### Mean Field Theory of Polynuclear Surface Growth

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Fig.1 Illustration of the PNG process

# I. Polynuclear Growth (PNG)

The Model: Sizeless islands nucleate uniformly in space and time with rate  $\gamma$ . Islands **grow** laterally in the radial direction with constant velocity v. Coalescence of islands results in a larger island. The joint perimeter keeps growing in the original radial direction. Set  $\gamma = v = 1$ , without loss of generality.

Applications (2D): Polymer lammellar crystallization

Equivalent to (1D): Kink-Antikink gas in overdamped sinegordon equation. Kinks (Antikinks) correspond to up (down) step edges, i.e.,  $h(x,t) \rightarrow dh(x,t)/dx$ . Island growth equivalent to ballistic kink motion. Island coalescence corresponds to Kink-Antikink **annihilation**.

#### Equilibrium properties are known (1D):

— Fluctuations in surface hight scale with system size as  $\sim L^{1/2}$ 

— Surface growth velocity:  $v_{\rm eq} = \sqrt{2}$ 

# nonequilibrium (infinite system) properties unknown

### The uncovered fraction

 $S_j(t)$  = the exposed fraction of the *j*th layer at time *t*. Many properties follow directly:

The surface hight,  $h(t) \sim vt$ 

$$h(t) = \langle j \rangle = \sum_{j=1}^{\infty} j \left[ S_{j+1}(t) - S_j(t) \right].$$

The surface width,  $w(t) \sim t^{\beta}$ 

$$w^{2}(t) = \langle j^{2} \rangle - \langle j \rangle^{2} = \sum_{j=1}^{\infty} j^{2} \left[ S_{j+1}(t) - S_{j}(t) \right] - h^{2}(t)$$

Wave-like asymptotic form

$$S_j(t) = F\left(\frac{j-vt}{t^\beta}\right)$$

#### Extremal properties

$$F(z) \sim \begin{cases} 1 - \exp(-z^{\sigma_+}) & z \to \infty; \\ \exp(-|z|^{\sigma_-}) & z \to -\infty. \end{cases}$$

Large coverage follows a Fisher tail,  $\sigma_+ = \frac{1}{1-\beta}$ 

## The gap density

 $f_j(x,t)$  = the density of inter-island gaps (voids) of length x on the *j*th layer. Gives

#### The uncovered fraction

$$S_j(t) = \int_0^\infty dx \, x \, f_j(x,t)$$

The island density

$$N_j(t) = \int_0^\infty dx f_j(x,t)$$

#### Master equation

$$\frac{\partial f_j(x,t)}{\partial t} = 2\frac{\partial f_j(x,t)}{\partial x} + \gamma_j(t)[-xf_j(x,t) + 2\int_x^\infty dy f_j(y,t)]$$

first term - gap shrinkage due to surface growth

next two terms - changes due to nucleation.

 $\gamma_j(t)$  = nucleation rate at the *j*th layer

implies correct rate equation,  $\dot{S}_j(t) = -2N_j(t)$ 

#### Island density rate equation

$$\dot{N}_j(t) = -2f_j(0,t) + \gamma_j(t)S_j(t)$$

# II. Mean-Field Theory (MFT)

Compare with exact island density rate equation

$$\dot{N}_j(t) = -2f_j(0,t) + S_j(t) - S_{j-1}(t)$$

To comply with this equation, the nucleation rate must be

$$\gamma_j(t) = 1 - \frac{S_{j-1}}{S_j}$$

Formal solution for gap density,  $g_j(t) = \int_0^t d\tau \, \gamma_j(\tau)$ 

$$f_j(x,t) = g_j^2(t) \exp\left[-g_j(t)x - 2\int_0^t d\tau g_j(\tau)\right]$$

Uncovered fraction obeys second order nonlinear ODE

$$\frac{d^2}{dt^2}\ln S_j = -2\left(1 - \frac{S_{j-1}}{S_j}\right) = -2\gamma_j$$

# self consistent nucleation rate

### Traveling wave solution



**Fig.2** The uncovered fraction  $S_j(t)$  vs. time for layers j = 20, 40, 60, and 80.

The coverage follows a traveling wave solution,  $S_j(t) = F(j - vt)$ . For  $j \gg vt$ ,  $1 - F(x) \sim \exp(-\alpha x)$  with

$$v^2 = 2\frac{e^{\alpha} - 1}{\alpha^2}$$

As  $\alpha > 0$  all velocities in the range  $[v_{\min}, \infty)$  are possible. However, the minimal possible velocity is selected and  $v = v_{\min} =$ 1.75735. This agrees to 0.1% with the numerics!

### minimal stable velocity is selected

**Extremal Properties of Coverage** 

$$F(z) \sim \begin{cases} 1 - \exp(-\alpha z) & z \to \infty;\\\\ \exp(-z^2) & z \to -\infty \end{cases}$$

### Generalization to higher dimensions

#### Higher order rate equation

$$\frac{d^{d+1}}{dt^{d+1}}\ln S_j = -d!\Omega_d \left(1 - \frac{S_{j-1}}{S_j}\right) \qquad \Omega_d = \pi^{d/2} / \Gamma(1 + d/2)$$

Again, a traveling wave form for  $S_j(t)$ . All qualitative properties are similar to 1D including asymptotically flat surface,  $\beta = 0$ 

### Minimal velocity selected

$$v^{d+1} = d!\Omega_d \, \frac{e^\alpha - 1}{\alpha^{d+1}}$$

# asymptotically smooth surface predicted

# III. Linear Recursion Relation (LRR) approach

Uses known Kolmogorov coverage in first layer,  $S_1(t)$  [2]

$$S_{j+1}(t) = S_j(t) - \int_0^t d\tau \, S_1(t-\tau) \, \frac{dS_j(\tau)}{d\tau}$$

Reduces to diffusion equation

$$\frac{\partial S}{\partial t} = D \frac{\partial^2 S}{\partial z^2} \qquad z = j - vt$$

Growth velocity

$$v_d = \left(\frac{\Omega_d}{d+1}\right)^{\frac{1}{d+1}} / \Gamma\left(\frac{d+2}{d+1}\right).$$

Symmetric wave form,  $\operatorname{Erfc}(z) = \frac{2}{\sqrt{\pi}} \int_z^\infty du \, e^{-u^2}$ 

$$S_j(t) = \frac{1}{2} \operatorname{Erfc}(-x) \qquad x = \frac{j - v_d t}{\sqrt{4Dt}}$$

diffusive growth of width  $\beta = 1/2$ 

## **IV.** Monte Carlo simulations



**Fig.3** Uncovered fraction  $S_j(t)$  versus t for j = 1, 2, 3, 4

### MFT gives an improved approximation

### MFT and LRR provide lower and upper bounds



**Fig.4** Long time behavior of the width. Early behavior is linear and late behavior is  $t^{1/3}$ .

1D PNG is in KPZ universality class [5]

### Summary

|              | MFT     | PNG             | LRR     |
|--------------|---------|-----------------|---------|
| $v_1$        | 1.75735 | $1.41 \pm 0.01$ | 1.12838 |
| $\beta$      | 0       | 1/3             | 1/2     |
| $\sigma_+$   | 1       | 3/2             | 2       |
| $\sigma_{-}$ | 2       | $\geq 2$        | 2       |

 Table 1:
 Characteristics of the three approaches for the one-dimensional PNG model.

# Conclusions

- MFT provides better approximation for coverage
- MFT improves for higher dimensions
- MFT and LRR provide upper and lower bounds

### References

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