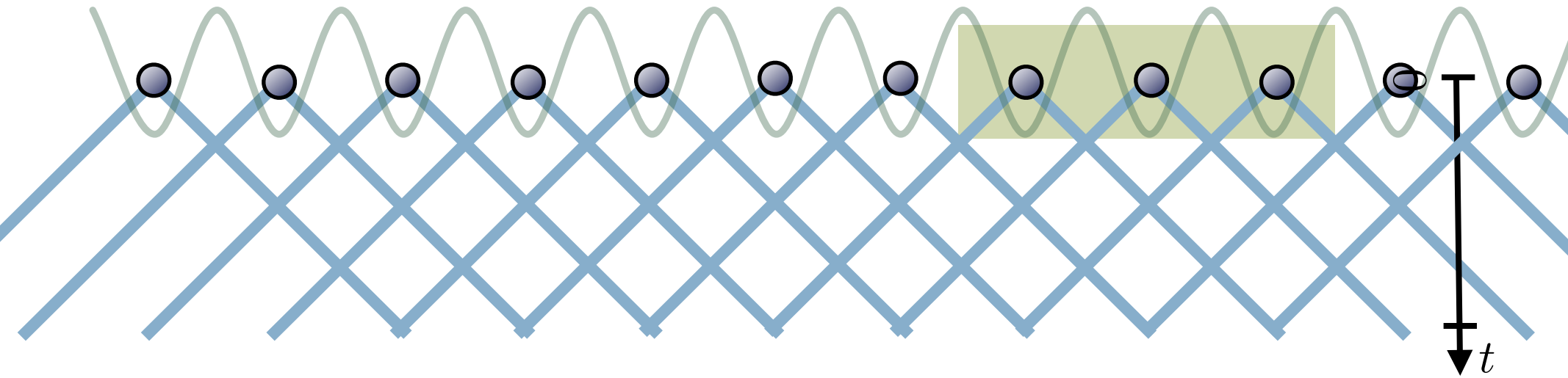


- **Globally**, the *information* of the initial condition is preserved at all times
- **Locally**, the system *looks exactly relaxed*, as if in a thermal state, without time average

- **More general results:**
  - With product states in any dimension
  - $> 1D$  any clustering initial state
  - Fermionic models

- Area laws for the entanglement entropy and hardness of simulation

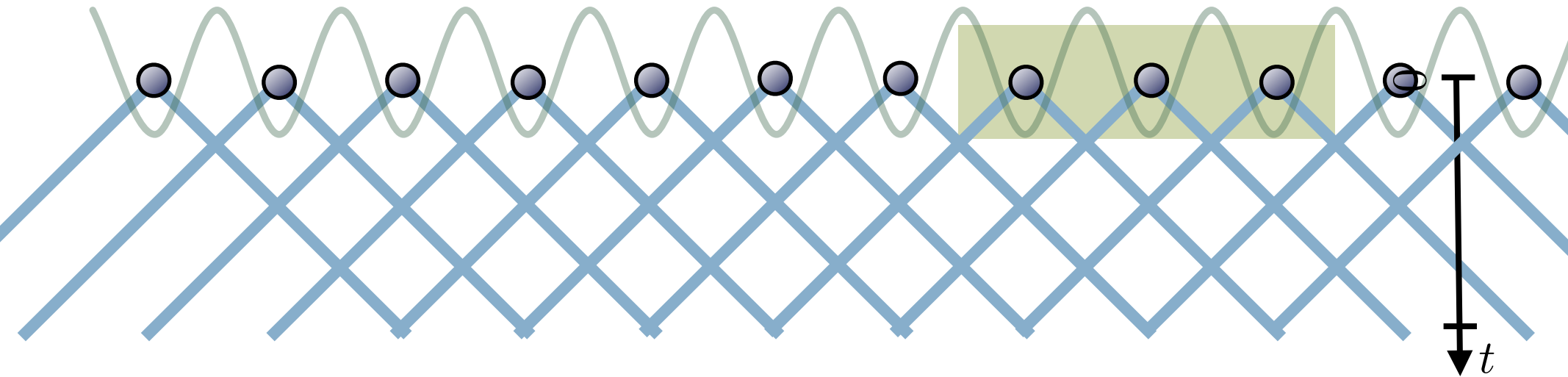
$$S(s) = -\text{tr}[\rho_s(t) \log \rho_s(t)]$$



- **Area laws for entanglement entropy for quenched systems**  
(finite local dimension)



$$S(s) = -\text{tr}[\rho_s(t) \log \rho_s(t)]$$



- **Area laws for entanglement entropy for quenched systems:**

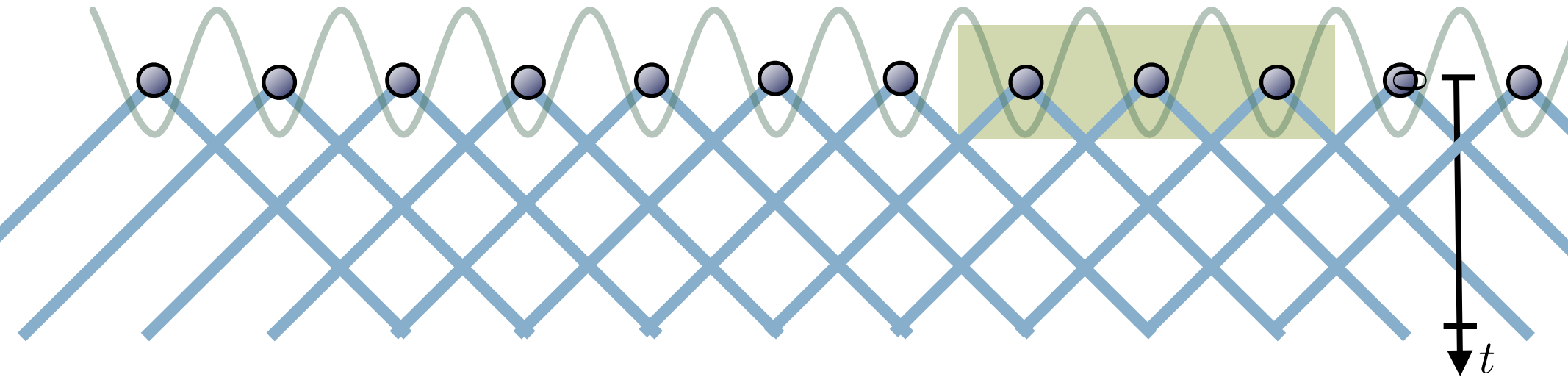
Any state  $\rho(t) = e^{-itH} \rho(0) e^{itH}$ , where  $H$  is a local ID (finite-dim) Hamiltonian and  $\rho(0)$  a product satisfies an **area law**:

$$S(s) \leq c_0 t + c_1$$

for some constants  $c_0, c_1$

*Proof:* E.g., from Lieb-Robinson bounds and Weyl's perturbation theorem

$$S(s) = -\text{tr}[\rho_s(t) \log \rho_s(t)]$$



- Unfortunately, previous result shows that **bound is saturated:**

Take initial product state, 1-norm convergence to Gaussian states implies for entanglement entropy (now for infinite-dim case)

$$S_s(t) \geq c_0 t - f(t)$$

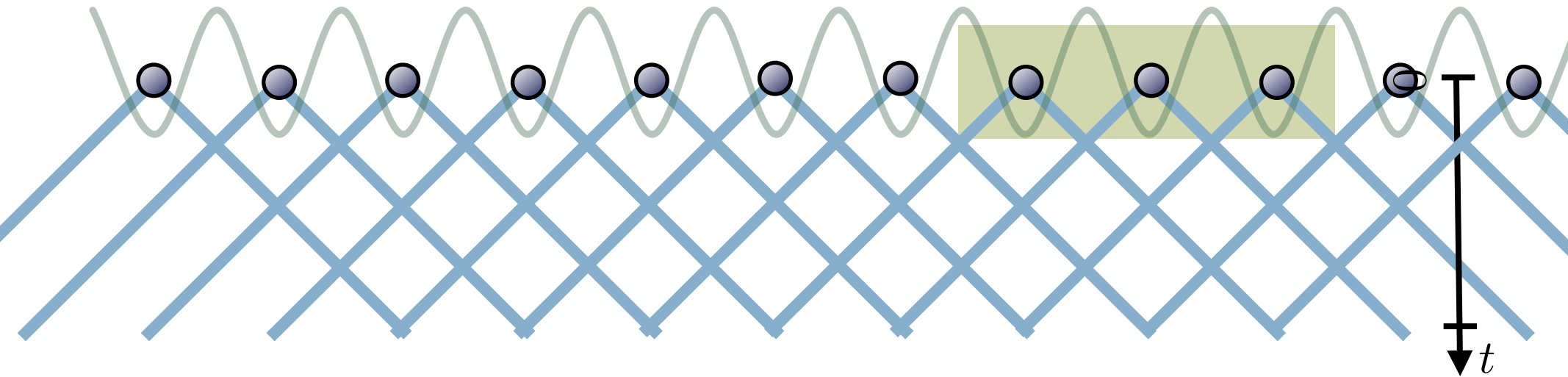
with  $f(t) = o(t)$  for  $s \geq ct, N \geq N_0$

Cramer, Dawson, Eisert, Osborne, *Phys Rev Lett* **100** (2008)

Alternative, more recent proof: Schuch, Wolf, Vollbrecht, Cirac, arxiv:0801.2078

See also Calabrese, Cardy, *J Stat Mech* P10004 (2007)

$$S(s) = -\text{tr}[\rho_s(t) \log \rho_s(t)]$$



- No efficient **matrix-product state approximation** of state exists, so t-DMRG cannot work efficiently

$$|\psi\rangle = \sum_{i_1, \dots, i_N=1}^d \text{tr}[A_{i_1}^{(1)} \dots A_{i_N}^{(N)}] |i_1, \dots, i_N\rangle$$

Cramer, Dawson, Eisert, Osborne, *Phys Rev Lett* **100** (2008)

using Schuch, Wolf, Verstraete, Cirac, *Phys Rev Lett* **100** (2008)

Alternative, more recent proof: Schuch, Wolf, Vollbrecht, Cirac, arxiv:0801.2078

See also Calabrese, Cardy, *J Stat Mech* P10004 (2007)

- Experimental steps

- Very similar situation realizable in **experiment** (+thermal noise, harmonic trap)
- But, hardest thing to probe are **local quantities**!
- Easier to probe in optical lattices: **Quasi-momentum distribution**  
from time of flight

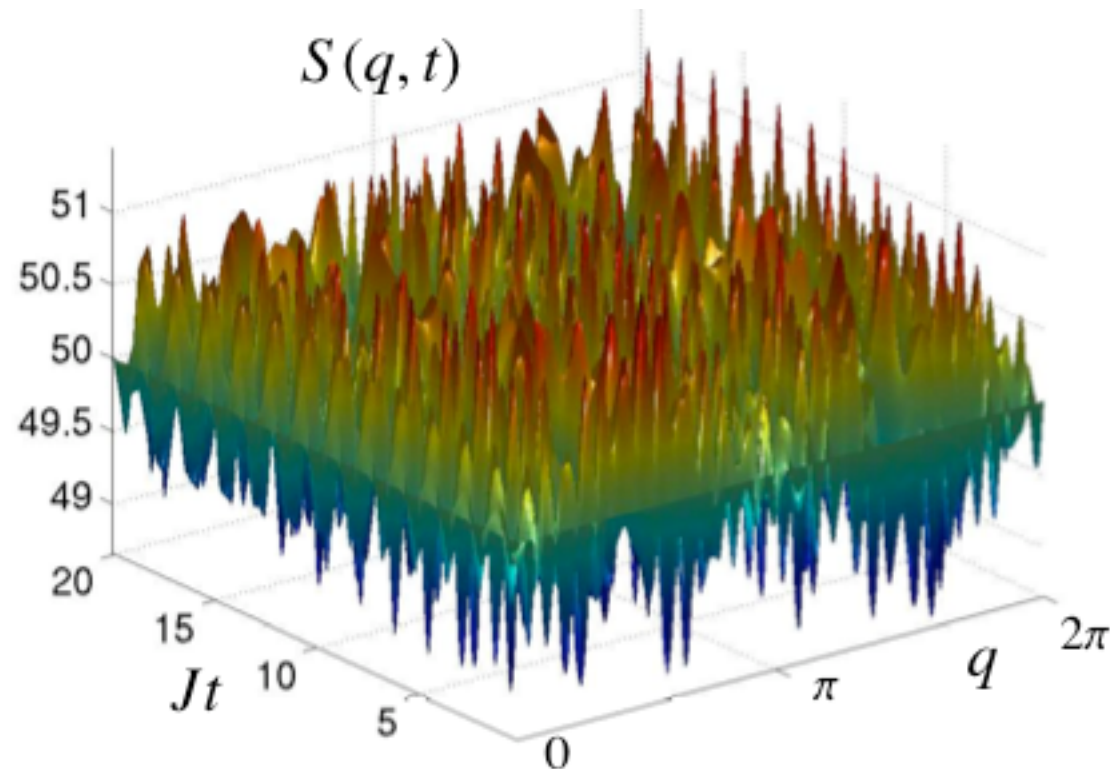
$$S(q, t) = \sum_{j, k=1}^N e^{iq(j-k)} \langle b_j^\dagger b_k \rangle$$

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- Easier to probe in optical lattices: **Quasi-momentum distribution** from time of flight

$$S(q, t) = \sum_{j,k=1}^N e^{iq(j-k)} \langle b_j^\dagger b_k \rangle$$

- Sadly, this does **not** relax

• This is good news, not bad news:  
Quantity is **“too global”**, shows  
memory of initial conditions”

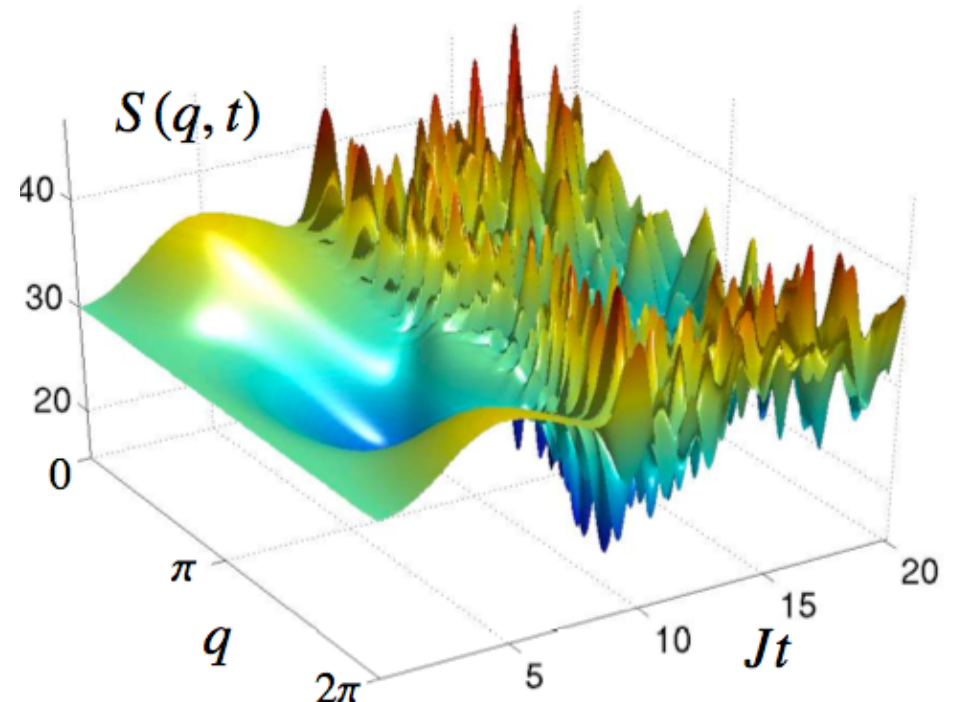


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- Easier to probe in optical lattices: **Quasi-momentum distribution** from time of flight

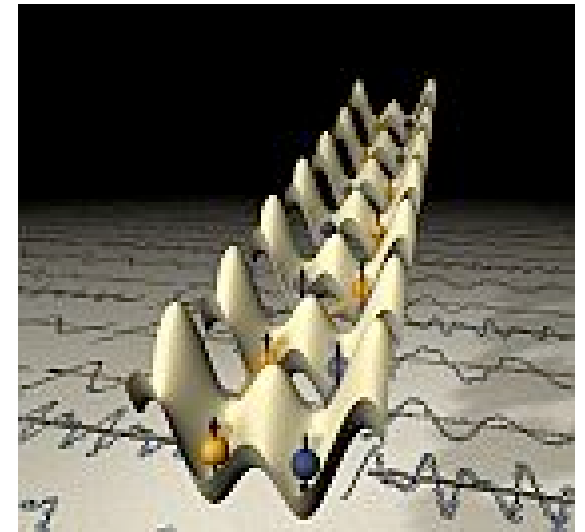
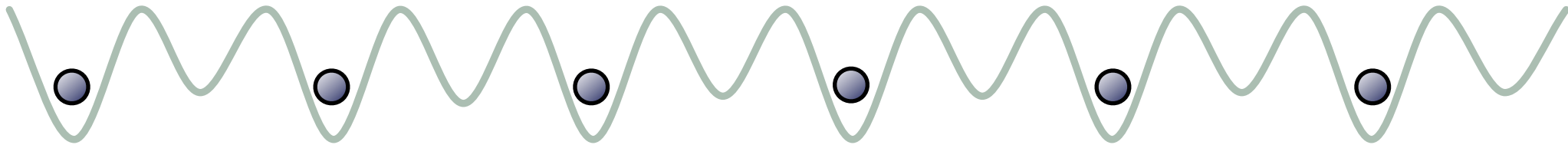
$$S(q, t) = \sum_{j,k=1}^N e^{iq(j-k)} \langle b_j^\dagger b_k \rangle$$

- Sadly, this does **not** relax
- Or, it probes the boundary conditions/harmonic confining potential (with harmonic trap):

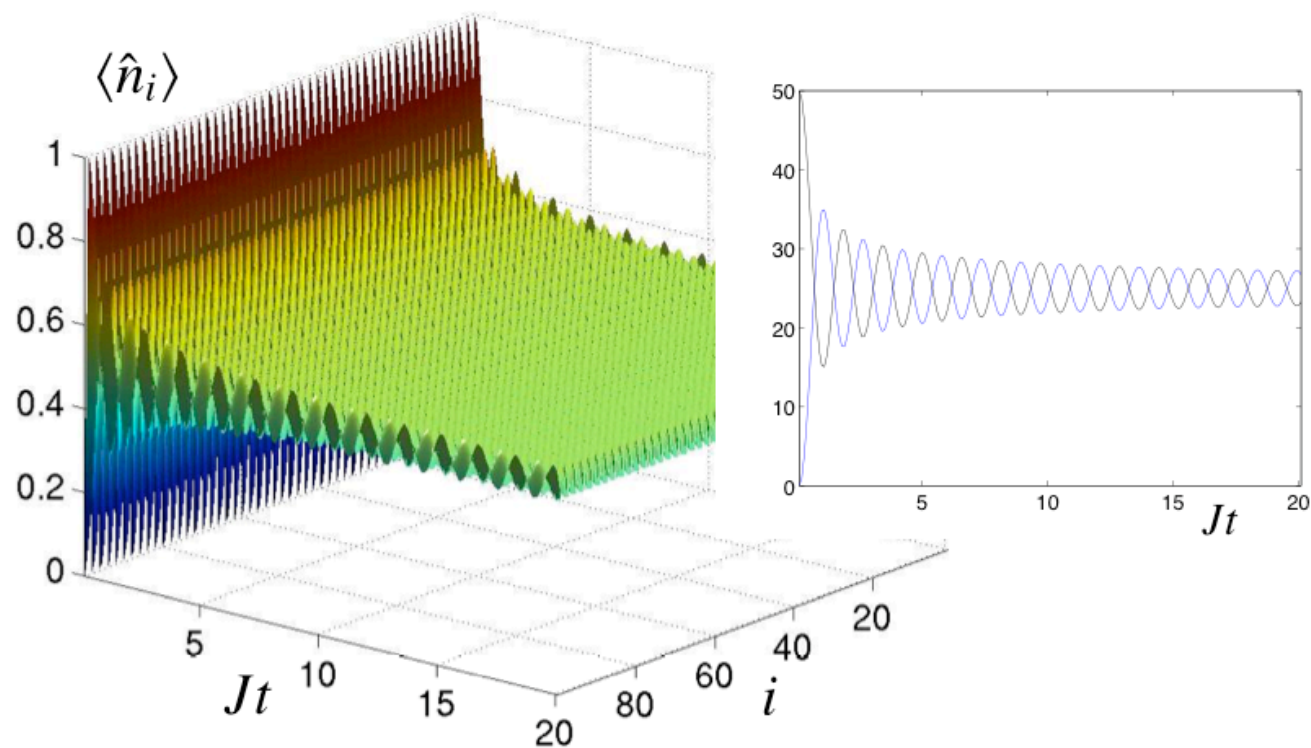
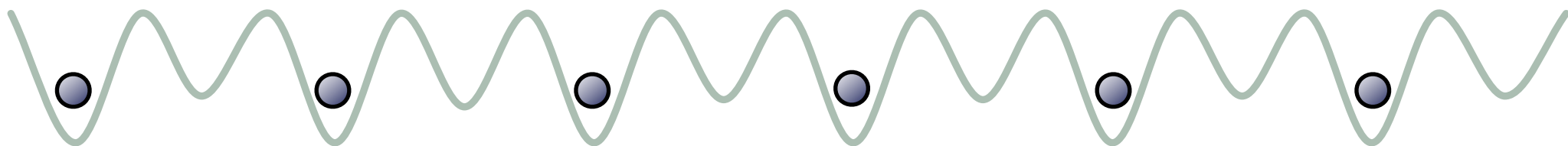




- In turn, local properties may be hard to probe
- But: Use **idea of superlattice!** Period 2 properties measurable



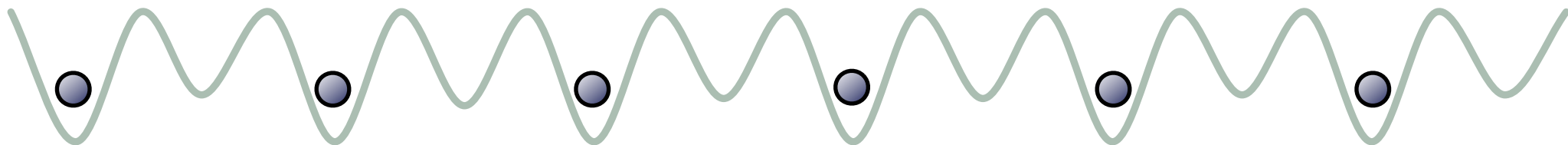
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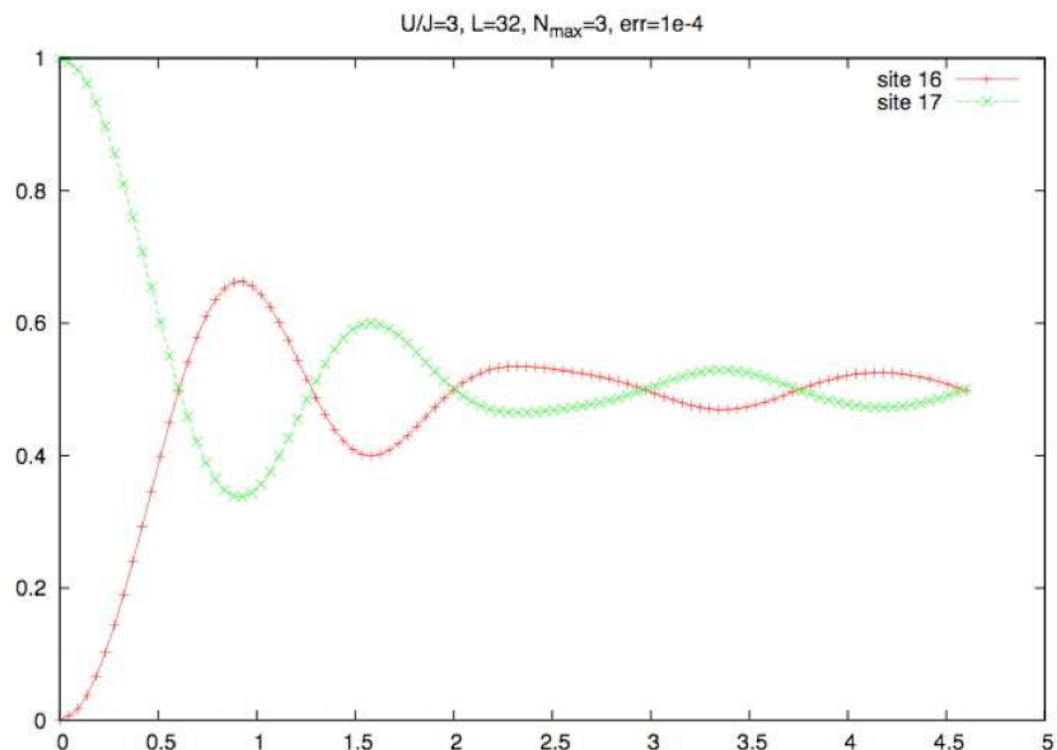
- Even-odd cut is just as if we had **local** dynamics:

Relaxation as  $t^{-1/3}$   
for large times

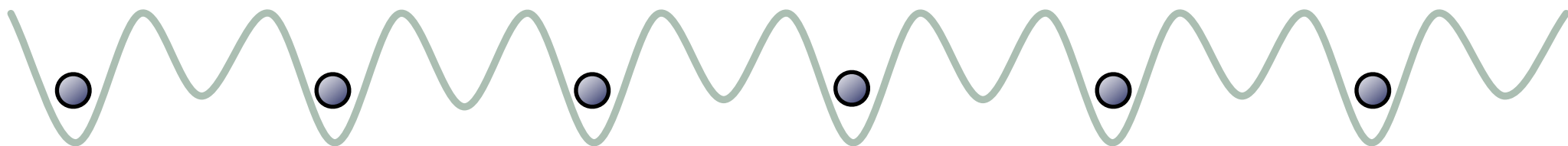
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- Extensive numerical work with **t-DMRG:**  
Supports analytical findings in free case for realistic parameters for Rb in optical lattices

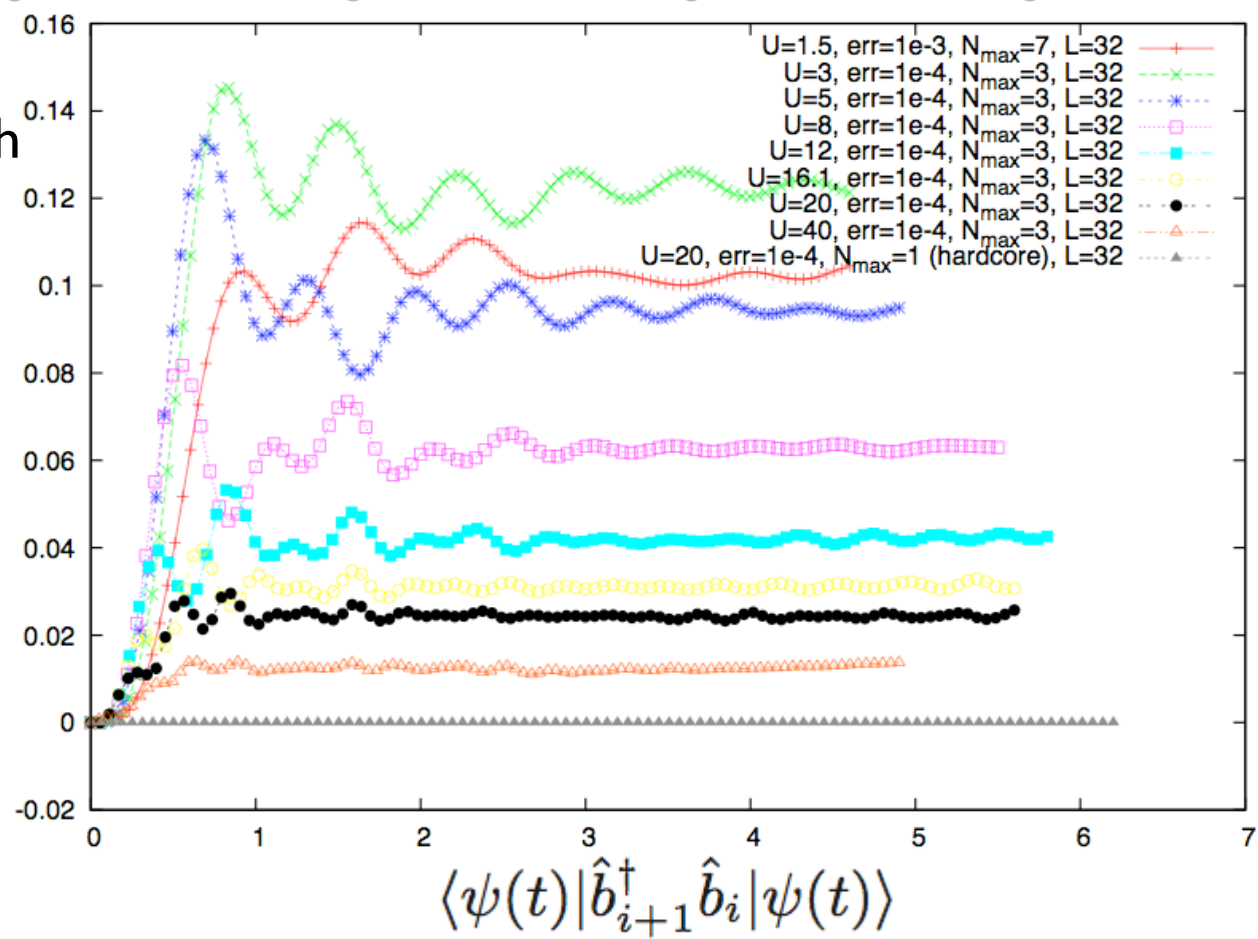


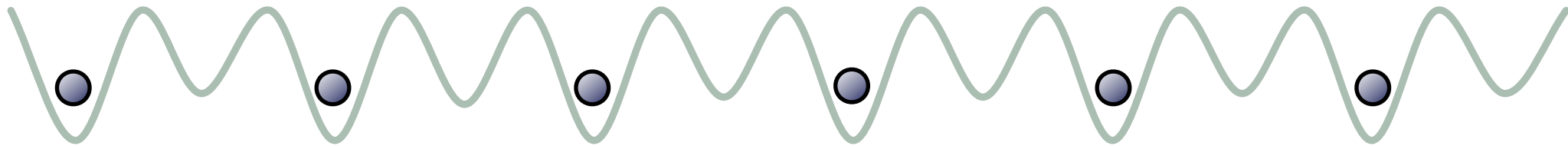
- In turn, local properties may be hard to probe
- But: Use **idea of superlattice!** Period 2 properties measurable



- Extensive numerical work with **t-DMRG:**

Relaxation to thermal state,  
see  $1/U$  dependence





- So again, experiment could probe non-equilibrium relaxation dynamics:

- **Globally**, Fourier-transform type quantities do not relax due to *preserved information* of initial condition
- **Locally**, or at least with period-2 symmetry, the system *looks relaxed*, can also measure cumulants and higher moments

- **Experiment** using cold Rb atom is in progress this moment in Bloch's group

- Summary and outlook



- Have seen: Quantum lattice systems can **locally, exactly relax** without time average in quenched *non-equilibrium dynamics*



- **Ideas:** - Lieb-Robinson bounds
  - Quantum central limit theorems
- Area laws
- Steps towards experimental realization



**Thanks for your attention!**

- **Open questions:**
  - More on interacting models?
  - Relationship to kinematical approaches?
  - Random unitaries, unitary 2-designs?
  - Relationship to Ackermann numbers? :)